Mechanisms of Anxiety Reduction in Animal-Assisted Interventions for Adolescents

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Abstract

Animal-assisted interventions (AIs) are increasingly popular as treatments to reduce anxiety. However, there is little empirical evidence testing the mechanisms of action in AIs, especially among adolescents. We examined whether two possible mechanisms, social interaction and/or physical contact with a therapy dog, might reduce anxiety during a social stressor. To test these mechanisms, we randomly assigned 75 adolescents with low, middle, and high levels of social anxiety to complete a laboratory-based social evaluative stressor in one of three conditions: social interaction with a therapy dog (no physical interaction), social plus physical interaction with a therapy dog, or no interaction with a therapy dog. We found no evidence that the presence of a real dog, with or without the opportunity to touch it, reduced anxiety or autonomic reactivity or improved cognitive performance relative to the presence of a stuffed dog in the control condition, regardless of levels of preexisting social anxiety.

Keywords: animal-assisted intervention, human-animal interaction, social anxiety, emotion

Statement of Relevance

Animal-assisted interventions (AAIs) are becoming an increasingly popular adjunctive treatment approach for addressing anxiety and are broadly of interest to the public at large. However, the efficacy and psychological mechanisms underpinning AAIs have not been rigorously assessed. This study provides several key methodological innovations to AAI research by using a randomized experimental design; a combination of self-report and psychophysiological measures; and a preregistered study design and analytic plan. By combining insights from the fields of affective science and human-animal interaction, this study advances our theoretical understanding about whether contact with a dog impacts how youth respond to social stress. Additionally, since anxiety is a core component of many mental health challenges, understanding if and how AAIs reduce anxiety has broad implications for whether AAIs should be used to treat many different mental health disorders. However, our findings raise doubts about utility of therapy dogs for mitigating anxiety during controlled acute social stressors in the lab.

Mechanisms of Anxiety Reduction in Animal-Assisted Interventions for Adolescents

Contact with animals in a variety of therapeutic and non-therapeutic settings can buffer responses to stress, and social stress in particular (Kerns, Stuart-Parrigon, Coifman, van Dulmen, & Koehn, 2017; Polheber & Matchock, 2013). Such observations undergird the growing field of animal-assisted interventions (AAIs). AAIs reflect the practice of incorporating animals into treatments to meet therapeutic goals (Jegatheesan et al., 2019). Initial evidence has demonstrated that AAIs can be effective in treating mental health symptoms in youth (Hoagwood, Acri, Morrissey, & Peth-Pierce, 2017; Jones, Rice, & Cotton, 2019), including anxiety (Barker, Knisely, Schubert, Green, & Ameringer, 2015).

AAIs are a particularly promising treatment option for adolescents; engaging adolescents in traditional therapeutic treatments such as psychotherapy can be challenging, due in part, to perceived stigma (Oetzel & Scherer, 2003). The nonjudgmental nature of animals has been frequently cited as a critical component of effectiveness in AAIs, providing motivation to engage in therapy (Jones et al., 2019), and promoting positive social engagement (Grandin, Fine, O'Haire, Carlisle, & Bowers, 2015).

However, despite the promise of AAIs, three key barriers limit their use as a treatment option for mental health problems like social anxiety in adolescents. First, although existing literature supports the scientific premise that animal contact can buffer anxiety, this effect has primarily been tested in healthy adults (Polheber & Matchock, 2013) and preadolescent children (Beetz, Julius, Turner, & Kotrschal, 2012; Kerns et al., 2017; O'Haire, McKenzie, Beck, & Slaughter, 2015). There is a lack of AAI research in adolescents, particularly with regard to research designed to shed light on its utility for adolescents coping with social anxiety, a salient

mental health challenge during this developmental period (Miers, Blote, de Rooij, Bokhorst, & Westenberg, 2013).

Second, AAI research has suffered from methodological weaknesses, relying heavily on self-report measurement, non-random assignment to experimental/treatment conditions, and use of no-treatment control conditions (Crossman & Herzog, 2019). It is critical to use multiple outcome measures, random assignment, and appropriate control conditions for a more robust understanding of the impact of AAI. Moreover, AAI research stands to benefit from greater adoption of transparent, open research practices, including preregistration of study designs and analysis plans, publishing null results, and justifying one's sample size.

Finally, the field of human-animal interaction more broadly lacks research documenting the specific mechanisms of action by which AAIs can potentially produce therapeutic outcomes. There have been repeated calls for research that addresses the specific processes driving different types of AAIs (Hoagwood et al., 2017; Kazdin, 2019). Isolating the effects of specific activities within AAIs is critical in understanding how to best design interventions for maximal therapeutic impact.

The objective of the present research was to examine the specific mechanisms by which interacting with a therapy dog might reduce anxiety in a way that addresses the above barriers to using AAI as a treatment for mental health symptoms. We considered two potential mechanisms: social support and physical touch.

Social support is broadly associated with beneficial psychological and physiological functioning (for review, see Uchino, Cacioppo, & Kiecolt-Glaser, 1996). In particular, social support can buffer the effects of laboratory-based stressors (Heinrichs et al., 2003). According to Social Baseline Theory (Coan & Sbarra, 2015), humans are inherently social, and evaluate

challenges based on their ability to achieve goals. Part of this implicit calculation includes social relationships (e.g. friends, family, colleagues) that might be helpful in achieving goals. One possibility is that when a person interacts with an animal, a relationship is formed that becomes part of the person's representation of social resources. For instance, being around a friendly dog might decrease the possibility of being surprised by another human or animal, thus reducing risk and decreasing anxiety. Existing research has shown a relationship between attachment to a pet (indicative of social support) and adaptive coping to stress (Mueller & Callina, 2014), but this mechanism has not been well explored in AAI.

Another possible mechanism for anxiety reduction via AAI is physical touch. Since the famous "wire mother" studies by Harlow & Zimmerman (1959), researchers have explored the effects of physical touch, though the topic remains relatively understudied and has focused on interpersonal touch between two humans (for review, see Gallace & Spence, 2010). Research has found touch can reduce the experience of pain (Mancini et al., 2014) and influence heart rate, even in young children (Fairhurst, Loken, & Grossman, 2014). In a hospital setting, touch can reduce anxiety and blood pressure (though these findings are moderated by gender; Whitcher & Fisher, 1979). Less research has looked at how touching animals can influence anxiety, but initial evidence suggests that physical contact with a dog may be an important component of the interaction (Vormbrock & Grossberg, 1988). Increased physical touching of a dog is correlated with less of a cortisol response in children with attachment disorders during laboratory induced stressors (Beetz et al., 2012), and higher positive affect in preadolescent children completing a social stress task (Kerns et al., 2017). Moreover, initial research has suggested that social and physical contact with an animal was associated with lower anxiety than social contact without

physical touch with a human friend (Polheber & Matchock, 2013), suggesting that physical touch may be an important additive factor for reducing anxiety.

To test the social support and physical touch mechanisms by which AAIs might reduce anxiety, we used stratified sampling to enroll 75 adolescents who ranged from low to high levels of pre-existing social anxiety. Participants completed a laboratory-based social evaluative stressor in one of three randomly-assigned conditions: 1) no therapy dog interaction (presence of a stuffed toy dog, our control condition; CO), 2) social interaction only (presence of a therapy dog but no physical interaction; SO); or 3) social interaction plus physical interaction (presence of and opportunity to touch a therapy dog; SP). We measured self-reported anxiety, autonomic activity, and cognitive performance.

We hypothesized that participants in the SP condition would have lower self-reported state anxiety, lower autonomic activity, and better cognitive performance than participants in the CO condition. We also had an exploratory hypothesis that self-reported state anxiety, autonomic activity, and cognitive performance errors for participants in the SO condition might lie in between those of participants in the CO and SP conditions. Our design further allowed us to gauge whether the anxiolytic effect of social and physical interaction with a dog might depend on pre-existing levels of social anxiety.

Method

Participants

Participants were 75 adolescents ages 13-17 on a continuum of social anxiety who were recruited through convenience and snowball sampling via local libraries and youth centers, social media, and other public venues. Prior to participating in the experiment, youth participants were screened for level of social anxiety using the Social Anxiety Scale for Adolescents (SAS-A; La

Greca & Lopez, 1998). We used stratified random sampling through REDCap version 8.6.5 to distribute the eligible participants who ranged across low ($n = 18$), mid ($n = 22$), and high ($n = 35$) social anxiety (as defined by SAS-A scores of >50 [high anxiety] and <36 [low anxiety] as recommended by La Greca, 1999) across the three experimental conditions ($n = 25$ in each condition). In the CO condition, $n = 8$ were low anxiety, $n = 7$ mid anxiety, $n = 10$ high anxiety; in the SO condition, $n = 6$ were low anxiety, $n = 6$ mid anxiety, $n = 13$ high anxiety; in the SP condition, $n = 4$ were low anxiety, $n = 9$ mid anxiety, $n = 12$ high anxiety. Exclusion criteria included fear of or allergy to dogs or adhesives (due to the wearable physiology sensor).

Participants were 76% ($n = 57$) female, 24% ($n = 18$) male; 5.3% ($n = 4$) identified as Hispanic or Latinx, 8.0% ($n = 6$) as Asian, 1.3% ($n = 1$) as Black or African American, 89.3% ($n = 67$) as White, and 4.0% ($n = 3$) as more than one race. The majority of participants owned at least one pet (77.3%; $n = 58$); 61.3% ($n = 46$) owned a dog, 36.0% ($n = 27$) owned a cat, 5.3% ($n = 4$) owned a horse, 21.3% ($n = 16$) owned fish, 2.7% ($n = 2$) owned birds, 6.7% ($n = 5$) owned a lizard, snake, turtle, or other reptile, 5.3% ($n = 4$) owned a guinea pig, hamster, or rodent, and 2.7% ($n = 2$) owned a rabbit. Pet owning participants reported high levels of attachment to their pets ($M = 28.71$, $SD = 5.06$; range 3-33) based on the Lexington Attachment to Pets Scale (Johnson, Garrity, & Stallones, 1992), an 11-item Likert scale with item response options ranging from 0 (Strongly Disagree) to 3 (Strongly Agree), range 0 to 33.

Prior to confirmatory hypothesis testing, 7 participants were excluded due to protocol deviations, which included pausing or shortening the experiment due to participant stress ($n = 4$) or the participant requesting to move on to the next task prior to finishing the first one ($n = 2$), and touching the dog in the SO condition ($n = 1$). The final sample included 68 participants; 22 were in the SO condition, 25 in the SP condition, and 21 in the CO condition.

Materials

Social Anxiety

The SAS-A was used to assess trait levels of social anxiety. The SAS-A was designed for use in adolescence and has been validated extensively across many samples and in multiple languages (Garcia-Lopez et al., 2011; La Greca & Lopez, 1998). The SAS-A contains 18 items that include three domains of social anxiety: fear of negative evaluation from peers, social avoidance and distress in new situations, and generalized social avoidance. The potential range of scores is 18 to 90, with higher scores signaling higher social anxiety. The SAS-A demonstrated excellent reliability in our sample (Cronbach's $\alpha = .94$).

Trier Social Stress Task for Children

Participants completed the Trier Social Stress Task for Children (TSST-C), which involved six distinct phases: baseline, anticipation, preparation, speech, mental math, and recovery (Buske-Kirschbaum et al., 1997). We used the TSST-C protocol developed by Stroud et al. (2009) for use in adolescents up to 17 years old. During the baseline rest period (20 minutes), participants watched a science documentary (with no animal content) on a digital tablet. At the conclusion of the baseline period, participants were told about the speech task (anticipation). Participants then had a five minute preparation period, and then were asked to speak on an academic topic for five minutes (e.g., history). They were then asked to complete a serial subtraction mental arithmetic task for an additional five minutes. Per the Stroud et al. (2009) protocol, the serial subtraction task was adjusted for age (13-14 year olds subtracted by 11s, 15-17 year olds subtracted by 17s). If an error was made, participants were instructed to restart from the beginning. At the completion of the speech and math tasks, participants had a 30-minute recovery period where they continued to watch the science documentary. The stuffed or real dog

was present for the first 15 minutes of the recovery period. Throughout the TSST-C, participants were asked to self-report their anxiety levels; see Supplemental Materials for the study timeline.

Outcomes

To test the mechanism of action by which canine interaction reduces anxiety in adolescents, we assessed three outcomes: a) self-reported anxiety, b) autonomic physiological reactivity (electrodermal activity and heart rate), and c) cognitive performance (error rates and number of correct responses on mental math task).

Self-reported Anxiety. Self-reported anxiety during the experiment was measured using the state scale of the State-Trait Anxiety Inventory (STAI; Spielberger, Gorsuch, Lushene, Vagg, & Jacobs, 1983), which is validated for use in adolescence, is commonly used with the TSST, and has consistently demonstrated excellent reliability and construct validity. We used the six-item short form of the STAI (Marteau & Bekker, 1992), which asks participants to rate how each of the six words reflects their feelings (calm, upset, relaxed, worried, tense, content). The short form was originally administered as a four-point scale, which we further modified to a three-point scale for feasibility in administering repeatedly over a short time period (response options for each item followed the format: very calm, calm, not calm). Self-reported anxiety was measured at six time points, during: (1) baseline, (2) anticipation (3) preparation, (4) speech, (5) mental math, and (6) recovery. Responses to the six items were used to create a sum score at each time point with a possible range of 3 to 18. Reliability across time points ranged from Cronbach's $\alpha = .69$ to $.83$).

Physiological Measurement. Psychophysiological measurements (electrodermal activity [EDA] and heart rate [HR]) were recorded using Empatica E4 wristband sensors (Empatica; Cambridge MA; Garbarino, Lai, Bender, Picard, & Tognetti, 2014). Participants wore a wristband

on their non-dominant hand, and were instructed to minimize movement to reduce motion artifacts.

EDA was measured using 7cm long wire leads connecting the E4 wristband to disposable, pre-filled (0.5% chloride salt) Ag/AgCl (11mm inner diameter) Biopac electrodes (Goleta, CA) attached to the thenar and hypothenar eminences of the palm on the participant's non-dominant hand. EDA was recorded at 4 Hz by the E4 sensor.

Heart rate was also collected via photoplethysmography from the E4 wristband. While recent work suggests the E4 has acceptable accuracy for measuring HR (Menghini et al., 2019), visual inspection and systematic analysis of our data suggested it did not reflect the expected increase during the TSST-C (Kudielka, Buske-Kirschbaum, Hellhammer, & Kirschbaum, 2004) nor was it influenced by the conditions of interest, possibly due to movement artifacts (Kleckner, Feldman, Goodwin, & Quigley, 2019). Therefore, analysis of the heart rate data is reported in the Supplemental Materials.

Cognitive Performance. As recommended by existing research with the TSST paradigm (e.g., Simeon et al., 2007), we measured cognitive performance during the mental arithmetic task by tracking the number of errors and lowest number reached by participants on the mental math task. Better performance was characterized by fewer errors and reaching a lower number during serial subtraction. To adjust for the different levels of subtraction based on age level, lowest number reached was operationalized by calculating the number of correct responses (a higher score indicating better performance). Number of errors ranged from 0 to 8, and number of correct answers ranged from 1 to 41.

Therapy Dogs and Handlers

All therapy dogs used in this study were registered through Tufts Paws for People, a community partner group of the national therapy animal organization Pet Partners. As part of their membership in these organizations, all dog handlers completed an 8-hr training course and their animals passed a rigorous evaluation to meet training, safety, and health standards (<https://petpartners.org/standards/>). The animals were bathed 24 hours prior to participating. In total, four dogs were used for this study, all under 30 pounds in weight ($n = 3$ female; $n = 1$ male), ranging from 8 to 13 years of age. All handlers were female and over the age of 30 years.

Procedure

All study procedures for human and animal subjects were approved by the Tufts University Social-Behavioral-Educational Research Institutional Review Board and Institutional Animal Care and Use Committee. Participants were compensated with a \$10 gift card for the screening process, and a \$75 gift card for the full experimental study to cover travel costs.

Interested participants were provided with a link to an online screening survey in which we obtained parental consent and youth assent. The online screener was used to assess pre-existing level of social anxiety to inform stratified randomization, as well as exclusion criteria. Eligible participants were then contacted with information regarding the full experimental study.

To test the mechanism by which interacting with dogs can reduce anxiety, eligible participants were randomly assigned to one of three conditions that varied the nature of the interaction: 1) no interaction control (CO), 2) social interaction (SO), or 3) social + physical interaction (SP). As previously noted, stratified randomization was used to create a balanced distribution of social anxiety across the three conditions.

After providing written parental consent and youth assent at the start of the experimental session, participants were seated in a chair and fitted to a wearable heart rate and electrodermal

activity wristband device (Empatica E4), and were asked to complete the first self-report anxiety questionnaire and provide demographic and pet information. Participants in all three conditions then listened to an experimenter read a short, standardized description of a therapy dog and view a photo of the dog, in order to further control for the novelty effects of an animal stimulus. Following the animal description, either a real therapy dog or a stuffed dog entered the room with a handler.

In the control condition, to control for the novelty of an animal stimulus, a stuffed toy dog (Beetz et al., 2012) was placed in a chair next to participants and a person mirrored the role of a therapy dog handler. In both animal interaction conditions, one therapy dog and his or her handler accompanied the participant during all phases of the TSST-C. In the social interaction condition, the dog was placed on the floor to the side of the participant's chair. Participants were told that the dog would be present, and they could socially interact with the dog at any point during the experiment (i.e., talking, look at), but they were not be permitted to physically touch the dog. In the social + physical interaction condition, the dog was seated on a wide chair next to the participant. Participants were told that the dog would be next to them during the study and that they could interact socially and touch the dog during the experiment as they wished. Animal handlers were trained to provide consistently minimal verbal contact (limited to the introductory period) with the participants to reduce the confounding effects of handler variability and distraction from the TSST-C tasks while still maintaining the general structure of an AAI. All dogs were on a 6' leash for the entirety of the session. To simulate a typical AAI environment, the handler remained in proximity to the dog to monitor his/her behavior, but did not block the participant or interfere with the TSST-C tasks in any way. Control group participants were

offered a visit with a therapy dog at the conclusion of their experimental session. All sessions were video recorded.

Data Analysis

Sample size calculation. To estimate the sample size, we used heart rate as the primary outcome and the STAI (state subscale) as the secondary outcome. At the time of the study pre-registration, there were no prior data with which to estimate the relative effect of the two intervention and control conditions on the proposed outcomes. Therefore, estimates were compiled from other research using HR as an indicator of stress response (e.g., Greenland et al., 1999). In this situation, the most conservative approach for sample size calculation is to assume a series of two-way tests. We used a mean of 75 beats per minute (bpm) with a *SD* of 12 bpm for baseline in all groups, assuming normal distribution, an equal number of subjects in each group, and a 0.05 significance level (alpha). With these assumptions, we estimated 90% power to detect a difference of 12 bpm between any two treatment groups with 23 subjects per group, which we round to 25 per group for a total of 75 subjects in the three treatment groups. To determine the power for the STAI state subscale, based on the STAI normative data (Spielberger et al., 1983), we use a mean of 35.5 with a *SD* of 10.5. Using the same approach as above for HR, we estimated 90% power to detect a difference of 10 between any two groups with a sample size of 25. Our study was, thus, design to yield adequate power to detect large effects. All sample size and power calculations were performed using PASS 13 (Hintze, 2014).

Physiological data processing. To analyze the psychophysiology data, activity was assessed over six time windows during the TSST: time 1 = beginning of study; time 2 = baseline period; time 3 = anticipation phase; time 4 = last 5 min of the TSST; time 5 = recovery 1; time 6 = recovery 2 (see Figure 1). Each time point was 5 minutes in duration. The event button on the

E4 was used to mark the start of the TSST, and time points were identified and analyzed relative to the start of the TSST (e.g. the speech task time window was 5-10 minutes after the TSST started). Due to a temporary bug in an E4 software update, 10 participants had inaccurate event button times. To correct, video recordings were used to determine when the TSST took place.

For some participants, the adhesive EDA sensors became detached from participants' palms. This resulted in missing data where skin conductance was not measured. To identify participants with this issue, two researchers coded EDA for signal drop out during the six time windows described above. There was an 98.9% agreement rate (ties were resolved by discussion and consulting a third researcher for breaking ties), which resulted in 14 participants excluded from analysis of the EDA signal (3 of whom had already been excluded for protocol deviations).

Statistical Analyses. To test our confirmatory hypotheses, we conducted two three-way analyses of variance (ANOVA) with either self-reported anxiety (STAI) or autonomic reactivity (EDA) as the dependent variable. For both outcomes, there were two between-subjects factors, condition (social, social + physical, control) and social anxiety (SA; centered continuous predictor), and one within-subjects factor, time point (1, 2, 3, 4, 5, 6). We included baseline STAI or EDA at time point 1 as a centered continuous covariate. For cognitive performance, we conducted ANOVAs that treated condition as a between-subjects categorical factor and social anxiety as a centered continuous predictor.

Results

Self-Reported Anxiety

After 7 participants with a protocol deviation were excluded, and an additional 2 participants missing at least one STAI observation, we had 66 participants for analyses of self-reported anxiety; 20 were in the SO condition, 25 in the SP condition, and 21 in the CO

condition. Within conditions, the number of participants in the low, mid, and high social anxiety groups ranged from 4 to 12.

Among participants included in analyses of state anxiety, mean state anxiety at the first baseline, immediately after consent, was 10.08 ($SD = 1.71$). Mean state anxiety at the second baseline, immediately prior to the preparation phase of the TSST-C, was 8.08 ($SD = 1.62$). Mean state anxiety across the four TSST-C time points (3 through 6) was 10.21 ($SD = 1.43$). The potential range of scores is 6 to 18, with higher scores representing higher anxiety. Mean social anxiety measured using the SAS-A was 47.92 ($SD = 14.77$).

As is evident in Figure 1, ANOVA results suggested that self-reported anxiety changed over time as expected during the TSST-C, an effect supported by a significant effect of time point, $F(3.76, 222.09) = 144.13$, $MSE = 2.60$, $p < .001$, $\eta^2_G = .606$. However, contrary to our hypotheses, this analysis did not reveal any statistically significant main or interactive effects involving condition. Table 1 summarizes all findings.

Autonomic Reactivity: Electrodermal Activity

After excluding 7 participants with a protocol deviation and 14 participants with EDA data that were not useable (3 of whom also had a protocol deviation), we had 57 participants for analyses of EDA; 20 were in the SO condition, 20 in the SP condition, and 17 in the CO condition. Within conditions, the number of participants in the low, mid, and high social anxiety groups ranged from 3 to 10.

Among participants included in analyses of EDA, mean EDA at the first baseline, a 5-minute period at the start of the session, was 4.94 μ Siemens ($SD = 2.78$). Mean EDA at the second baseline, a 5-minute period immediately prior to the TSST-C preparation phase, was 5.55

($SD = 3.38$). Mean EDA across the four TSST-C 5-minute time points from preparation phase to recovery was 7.29 ($SD = 3$). Mean social anxiety was 48.18 ($SD = 15.71$).

As is evident in Figure 2, EDA changed over time as expected during the TSST-C, an effect supported by a significant effect of time point, $F(3.38, 169.14) = 81.01$, $MSE = 2.96$, $p < .001$, $\eta^2_G = .428$. However, contrary to our hypotheses, this analysis did not reveal any statistically significant main or interactive effects involving condition. Table 2 summarizes all findings.

Cognitive Performance

After excluding 7 participants with a protocol deviation, we had 68 participants; 22 were in the SO condition, 25 in the SP condition, and 21 in the CO condition. Within conditions, the number of participants in the low, mid, and high social anxiety groups ranged from 4 to 12. Mean social anxiety was 48.07 ($SD = 15.5$).

Among participants included in analyses of performance, participants achieved a mean of 13.82 ($SD = 10.44$) as the highest number of correct responses for the best attempt. Figure 3 demonstrates that there was not much variation in the highest number of correct responses as a function of condition or its interaction with social anxiety group. Indeed, contrary to our hypotheses, these analyses did not reveal any statistically significant main or interactive effects involving condition.

Participants made a mean of 3.28 ($SD = 2.11$) errors during the cognitive performance task. Similarly, Figure 4 demonstrates that there was not much variation in number of errors during the TSST-C, and contrary to our hypotheses, our analyses did not suggest any statistically significant main or interactive effects involving condition. Table 3 summarizes all findings.

Exploratory Analyses

Finally, we conducted exploratory Bayesian ANOVA to determine whether evidence favored the null hypothesis that condition would have no effect on outcomes over the alternative hypothesis that condition would have an effect. Indeed, these analyses revealed strong evidence in favor of the null hypothesis for self-reported anxiety and EDA, and moderate evidence in favor of the null hypothesis for cognitive performance. See the Supplementary Materials for details.

Discussion

As expected, the stress task (TSST-C) increased participants' self-reported anxiety and autonomic reactivity measured via EDA. However, contrary to our hypotheses, there was no convincing evidence that the presence of a real dog, with or without the opportunity to touch it, reduced anxiety, autonomic reactivity, or increased cognitive performance relative to the presence of a stuffed dog in the control condition. This pattern of null effects was true regardless of level of social anxiety.

There are several potential interpretations of these findings. First, it may be that, contrary to our hypothesis, contact with a therapy dog does not have a meaningful effect on anxiety in socially stressful situations. The TSST-C was successful in inducing significant social anxiety, but it may be that such a short, controlled interaction with a dog is insufficient to reduce the effects of a significant stressor. Future research should explore separately if the context of the stressor (e.g., in a laboratory setting vs. a school setting) and/or the strength of the stressor could influence whether a therapy dog interaction supports coping. For example, several recent studies on animal-assisted "stress relief" programs in college settings have shown that in less controlled settings without a directly induced stressor, exposure to a therapy dog reduced overall self-reported anxiety (Pendry, Carr, Roeter, & Vandagriff, 2018) and stress (Barker, Barker, McCain,

& Schubert, 2016). However, results of physiological indicators of anxiety were mixed (Barker et al., 2016; Fiocco & Hunse, 2017; Pendry et al., 2018).

Another potential reason a dog did not reduce anxiety could be the lack of pre-existing relationship with the therapy dogs or their handlers. Given the relational nature of animal interactions, it may be that a more robust relationship between the adolescent and dog/handler is needed to attenuate the effects of social stressors. Recent research has shown that the presence of a pet dog (with whom the participant likely has a longer-term relationship) has increased positive affect during the TSST-C (Kerns et al., 2017). However, even Kerns et al. also found no differences in physiological measures of anxiety (heart rate variability). Future research should explore the differences between contact with a pet dog and contact with a therapy dog to assess if there are differential effects in the context of social anxiety. Interacting with one's own pet may be more effective at reducing social anxiety than interacting with a novel dog.

In addition, the constraints of the TSST-C may have reduced any potential stress-reducing benefits of the therapy dog interaction. The interaction was relatively short, and due to the active nature of the stressor tasks, there were limited opportunities for the participants to interact with the therapy dog during the actual stressor. Furthermore, the interactions between the participants and the dog/handler were controlled within the laboratory setting in order to standardize the procedure, but these constraints may have reduced the ecological validity of the interaction and may not reflect a typical real-life interaction with a therapy dog. AAIs are delivered in myriad ways with different species of animals, varying activities, and in different contexts. Assessing these variations is critical in understanding when AAIs can be effective.

The role of pre-existing social anxiety level should also be examined further in future research. Although we explored the interaction between pre-existing social anxiety level and

condition on outcomes, we did not have adequate power to fully test these relationships. Indeed, this study was adequately powered to detect large effects of condition on social stress reactions during the TSST-C, not interactions between condition and social anxiety. In fact, even for the basic condition effect across levels of social anxiety – where the analytic sample sizes were maximal, true between-condition effects would have had to be even larger to detect moderation by pre-existing social anxiety. In order to advance our understanding, larger sample sizes will be required (Crossman & Herzog, 2019). AAI research may benefit from a multi-laboratory approach to achieve adequate power to detect small-to-medium interactive effects.

The frequency, type, and duration of individual interactions between participants and therapy animals may also influence treatment efficacy within AAIs. Participants were not instructed how frequently to interact with the dogs, and therefore there may have been variation in the characteristics of the interactions. These mutual behaviors could be significant; for example, a therapy dog proactively initiating interaction may prompt an adolescent to engage with the dog and potentially reduce their anxiety. Sustained interaction may be facilitated by a therapy dog's continued affiliative behaviors, creating a positive feedback loop that could increase the efficacy of the interaction. Future analysis should examine if specific behaviors and interaction between therapy dogs and individual participants are linked to anxiolytic effects.

Limitations

The participants in this study were drawn from a convenience sample with a high percentage of pet owners and limited racial/ethnic diversity. In general, individuals who have an affinity for animals may be more likely to participate in research on AAIs, which reduces the generalizability of the findings. For ethical reasons, we specifically excluded youth with fear of dogs, but pre-existing attitudes about animals may significantly contribute to the effects of AAIs.

Finally, this research was conducted with adolescents reporting a wide range of levels of social anxiety; those at the highest end were probably mostly subclinical, though we did not conduct diagnostic interviews to substantiate that speculation. Future research should explore the use of AAIs in adolescents diagnosed with social anxiety disorder.

Conclusions

This study did not find support for anxiolytic effects of therapy dogs during social stress. However, future research should explore the nature of the relationship between the participant and the dog, the type of interactions, and pre-existing characteristics that may moderate potential effects.

Open Practices Statement

The design and analysis plan for this study were preregistered on the Open Science Framework (https://osf.io/5nqus?view_only=1d714b919364402fbb88adb35f9dc945), and all data, analysis code, and study materials are publicly available via https://osf.io/w7k8p/?view_only=965f3d7390d7434895216fe8b88a2160. We report deviations from our preregistered protocol in the Supplementary Materials.

Author Contributions

M. K. Mueller, E. Anderson, and H. L. Urry designed the study collaboratively. M. K. Mueller and E. K. King performed the experiments. H. L. Urry and E. C. Anderson analyzed the data and M. K. Mueller assisted with interpretation. M.K. Mueller drafted the overall manuscript, H. L. Urry drafted the results, and all authors contributed to writing and editing. All authors approved the final manuscript for submission.

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Table 1.

Three-way analysis of variance in self-reported anxiety (STAI) controlling for STAI at baseline

Effect	<i>F</i>	<i>df</i>₁^{GG}	<i>df</i>₂^{GG}	<i>MSE</i>	<i>p</i>	$\hat{\eta}_G^2$
Baseline STAI at Time 1 (control)	49.23	1	59	5.78	< .001	.237
Condition	0.33	2	59	5.78	.723	.004
Social Anxiety	3.45	1	59	5.78	.068	.021
Time	144.13	3.76	222.09	2.60	< .001	.606
Condition × Social Anxiety	0.01	2	59	5.78	.989	.000
Baseline STAI × Time	4.33	3.76	222.09	2.60	.003	.044
Condition × Time	0.64	7.53	222.09	2.60	.736	.013
Social Anxiety × Time	0.67	3.76	222.09	2.60	.607	.007
Condition × Social Anxiety × Time	1.95	7.53	222.09	2.60	.058	.040

Note: Social anxiety was a centered continuous predictor. As reflected by the GG superscripts, non-integer degrees of freedom reflect Greenhouse-Geisser corrections for departure from sphericity. MSE = mean squared error; $\hat{\eta}_G^2$ = generalized eta-squared

Table 2.

Three-way analysis of variance in electrodermal activity (EDA) controlling for EDA at baseline

Effect	<i>F</i>	<i>df</i>₁^{GG}	<i>df</i>₂^{GG}	<i>MSE</i>	<i>p</i>	$\hat{\eta}_G^2$
Baseline EDA at Time 1 (control)	166.34	1	50	11.65	< .001	.642
Condition	1.34	2	50	11.65	.271	.028
Social Anxiety	0.36	1	50	11.65	.549	.004
Time	81.01	3.38	169.14	2.96	< .001	.428
Condition × Social Anxiety	1.32	2	50	11.65	.276	.028
Baseline EDA × Time	1.72	3.38	169.14	2.96	.158	.016
Condition × Time	0.84	6.77	169.14	2.96	.549	.015
Social Anxiety × Time	1.40	3.38	169.14	2.96	.242	.013
Condition × Social Anxiety × Time	1.18	6.77	169.14	2.96	.319	.021

Note: Social anxiety was a centered continuous predictor. As reflected by the GG superscripts,

non-integer degrees of freedom reflect Greenhouse-Geisser corrections for departure from

sphericity. MSE = mean squared error; $\hat{\eta}_G^2$ = generalized eta-squared

Table 3.

Analysis of variance in cognitive performance (highest number of correct responses in best attempt and number of errors).

Effect: Correct Responses	<i>F</i>	<i>df</i>₁	<i>df</i>₂	<i>MSE</i>	<i>p</i>	$\hat{\eta}_G^2$
Condition	0.21	2	62	114.98	.809	.007
Social Anxiety	0.45	1	62	114.98	.504	.007
Condition × Social Anxiety	0.22	2	62	114.98	.805	.007
Effect: Number of Errors						
Condition	0.02	2	62	4.42	.975	.001
Social Anxiety	2.15	1	62	4.42	.148	.034
Condition × Social Anxiety	1.01	2	62	4.42	.371	.031

Note: Social anxiety was a centered continuous predictor. MSE = mean squared error; $\hat{\eta}_G^2$ = generalized eta-squared

Figure 1. Self-reported anxiety as measured via the State-Trait Anxiety Inventory (STAI).

Values are estimated marginal means controlling for self-reported anxiety at the first baseline time point. The three panels reflect participants reporting low, mid, and high levels of social anxiety (SA) from left to right. In each panel, we show level of anxiety from shortly after consent (time 1) to just prior to the preparation phase of the TSST-C (second baseline; time 2) to

just prior to the debriefing phrase (time 6). Lines correspond to the three experimental conditions (social only [SO], social and physical [SP] and control [CO]). Values are estimated marginal means controlling for self-reported anxiety at the first baseline, just after consent. The values were obtained in a three-way analysis of variance in self-reported anxiety treating social anxiety as a categorical variable (low, middle, high).

Figure 2. EDA by condition and social anxiety group; the three panels reflect participants reporting low, mid, and high levels of social anxiety (SA) from left to right. Values are estimated marginal means controlling for EDA at baseline (time 1), just after consent. In each panel, we show level of EDA from the first baseline, just after consent (time 1), to just prior to the preparation phase of the TSST-C (time 2, -5-0 minutes), to just prior to the debriefing phase

(time 6; +40-45 minutes). control). Lines correspond to the three experimental conditions (social only [SO], social and physical [SP] and control [CO]). Values are estimated marginal means controlling for EDA at baseline (time 1), just after consent. As in previous figures, the three panels reflect participants reporting low, mid, and high levels of social anxiety. In each panel, we show level of EDA from the first baseline, just after consent (time 1), to just prior to the preparation phase of the TSST-C (time 2, -5-0 minutes), to just prior to the debriefing phase (time 6; +40-45 minutes). Lines correspond to the three experimental conditions.

Figure 3. Cognitive performance (highest number of correct responses in the best attempt) by condition (social only [SO], social and physical [SP] and control [CO]) and social anxiety group; the three panels reflect participants reporting low, mid, and high levels of social anxiety (SA) from left to right.

Figure 4. Cognitive performance (number of errors) by condition (social only [SO], social and physical [SP] and control [CO]) and social anxiety group; the three panels reflect participants reporting low, mid, and high levels of social anxiety (SA) from left to right.

Supplementary Materials

Preregistration Deviations

1. *Participant exclusions:* A total of 7 participants were excluded prior to confirmatory hypothesis testing due to protocol deviations, which included pausing or shortening the experiment due to participant stress ($n = 4$) or the participant requesting to move on to the next task prior to finishing the first one ($n = 2$), and touching the dog in the SO condition ($n = 1$). We did not preregister a plan to exclude participants for these protocol deviations. However, we made decisions about these exclusions prior to data analysis without examining their impact on outcomes.
2. *Electrodermal activity data screening:* For some participants, the adhesive EDA sensors became detached from participants' palms. This resulted in missing data where skin conductance was not measured. In our preregistration, we planned to identify such periods of poor data quality using automatic techniques (Kleckner, Feldman, Goodwin, & Quigley, 2019), but identifying them by hand was more feasible.
3. *Confirmatory analyses:* In our preregistration, we planned to run linear mixed effects models and longitudinal growth curve analyses in addition to the ANOVA. However, we only ran the ANOVA for the sake of brevity and simplicity.

Supplementary Analyses: Heart Rate

Visual inspection and systematic analysis of the heart rate (HR) data suggested it did not reflect the expected increase during the TSST-C (Kudielka et al., 2004) nor was it influenced by the conditions of interest, possibility due to movement artifacts which can reduce the accuracy of wrist worn photoplethysmograph sensors (Kleckner et al., 2019; Menghini et al., 2019). Therefore, the HR analysis is reported separately in the supplemental materials.

Methods

Psychophysiological measurements (electrodermal activity [EDA] and heart rate) were recorded using Empatica E4 wristband sensors (Empatica; Cambridge MA; Garbarino, Lai, Tognetti, Picard, & Bender, 2014). Participants wore a wristband on their non-dominant hand and were instructed to minimize movement to reduce artifacts. HR (beats per minute) was collected via photoplethysmography from the E4 wristband using Empatica's proprietary algorithm, which automatically imputes missing data from the photoplethysmograph signal and corrects for motion artifacts. The HR is computed as the average heart rate values spanning 10 seconds. This average HR is computed at 1 Hz.

HR was assessed over six time points during the TSST: time 1 = beginning of study; time 2 = baseline period; time 3 = anticipation phase; time 4 = last 5 min of the TSST; time 5 = recovery 1; time 6 = recovery 2. Each time point was 5 minutes in duration. The event button on the E4 was used to mark the start of the TSST, and time points were computed relative to the start of the TSST (e.g. the speech task started 5 minutes after the TSST-C started). Due to a temporary bug in an E4 software update, 10 participants had inaccurate event button times. To correct, video recordings were used to measure time when the TSST took place.

Analyses

To test our confirmatory hypotheses, we conducted a three-way analysis of variance (ANOVA) with HR as the dependent variable. There were two between-subjects factors, condition (social, social + physical, control) and social anxiety (SA; centered continuous predictor), and one within-subjects factor, time (1, 2, 3, 4, 5, and 6). We included time 1 baseline HR as a centered continuous covariate.

Results

After excluding 7 participants with a protocol deviation, we had 68 participants; 22 were in the SO condition, 25 in the SP condition, and 21 in the CO condition. Within conditions, the number of participants in the low, mid, and high SA groups ranged from 4 to 12.

Among participants included in analyses of HR, mean HR at the first baseline, a 5-minute period at the start of the session, was 79.54 ($SD = 10.26$). Mean HR at the second baseline, a 5-minute period immediately prior to the TSST-C preparation phase, was 78.66 ($SD = 12.43$). Mean HR across the four TSST-C 5-minute time segments from preparation phase to recovery was 79.59 ($SD = 9.96$). Among participants included in analyses of HR, mean social anxiety (SA) measured using the Social Anxiety Scale for Adolescents was 48.07 ($SD = 15.40$).

As is evident in Figure 1, although the effect of time point was statistically significant, $F(3.71, 226.11) = 3.03$, $MSE = 82.73$, $p = .021$, $\eta^2_G = .028$, HR did not exhibit clearcut change over time as a function of the TSST-C (i.e., there was heart rate acceleration followed by deceleration; see Kudielka, Buske-Kirschbaum, Hellhammer, & Kirschbaum, 2004). In addition, contrary to our hypotheses, this analysis did not reveal any statistically significant main or interactive effects involving condition. Supplementary Table 1 summarizes all findings.

Supplementary Table 1.

Three-way analysis of variance in heart rate (HR) controlling for HR at baseline

Effect	<i>F</i>	<i>df</i>₁^{GG}	<i>df</i>₂^{GG}	<i>MSE</i>	<i>p</i>	$\hat{\eta}_G^2$
Baseline HR at Time 1 (control)	111.32	1	61	213.3	< .001	0.428
Condition	0.45	2	61	213.3	0.638	0.006
Social Anxiety	0.04	1	61	213.3	0.838	0
Time	3.03	3.71	226.11	82.73	0.021	0.028
Condition × Social Anxiety	2.76	2	61	213.3	0.071	0.036
Baseline HR × Time	3.04	3.71	226.11	82.73	0.021	0.029
Condition × Time	0.82	7.41	226.11	82.73	0.576	0.016
Social Anxiety × Time	0.35	3.71	226.11	82.73	0.828	0.003
Condition × Social Anxiety × Time	0.63	7.41	226.11	82.73	0.737	0.012

Note: Social anxiety was a centered continuous predictor. As reflected by the GG superscripts,

non-integer degrees of freedom reflect Greenhouse-Geisser corrections for departure from

sphericity. MSE = mean squared error; $\hat{\eta}_G^2$ = generalized eta-squared.

Supplementary Figure 1. The figure above shows HR by condition and SA group. The values were obtained in a three-way analysis of variance in HR treating social anxiety as a categorical variable (low, middle, high). Values are estimated marginal means controlling for HR at baseline (time 1), just after consent. As in previous figures, the three panels reflect participants reporting low, mid, and high levels of social anxiety. In each panel, we show HR from the first baseline, just after consent (time 1), to just prior to the preparation phase of the TSST-C (time 2, -5-0 minutes), to just prior to the debriefing phase (time 6; +40-45 minutes). Lines correspond to the three experimental conditions.

Supplementary Analyses: Bayesian ANOVA

The frequentist ANOVA approach suggested that condition had no effect on the outcomes of interest. However, such analyses do not provide direct evidence favoring the null hypothesis. As such, we turned to Bayesian analyses using JASP 0.12.1 (JASP Team, 2020). Specifically, we conducted Bayesian analyses of variance in STAI, EDA, HR, and cognitive performance. For all analyses, there were two between-subjects factors, condition (social, social + physical, control) and social anxiety group (SA; low, middle, high). For time-varying outcomes, there was a within-subjects factor, time point (1, 2, 3, 4, 5, 6) and a centered continuous covariate (baseline STAI, EDA, or HR at time point 1). Note that we modeled SA as a categorical factor rather than as a centered continuous predictor as required by the JASP interface.

In all models, we used default priors (r scale fixed effects = 0.5, r scale random effects = 1.0, r scale covariates = 0.354, where applicable). These models generated Bayes Factors (BF_{excl}) indicating how much more likely our data were under the null hypothesis relative to the alternative hypothesis for each effect averaged across all possible models involving that effect. (Interpretation of model results from JASP's Bayesian ANOVA is briefly described [here](#).)

A value of 1 for a given effect indicates that the data are equally likely to occur under the null and alternative hypotheses. A value of 3 indicates that the data are 3 times more likely under the null hypothesis than the alternative hypothesis. A value of 1/3 indicates that the data are 3 times less likely under the null hypothesis than the alternative hypothesis. BF_{excl} values between 1 and 3, 3 and 10, and greater than 10 provide weak/inconclusive, moderate, and strong evidence favoring the null hypothesis over the alternative hypothesis, respectively (van Doorn et al.,

2019). (The inverse of those cut-offs signals weak/inconclusive, moderate, and strong evidence favoring the alternative hypothesis over the null hypothesis.)

Although there was strong evidence favoring the alternative hypothesis of change over time in STAI, $BF_{excl} < .001$, there was strong evidence favoring the null hypothesis that condition had no overall effect on STAI, $BF_{excl} = 26.421$, and that it did not interact with time, $BF_{excl} = 177.088$, SA group, $BF_{excl} = 185.663$, or both, $BF_{excl} = 285702.608$. Similarly, although there was strong evidence favoring the alternative hypothesis of change over time in EDA, $BF_{excl} < .001$, there was moderate evidence favoring the null hypothesis that condition had no overall effect, $BF_{excl} = 7.657$, and strong evidence that it did not interact with time, $BF_{excl} = 63.060$, SA group, $BF_{excl} = 59.358$, or both, $BF_{excl} = 12510.505$.

There was no evidence for the alternative hypothesis of change over time in HR, $BF_{excl} = 0.787$. Perhaps not surprisingly, there was strong evidence favoring the null hypothesis that condition had no overall effect, $BF_{excl} = 19.595$, and that condition did not interact with time, $BF_{excl} = 186.612$, SA group, $BF_{excl} = 19.287$, or both, $BF_{excl} = 2114.701$.

Finally, for the highest number of correct responses during the math portion of the TSST-C, there was strong evidence favoring the null hypothesis that condition had no overall effect, $BF_{excl} = 10.049$, and that condition did not interact with SA group, $BF_{excl} = 98.165$. The same was true for number of errors; there was moderate evidence favoring the null hypothesis that condition had no overall effect, $BF_{excl} = 8.955$, and that condition did not interact with SA group, $BF_{excl} = 8.604$.

In sum, evidence generally favored the null hypothesis that condition would have no effect on these outcomes over the alternative hypothesis that condition would impact these outcomes.

Description of timing of STAI and event markers

- 1 = after consent
- Event only = beginning of rest
- 2 = after 10 min rest (before explaining TSST)
- 3 = prep/anticipation--after TSST is explained, right after prep period
- 4 = after the math but before saying "you're all done"
- 5 = after 15 min recovery, before dog leaves
- 6 = at very end of experiment, before saying "you're all done"

Supplementary Figure 2.

Experimental timing and measurement schedule.

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