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Long Title:

Effort beats effectiveness in emotion regulation choice: Differences between suppression and distancing in subjective and physiological measures

Short title:

Effort beats effectiveness in emotion regulation choice

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Abstract:

Emotion regulation (ER) can be implemented by different strategies which differ in their capacity to alter emotional responding. What all strategies have in common is that cognitive control must be exercised in order to implement them. The aim of the present preregistered study was to investigate whether the two ER strategies expressive suppression and distancing require different amounts of cognitive effort and whether effort is associated with personality traits. Effort was assessed subjectively via ratings and objectively via pupillometry and heart period. In two studies, $N = 110$ and $N = 52$ healthy adults conducted an ER paradigm. Participants used suppression and distancing during inspection of positive and negative pictures. They also had the choice to reapply either of the strategies at the end of the paradigm. Although distancing was more effective in downregulation of subjective arousal (Study 1: $p < .001$, $\eta_p^2 = .20$; Study 2: $p < .001$, $\eta_p^2 = .207$), about two thirds reapplied suppression, because it was perceived as less effortful. Effort was rated significantly lower for suppression compared to distancing (Study 1: $p = .042$, $\eta_p^2 = .04$; Study 2: $p = .002$, $\eta_p^2 = .13$). However, differences in effort were not reflected in pupillary data or heart period. Broad and narrow personality traits were neither associated with the preferred strategy, nor with subjective or physiological effort measures. Findings suggest that people tend to use the ER strategy that is perceived as less effortful, even though it might not be the most effective strategy.

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Conflicts of interest. The authors have nothing to disclose.

Author contributions. CS, AS, DD, and AG designed the study and prepared the preregistrations. CS and JZ conducted the study. STG managed the eye-tracking system and software and analyzed pupillometry data. CS and JZ performed all other analyses. CS wrote the first draft. All authors critically revised and approved the final version of the manuscript.

1. Theoretical Background

Emotion regulation (ER) can be conceptualized as any process by which individuals modify their emotional experiences, expressions, and physiology (Gross, 1998). Individuals encounter situations every day in which they have to regulate emotions. To achieve this, people can choose from a variety of strategies: situation selection, situation modification, attentional deployment, cognitive change, and response modulation (Gross, 1998). According to the author's process model of ER, cognitive change (often referred to as reappraisal), occurs at an early stage of the emotion generation process and is therefore conceptualized as antecedent-focused strategy. Response modulation occurs late (Gross, 1998, 2014) and is therefore a response-focused strategy. Following a recent taxonomy of Powers and LaBar (2019), these strategies can be implemented through different tactics. For example, the strategy reappraisal can be achieved using the tactic distancing, and the strategy response modulation using the tactic expressive suppression (from here on referred to as suppression). Because the current study focuses on two tactics from two different strategies, for simplicity we will refer to suppression and distancing as strategies from here on. Both strategies have been shown to reduce self-reported negative arousal and negative affect (Gross, 1998; Ray, McRae, Ochsner, & Gross, 2010). Meta-analytical evidence suggests that distancing, is superior over suppression in reducing arousal (Webb, Miles, & Sheeran, 2012). Moreover, it has been shown that reappraisal has positive psychological, cognitive, and social outcomes, whereas suppression is associated with negative psychological outcomes (e.g., Butler et al., 2003; Gross, 2002; Gross & John, 2003; Haga, Kraft, & Corby, 2009). Still, the question remains why people tend to use suppression in specific situations, even though it is associated with psychopathology and reduced well-being and social functioning in the long term (Aldao, Nolen-Hoeksema, & Schweizer, 2010; Gross & John, 2003).

Personality traits offer a possible explanation for this seemingly paradox behavior: Gross and John (2003) introduced the habitual use of reappraisal and suppression as two narrow personality traits. A high level of trait suppression makes people more likely to fall back on this strategy in specific situations. Although relations between those narrow traits and broad personality traits (i.e. Big Five) are known (Gross & John, 2003; John & Gross, 2004; Kokkonen & Pulkkinen, 2001), associations of broad personality traits (especially neuroticism and extraversion) and the effectiveness of the implementation of ER strategies are rather inconsistent (e.g. Harenski, Kim, & Hamann, 2009; Scheffel et al., 2019). Another explanation is offered by the ER flexibility approach. Findings suggest that people use different ER strategies flexibly in order to manage demands of varying situations (Aldao, Sheppes, & Gross, 2015; Bonanno & Burton, 2013; Kobylinska & Kusev, 2019). Apparently, people choose the strategy that is most useful to achieve a given goal. For example, suppression - often labeled as maladaptive - seems to be beneficial in negative emotional states (Bonanno & Keltner, 1997). The adaptivity of a strategy should therefore be evaluated in relation to the goal orientation.

1.1. Effort and emotion regulation

Another reason for using seemingly less effective ER strategies could be the effort required to implement them. Gross (1998) stated in the process model that the implementation of ER strategies requires cognitive control. This assumption is supported by findings regarding neural correlates of ER. Consistent across different studies, it has been shown that during intentional ER there is increased activation in brain regions associated with cognitive control (e.g., Buhle et al., 2014; Diers et al., 2020; Dörfel et al., 2014; Ochsner, Silvers, & Buhle, 2012). These cognitive control mechanisms are thought to be effortful (Hofmann, Schmeichel, & Baddeley, 2012). Schweizer, Grahn, Hampshire, Mobbs, and Dalgleish (2013) could show that emotional working memory (WM) training could improve affective control. Interestingly, the WM training resulted in increased activation in the subgenual anterior cingulate cortex during the ER task. This region has been shown to be involved in effortful control strategies (Wager, Davidson, Hughes, Lindquist, & Ochsner, 2008). It is assumed that after the WM training participants put sustained effort in the ER task (cortical effort model) (Engen & Kanske, 2013; Neubauer &

Fink, 2009; Schweizer et al., 2013). Furthermore, it has been shown that regulation of negative emotions was perceived as more effortful than regulation of positive emotions (Gruber, Harvey, & Gross, 2012).

Not only it is known that ER requires cognitive effort, there are also studies targeting differences between ER strategies. Focusing on response modulation, Richards and Gross (1999) found that suppression impaired memory function and led to increased cardiovascular activation. This was interpreted as indication that suppression is cognitively demanding. Interestingly, memory impairments were not observed for the antecedent-focused strategy reappraisal (Richards & Gross, 2000). Suppression as a response-focused strategy on the other hand is said to require more effort because of the constant need to control automatic emotional responses (see Gross, 2002; Richards, 2004). But there are also indications that reappraisal requires cognitive effort, for example when it is instructed relatively late (Sheppes, Catran, & Meiran, 2009) or when highly intense emotions are to be regulated (Sheppes & Gross, 2011). In both cases the demand on control processes is high and therefore more effort is required. Cognitive control may also underlie ER flexibility (Pruessner, Barnow, Holt, Joormann, & Schulze, 2020).

More concrete evidence was collected in studies using pupillometry. Pupil dilation is driven by sympathetic innervation of the pupillary dilator, resulting in larger pupil diameter during states of high alertness such as under anxiety (Andreassi, 2000; Bouffard, 2019). Therefore, pupil dilation is also associated with effort in cognitive control tasks (van der Wel & van Steenbergen, 2018) and emotional arousal (e.g., Bradley, Miccoli, Escrig, & Lang, 2008; Henderson, Bradley, & Lang, 2014). Studies found increased pupil sizes after both instructed increasing and decreasing of negative emotions (e.g., Johnstone, van Reekum, Urry, Kalin, & Davidson, 2007; Kinner et al., 2017; Urry, van Reekum, Johnstone, & Davidson, 2009; van Reekum et al., 2007). Especially, Kinner et al. (2017) distinguished between components of the pupillary response that reflect emotional arousal and components that reflect cognitive effort. During an ER paradigm, pupil dilations of thirty participants were tracked. The early pupillary response (within the first 2 s) was higher during regulation and therefore reflects cognitive demands during emotion regulation. The late pupillary response was modulated by emotional arousal (Kinner et al., 2017). The authors showed that ER is effortful and that this effort can be captured by means of the early pupillary response.

Another measure that has been associated with effort and ER is heart rate variability (HRV), which refers to the variation in heart period, the interval between two consecutive heartbeats in a pre-defined time window. HRV reactivity in response to stressors and cognitive tasks remained stable across time and task type in multiple studies (Austin, Riniolo, & Porges, 2007; Dragomir, Gentile, Nolan, & D'Antono, 2014; Muhtadie, Koslov, Akinola, & Mendes, 2015; Sloan, Shapiro, Bagiella, Gorman, & Bigger, 1995; Uchino, Holt-Lunstad, Bloor, & Campo, 2005), and stressors such as threat consistently led to a decrease in HRV (Balzarotti, Biassoni, Colombo, & Ciceri, 2017; Kim, Cheon, Bai, Lee, & Koo, 2018). It is not clear however, whether this short-term change in HRV can be attributed to the threatening, engaging or effort-demanding properties of the stimuli (Smith, Deits-Lebehn, Williams, Baucom, & Uchino, 2020), as activity in brain regions associated with emotion and in those associated with cognitive control have both been related to HRV reactivity (Gianaros, Van Der Veen, & Jennings, 2004; Lane et al., 2009). Changes in heart period itself have also been identified: emotional stimuli led to increases in heart period in healthy participants but to decreases in heart period in participants with bipolar disorder (Austin et al., 2007). Decreases in heart period in healthy subjects have been found in response to memory tasks, while being associated with decreased activation in brain regions associated with cognition and emotion, such as amygdala, hippocampus, and insula (Gianaros et al., 2004). We therefore aim to contribute to the literature by investigating heart period during the application of ER strategies as a measure of effort and arousal.

As an interim summary, it is known that ER requires cognitive effort and can be distinguished from reactions of emotional arousal by means of pupillometry (Kinner et al., 2017). However,

a direct comparison of ER strategies has not been done yet. It is still unclear whether ER strategies require different amounts of cognitive effort. Furthermore, the subjective component of cognitive effort has been left out so far, although it is necessary to distinguish between different effort measures (Kreis, Moritz, & Pfuhl, 2020; Steele, 2020). Last but not least, the influence of situational factors and individual differences on subjective and physiological cognitive effort during ER is unknown.

1.2. The present study

The present study aims to deepen the knowledge about the cognitive effort required by the implementation of two different ER strategies: Distancing as a tactic of reappraisal (antecedent-focused strategy) and suppression (response-focused strategy). Additionally, influence of situational factors and individual differences was investigated. We expected that 1.) distancing leads to higher decrease in emotional arousal (subjective ratings, late pupillary response and heart period) than suppression, 2.a) people select the ER strategy that is less effortful if they have a choice, 2.b) subjective and physiological cognitive effort is greater during suppression than during distancing, and these relationships are moderated by 3.a) situational factors (positive vs. negative valence of the stimuli) and by 3.b) individual differences (broad and narrow personality traits).

These hypotheses were targeted in two studies. In Study 1 an ER paradigm was conducted. Participants had to actively view or regulate their emotions while inspecting gray scaled neutral, negative, and positive pictures. Individual differences in broad and narrow personality traits were assessed using questionnaires. Study 2 used the same procedure except that participants now inspected colored pictures. In a subsequent exploratory analysis, we combined the samples to examine associations between subjective and physiological effort measures and personality traits. We did this to generate a larger sample to approximate recommendations of Schönbrodt and Perugini (2013) regarding sample sizes for correlations.

2. Study 1

2.1. Methods

The study procedure, all hypotheses and statistical analysis methods have been preregistered at the Open Science Framework (OSF) <https://osf.io/9wjyp>. Datasets, scripts, and analysis routines can be found at <https://osf.io/dk4s9>. Furthermore, “we report how we determined our sample size, all data exclusions (if any), all manipulations, and all measures in the study” in compliance with the 21-word-solution of open science (Simmons, Nelson, & Simonsohn, 2012). A complete list of all measures assessed in the study can be found at the OSF (<https://osf.io/jqv9z/>). All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. The experimental protocol was approved by the ethics committee of the Technische Universität Dresden (EK286062019).

2.1.1. Power analysis and participants

Power calculation was based on statistical affordances, related to both theoretical considerations and the aim to replicate the aforementioned study of Kinner et al. (2017), showing enhanced early peak dilations of the pupil during ER. The size of this effect was calculated with partial eta squared $\eta_p^2 = 0.13$. Calculating the sample size for an ANOVA with the given effect size and a power of 0.95 resulted in $N = 24$. However, we performed another power calculation for a separate research question not presented here (see <https://osf.io/9wjyp>). Power calculation for this separate research question led to a sample size of $N = 100$ to account for recent replication issues and a lack of literature regarding this research question. We oversampled by 10% to account for potential dropouts, technical failures or performance-based exclusions. Therefore, we aimed at a sample size of $N = 110$. Thus, our statistical approach considers recent replication issues and actually lower effect sizes than that were reported in initial studies (Open Science Collaboration, 2015; Schäfer &

Schwarz, 2019). It also allowed us to compute more reliable correlation coefficients between effort measures and personality traits. All computations were conducted using G*Power 3 (Faul, Erdfelder, Lang, & Buchner, 2007).

$N = 112$ participants took part in the experiment. Two participants had to be excluded because their pupils could not be tracked with the eye tracking system. The final sample consisted of $N = 110$ healthy participants, mostly psychology students (75 female; age: 24.9 ± 5.8). Please note that sample sizes of specific analyses can differ when participants had to be excluded due to technical reasons with the eye tracker or with psychophysiological measurements. All participants stated that they had normal to corrected vision and were fluent in German.

2.1.2. Experimental procedure

All sessions took place in a shielded cabin with constant lighting. Participants received information about the experimental procedure, signed an informed consent form and filled out a demographic questionnaire. Afterwards, the height of the table, chair, screen, eye-tracking system and the headrest were adjusted to the person. The eye tracking system was calibrated to make sure that the system was able to track the pupil. Participants then received written instructions on the ER paradigm and performed a training session. Next, electrodes to measure EEG (results of which will be reported elsewhere) and ECG were attached and the ER paradigm was conducted. Subsequently, participants filled out questionnaires on broad and narrow personality traits, (see below) and received financial compensation or course credit for their participation.

2.1.3. Emotion regulation paradigm

The ER task followed a block design (see Figure 1). Participants were told to actively view neutral, negative, and positive pictures (see 2.3. Stimuli) or to regulate all upcoming emotions by means of distancing and expressive suppression, respectively. The experiment always started with a block “active viewing-neutral”, which served as a baseline condition. During this block, 25 emotionally neutral pictures were presented and participants were asked to “actively view all pictures and permit all emotions that may arise.” In the second block, participants actively viewed positive and negative pictures (“active viewing-positive”, “active viewing-negative”). During the third and fourth block, participants were shown positive and negative pictures and they should regulate their emotions using distancing (“distancing-positive”, “distancing-negative”) and suppression (“suppression-positive”, “suppression-negative”). During distancing, participants were asked to “take the position of a non-involved observer, thinking about the picture in a neutral way.” Participants were told not to re-interpret the situation as made-up, attaching a different meaning to the situation, or distracting themselves. During suppression, participants were told to “suppress their emotional facial expression.” To achieve this, they should imagine being observed by a third person. This person should not be able to tell just by looking at the facial expression whether the person is looking at a positive or negative picture. Participants were told not to suppress thoughts or to change their facial expression to the opposite. All participants received written instructions including examples and completed a training session which took about 15 min. After the training session, participants were asked about their applied ER strategies to resolve possible misunderstandings. The order of the two ER blocks (distancing and suppression, respectively) was completely randomized between participants. The last block contained the choice condition: Participants could choose which of the two ER strategies they wanted to reapply, without instruction on what basis they should make their decision (“choice-positive”, “choice-negative”). They stated their reasons in a questionnaire after the ER task. The ER task was developed following similar ER paradigms (Diers et al., 2020; Dörfel et al., 2014; Scheffel et al., 2019; Walter et al., 2009). Instructions for all blocks were presented on screen at the beginning of each block.

Figure 1. Block design of the emotion regulation paradigm. Every participant started with two “active viewing” blocks containing neutral pictures (Block 1) and positive and negative pictures (Block 2). Order of regulation blocks (Block 3 and 4) was random between participants. Before the last block, participants could decide whether they want to reapply suppression or distancing. Subjective arousal and effort ratings were assessed after each block using a slider on screen with a continuous scale.

Each of the blocks two to five consisted of 50 trials with 25 trials showing positive pictures and 25 trials showing negative pictures. For all blocks, each trial began with a fixation cross that lasted on average 3 s (± 1 s, uniform distributed). Afterwards, participants saw a neutral or emotional picture for a total of 6 s. After this, a grey screen was visible for 1 s (see Figure 2). After each block two to five participants retrospectively rated their emotional arousal on a continuous scale (ranging from “not at all aroused” to “very highly aroused”); after each block three to five participants retrospectively rated their subjective effort (ranging from “not very exhausting” to “very exhausting”). This was done separately for positive and negative pictures using a slider on the screen.

Figure 2. Sequence of all events within one trial. Participants were asked to actively view neutral and emotional pictures or to regulate emotional pictures via suppression or detachment. The example picture was taken from the Open Affective Standardized Image Set (OASIS) (Kurdi, Lozano, & Banaji, 2017).

2.1.4. Stimuli

All pictures were selected from the Emotional Picture Set (EmoPicS) (Wessa et al., 2010) and the International Affective Picture System (IAPS) (Lang, Bradley, & Cuthbert, 2008). The 25 neutral pictures (Valence (V): $M \pm SD = 4.86 \pm 0.49$, Arousal (A): $M \pm SD = 3.01 \pm 0.61$) depicted content related to the categories objects, persons, and scenes. By using an evolutionary algorithm (Yu & Gen, 2010), 100 positive and 100 negative pictures were clustered into four sets, respectively, based on their normative valence ratings, arousal ratings, and luminescence. Positive pictures featured the categories persons, animals, scenes, objects, and food. Valence and arousal values of the four sets were comparable (set one: V: $M \pm SD = 7.22 \pm 0.34$, A: $M \pm SD = 4.87 \pm 0.71$; set two: V: $M \pm SD = 7.22 \pm 0.50$, A: $M \pm SD = 4.87 \pm 0.63$; set three: V: $M \pm SD = 7.22 \pm 0.60$, A: $M \pm SD = 4.87 \pm 0.73$; set four: V: $M \pm SD = 7.22 \pm 0.49$, A: $M \pm SD = 4.87 \pm 0.62$). Negative pictures featured the categories animals, body, disaster, disgust, injury, suffering, violence, and weapons. Again, valence and arousal values were comparable (set one: V = 2.18, A = 6.20; set two: V = 2.19, A = 6.20; set three: V = 2.19, A = 6.20; set four: V = 2.19, A = 6.19). A complete list of all pictures and their classification into sets can be found in Supplementary Material 1. All pictures were displayed in grayscale. The mean luminosity of all 225 pictures was matched using MATLAB 2019a SHINE toolbox (MathWorks Inc.). Means of luminosity values did not differ between the picture sets ($F(8) = 0.99$, $p = .448$) (Willenbockel et al., 2010). To keep the mean luminosity constant during the whole experiment, the background was gray using the mean luminosity of the pictures.

2.1.5. Pupillometry

Pupillary data was recorded with a SR Research Ltd. EyeLink 1000 Plus system (SR Research, Ottawa, Ontario, Canada). The system tracked the pupil's position, corneal reflections, and the pupil size (in arbitrary units) of the right eye. Pupil diameter was recorded at a sampling rate of 500 Hz. Participants were placed in a distance of 0.60 m in front of the camera. This distance was kept constant during the whole experiment. The eye tracking system was calibrated with a 9-point calibration prior to the experiment. During the ER task, a drift correction was performed before each block. The data were prepared and pre-analyzed using scripts (<https://osf.io/a87sg/>) following the data analysis of Kinner et al. (2017). Trials with less than 2,000 valid samples were identified and excluded using an R-based (<https://www.r-project.org/>) algorithm. Afterwards, participants with missing data in more than 50% of the trials in different conditions were excluded from further analysis. This affected ten participants. For visualization, pupillary data were baseline-corrected in the interval of 40-300 ms after stimulus onset.

For each condition ("active viewing-neutral", "active viewing-negative", "active viewing-positive", "suppression-negative", "suppression-positive", "distancing-negative", "distancing-positive", "choice-negative", "choice-positive"), two parameters were computed (Kinner et al., 2017): the early pupillary response was defined as the peak dilation in the first 2 s of picture presentation. A gradient was calculated between the minimum pupil diameter of the first second and the maximum pupil diameter between seconds 1 and 2 (Bradley et al., 2008; Henderson et al., 2014; Kinner et al., 2017). The gradient of the early pupillary response operationalizes cognitive effort. The late pupillary response was defined as the diameter increase within the interval of seconds 2 to 6 (Bradley et al., 2008; Henderson et al., 2014; Kinner et al., 2017). The area under the curve with respect to increase (AUC_i), which reflects the late pupillary response that is not confounded by the early pupillary response (e.g., Henderson et al., 2014; Kinner et al., 2017; van Reekum et al., 2007). The AUC_i of the late pupillary response operationalizes emotional arousal. Individual data were averaged across 25 trials per condition.

2.1.6. Heart period

Electrocardiogram (ECG) was recorded from left and right forearms at 500 Hz sampling rate using Brain Vision Recorder (Brain Products Inc., Gilching, Germany). Passive Ag/AgCl sintered ring electrodes were used. Data were preprocessed using Brain Vision Analyzer

(Brain Products Inc., Gilching, Germany). Heart period data were filtered using a zero phase shift Butterworth filter (high-pass: 5 Hz, low-pass: 30 Hz, notch: 50 Hz). R peaks were detected using the HEPLAB plugin (Perakakis, 2019) for EEGLAB (Delorme & Makeig, 2004) in Matlab (MATLAB, 2019) and visual inspection. For each trial, temporal differences of R peaks were computed for the duration of each stimulus and a baseline time window (from 2,000 ms before stimulus onset). Afterwards, a baseline correction for each trial was conducted, yielding the mean change of the interbeat-intervals (IBI) for each condition. Heart period operationalizes both emotional arousal and cognitive effort because both influence it (see 5.3.). For simplicity, heart period is from here on listed as arousal measure.

2.1.7. Psychometric measurements

After the ER experiment, participants completed a number of questionnaires. The Big Five Inventory (BFI-2) (Soto & John, 2017; German version: Danner et al., 2019) measured broad personality traits. Dispositional use of ER was assessed using the Emotion Regulation Questionnaire (ERQ) (Gross & John, 2003; German version: Abler & Kessler, 2009) and ER ability with the Flexible Emotion Regulation Scale (FlexER) (Dörfel, Gärtner, & Strobel, 2019). The short form of the Need for Cognition Scale (Cacioppo & Petty, 1982; German version: Bless, Wanke, Bohner, Fellhauer, & Schwarz, 1994) was used to assess need for cognition (NFC), and implicit theories of willpower in emotion control were assessed using the implicit theories questionnaire from Bernecker and Job (2017).

2.1.8. Statistics

All statistical analyses were performed using *RStudio* (version 1.4.1103) (RStudio Team, 2020) and *R* (version 4.0.3) (R Core Team, 2020) for Windows. Please see Supplementary Material 2 for a complete list of all R packages used. The level of significance was set to $\alpha = .05$. Statistical tests for all hypotheses targeted in this study were conducted as stated in our preregistration (<https://osf.io/9wjyp>). For behavioral data (subjective arousal and effort ratings) as well as for physiological data (pupillary data, heart period) ANOVAs were conducted. ANOVAs were implemented with the within-subject factors strategy (active viewing, suppression, and distancing) and valence (negative and positive). For heart period data, an additional ANOVA with the factors valence (neutral, negative, and positive) for the strategy active viewing was conducted. If assumption of sphericity was violated, Greenhouse-Geisser-corrected p -values and degrees of freedom were reported. For all results, the proportion of explained variance η_p^2 served as effect size and was reported. If indicated by the data, estimated marginal means were computed as post hoc contrasts. For analyses regarding pupillometry, we conducted performance-based exclusions (e.g., Kinner et al., 2017; Urry et al., 2009) of participants using their physiological data of the condition “active viewing-neutral”. It is expected that people show relatively few physiological responses in this condition. Therefore, participants with physiological responses higher than 1.5 interquartile ranges above the third quartile of the group mean were excluded (Kinner et al., 2017). Four participants met this criterion for the early pupillary response and four other participants for the late pupillary response.

2.2. Results

2.2.1. Effect of emotion regulation on arousal

Subjective arousal ratings

To explore whether the ER strategies distancing and suppression altered the emotional feeling, an ANOVA for arousal ratings was conducted. We found a significant main effect of valence ($F_{1,109} = 45.6, p < .001, \eta_p^2 = .30$): Negative pictures were rated as significantly more arousing than positive pictures. Moreover, we found a significant main effect of strategy ($F_{1,85,202.15} = 26.9, p < .001, \eta_p^2 = .20$) and an interaction effect ($F_{1,98,215.86} = 3.8, p = .024, \eta_p^2 = .03$). Post-hoc tests revealed a differential impact of ER strategies on emotional arousal. For negative pictures, distancing significantly reduced arousal ($p < .001$), whereas suppression did not reduce arousal ($p > .05$). For positive pictures, both distancing and

suppression significantly reduced emotional arousal (all $ps < .001$; see Supplementary Material 4 and Table 1).

Table 1. $M \pm SD$ of subjective arousal and effort ratings, early (Gradient) and late (AUC_i) pupillary response, and heart period in response to neutral, positive, and negative pictures.

	Subjective arousal	Subjective effort	Gradient (per s) phys. effort	AUC_i phys. arousal	Heart Period (in ms) phys. arousal
Active Viewing Neutral	-	-	17.4 ± 21.0	11.00 ± 9.84	8.35 ± 17.95
Active Viewing Negative	266.1 ± 83.8	-	31.2 ± 18.7	6.23 ± 10.69	23.26 ± 23.81
Active Viewing Positive	236.7 ± 74.0	-	24.2 ± 24.8	6.90 ± 9.20	10.18 ± 19.83
Suppression Negative	258.7 ± 86.7	224.1 ± 97.9	30.5 ± 17.9	3.88 ± 8.68	30.97 ± 27.87
Suppression Positive	207.2 ± 84.8	174.3 ± 98.1	23.7 ± 19.5	4.88 ± 10.65	21.37 ± 25.10
Distancing Negative	233.0 ± 87.0	254.0 ± 93.5	30.9 ± 19.5	5.00 ± 9.71	30.86 ± 26.89
Distancing Positive	181.1 ± 85.7	179.3 ± 89.3	27.2 ± 17.8	5.50 ± 9.53	17.82 ± 25.46

Note. For descriptive purpose, gradient was multiplied with 500 to show change of pupil size per second. Heart period is reported as relative change from baseline.

Late pupillary response

The AUC_i as indicator of the late pupillary response (physiological arousal) revealed no significant main effect of valence ($F_{1,90} = 2.5$, $p > .05$, $\eta_p^2 = .03$). We further found a significant main effect of strategy ($F_{2,179.6} = 3.9$, $p = .022$, $\eta_p^2 = .04$), but no interaction effect ($F_{1,96,176.38} = 0.10$, $p > .05$, $\eta_p^2 < .01$). However, post-hoc tests showed no significant differences between the strategies (all $ps > .05$) for both positive and negative pictures. This indicates no higher arousal during active viewing than during regulation of emotional pictures.

Heart period

To explore changes in heart period between strategies we conducted two ANOVAs. The first ANOVA only covered the strategy active viewing and we found a significant effect of valence (neutral, positive, negative) ($F_{2,105} = 16.5$, $p < .001$, $\eta_p^2 = .09$). Post-hoc tests showed that viewing of negative pictures led to significantly longer IBIs, that is, an increased heart period compared to positive and neutral pictures (both $ps < .001$). There was no significant difference between neutral and positive pictures ($p > .05$). The second ANOVA covered differences between active viewing, suppression, and distancing for positive and negative pictures. Here, we found a significant main effect of valence ($F_{1,106} = 36.5$, $p < .001$, $\eta_p^2 = .026$), indicating significantly longer IBIs for positive pictures ($p < .001$). This indicates higher arousal for negative pictures. Secondly, we found a significant main effect of strategy ($F_{2,105} = 8.63$, $p < .001$, $\eta_p^2 = .026$), but no interaction effect ($F_{2,105} = 0.34$, $p > .05$, $\eta_p^2 < .01$). Post hoc tests revealed significantly shorter IBIs, that is, a decreased heart period, during suppression and distancing compared to active viewing (both $ps < .01$). However, both regulation conditions did not differ significantly from each other ($p > .05$).

2.2.2. Emotion regulation and effort

Subjective effort

As a first indicator for subjective effort, we inspected the choice block where participants decided their preferred strategy. We hypothesized that the participants' decisions would be driven by the effort that is required for the two strategies. A majority of $n = 60$ participants (54.5%) chose suppression, while $n = 50$ (45.5%) chose distancing. A subsequent questionnaire revealed that, indeed, 58% of participants chose the strategy that was subjectively less effortful. About 22% stated they made the decision because the strategy was more effective for them. About 13% ($n = 14$) wanted a challenge and chose the strategy that felt more effortful. Interestingly, the vast majority of this group chose to reapply distancing ($n = 12$), although it was perceived as more effortful.

These patterns were also reflected in the subjective effort ratings. To evaluate effort ratings after the regulation blocks, we computed an ANOVA and found a significant main effect of valence ($F_{1,109} = 46.4$, $p < .001$, $\eta_p^2 = .30$). Participants perceived regulation of negative emotions during both strategies as more effortful (both $ps < .001$). Further, we found a significant main effect of strategy ($F_{1,109} = 4.25$, $p = .042$, $\eta_p^2 = .04$; see Figure 3) and a significant interaction effect of valence and strategy ($F_{1,109} = 5.6$, $p = .02$, $\eta_p^2 = .05$). Post-hoc tests revealed that for negative pictures, participants perceived suppression as less effortful than distancing ($p < .001$). For positive pictures, there was no difference between the strategies ($p > .05$).

Figure 1. Study 1. Subjective effort ratings of the strategies suppression and distancing for negative and positive pictures, visualized as boxplots. Each dot represents the effort rating of a single subject. Bold dots represent outliers.

We investigated subjective effort ratings for negative pictures exploratory for both choice groups (choice: suppression and choice: distancing). An ANOVA was computed with the within factor experimental block (suppression, distancing, and choice) and the between factor choice (replied suppression or reapplied distancing). The ANOVA showed no significant main effect of the between factor choice ($F_{1,108} = 1.55$, $p > .05$, $\eta_p^2 = .014$), but a significant main effect of the within factor block ($F_{1,86,201.03} = 6.63$, $p = .002$, $\eta_p^2 = .058$) and a significant interaction effect ($F_{1,86,201.03} = 7.34$, $p = .001$, $\eta_p^2 = .064$). Post-hoc tests revealed that effort in the distancing block was perceived as significantly higher than in the suppression and in the choice block

(both p s < .001), but only from participants that chose to reapply suppression. Participants that reapplied distancing in the choice block perceived all blocks as equally effortful (all p s > .05; see Figure 4).

Figure 2. Study 1. Subjective effort ratings of the blocks suppression, distancing, and choice for negative pictures. The groups represent the choice of the participants in the last block: either to reapply suppression or to reapply distancing. Each dot represents the effort rating of a single subject. Bold dots represent outliers.

Early pupillary response

Figure 5 shows the trajectory of the pupillary response over all strategies and emotions. It is clearly visible that the condition “active viewing-neutral” shows a significantly different trajectory. For possible reasons see 5.3.

Figure 3. Mean pupillary responses to neutral, positive and negative pictures in Study 1. **a)** Trajectory of all the conditions “active viewing-negative”, “suppression-negative”, “distancing-negative” and “active viewing-neutral” in arbitrary values. The gray area shows the time window of the early pupillary reaction. **b)** Trajectory of all the conditions “active viewing-positive”, “suppression-positive”, “distancing-positive” and “active viewing-neutral” in arbitrary values. The gray area shows the time window of the early pupillary reaction.

To explore the effect of different ER strategies on effort, an ANOVA was computed with the gradient of the early pupillary response as dependent variable and strategy (view, suppression, distancing) and valence (negative, neutral) as independent variables. We found no significant main effect of strategy ($F_{1.80,162.44} = 0.87$, $p = .41$, $\eta_p^2 = .01$), but a highly significant effect of

valence ($F_{1,90} = 21.51$, $p < .001$, $\eta_p^2 = .19$), indicating a higher gradient of the early pupillary response when regulating negative emotions compared to positive emotions.

Regarding associations with subjective effort ratings, we found a significant negative correlation between the effort rating after distancing of negative pictures and the mean gradient during distancing of both negative ($r = -.21$, $p = .044$) and positive pictures ($r = -.21$, $p = .043$).

As a brief summary of the first study: We found that distancing could significantly reduce subjective emotional arousal. However, this was not reflected in physiological measures (late pupillary response and heart period). On average, participants perceived distancing as more effortful than suppression. This was also reflected in the fact that the majority chose to reapply suppression. No significant differences between ER strategies were observed for the early pupillary response.

3. Study 2

The main goals in Study 2 were to attempt to replicate the results of Study 1 and to investigate peripheral-physiological effort measures more deeply. In the first study, we used gray scaled pictures because we wanted to control for other potential influences on the pupillary responses than arousal and effort. However, most ER research is done with colored pictures (e.g. Diers et al., 2020; Dörfel, Gärtner, & Scheffel, 2020; Scheffel et al., 2019) and valence and arousal of colored pictures are perceived as higher than for gray scaled pictures (Bekhtereva & Muller, 2017). Therefore, we conducted a second study investigating whether results on subjective arousal ratings and heart period also hold for colored pictures. Furthermore, we wanted to explore whether differences between ER strategies are reflected in peripheral-physiological arousal and effort measures when observing colored pictures.

The study procedure, all hypotheses, and statistical analysis methods have been preregistered at <https://osf.io/ytujb/>. Datasets, scripts, and analysis routines can be found at <https://osf.io/dk4s9>. Again, “we report how we determined our sample size, all data exclusions (if any), all manipulations, and all measures in the study” in compliance with the 21-word-resolution of open science (Simmons et al., 2012). A complete list of all measures assessed in the study can be found on OSF (<https://osf.io/jqy9z/>).

3.1. Methods

3.1.1. Power analysis and participants

As already mentioned, Kinner et al. (2017) found effect sizes of $\eta_p^2 = 0.13$ for differences in ER strategies on the early pupillary response (physiological effort). Calculation of the sample size for an ANOVA with the given effect size and a power of 0.95, resulted in $N = 24$. To prevent against replication issues and following current recommendations (Gignac & Szodorai, 2016; Open Science Collaboration, 2015; Schäfer & Schwarz, 2019), sample size calculations were conducted with half of the reported effect size ($\eta_p^2 = 0.065$) and resulted in a sample size of $N = 48$. To prevent against dropouts due to technical failures, a sample size of $N > 50$ was aimed at. All computations were conducted using G*Power 3 (Faul et al., 2007).

In the second study, $N = 54$ participants took part in the experiment. Pupils of two participants could not be captured with the eye tracking system. These participants had to be excluded from further analyses. The final sample consisted of $N = 52$ healthy participants (36 female; age: 24.3 ± 6.0 years). Again, please note that sample sizes of specific analyses can differ when participants had to be excluded due to technical reasons with the eye tracker or with psychophysiological measurements. All participants stated that they had normal to corrected vision and were fluent in German.

3.1.2. Experimental Procedure

All sessions took place in a shielded cabin with constant lighting. Participants received information about the experimental procedure, signed an informed consent form and filled out a general questionnaire on demographic data. Afterwards, the height of the table, chair,

screen, eye-tracking system and the headrest were adjusted to the person. Electrodes to measure ECG were attached and a 6-minute resting measurement was conducted. Participants then received written instructions on the ER paradigm and performed a training session. The eye tracking system was calibrated and the ER paradigm was run. Afterwards, participants filled out a variety of questionnaires and received financial compensation or course credit for their participation. The local ethics committee of the Technische Universität Dresden approved the experimental protocol (reference number: EK 286062019).

3.1.3. Emotion regulation paradigm

The ER task followed the same block design as used in Study 1 (see 2.1.3). Participants were told to actively view neutral, negative, and positive pictures (see 3.1.4) or to regulate all upcoming emotions by means of two different ER strategies: distancing and suppression. The ER paradigm was the exact same block design as used in Study 1 (see 2.1.3.). Additionally, in Study 2 participants rated their subjective effort also for active viewing of positive and negative pictures.

3.1.4. Stimuli

The pictures in Study 2 were again selected from the Emotional Picture Set (EmoPicS) (Wessa et al., 2010) and the International Affective Picture System (IAPS) (Lang et al., 2008). The 25 neutral pictures ($V: M \pm SD = 4.86 \pm 0.49$, $A: M \pm SD = 3.01 \pm 0.61$) remained unchanged compared to Study 1. Again, 100 positive and 100 negative pictures were clustered into four sets based on normative valence and arousal ratings and RGB color space. Therefore, the classification into the sets differed (see Supplementary Material 5 for a complete list of pictures and their classification into sets). Valence and arousal were comparable for both, positive pictures (set one: $V: M \pm SD = 7.30 \pm 0.46$, $A: M \pm SD = 4.73 \pm 0.69$; set two: $V: M \pm SD = 7.16 \pm 0.57$, $A: M \pm SD = 4.87 \pm 0.67$; set three: $V: M \pm SD = 7.15 \pm 0.51$, $A: M \pm SD = 5.06 \pm 0.80$; set four: $V: M \pm SD = 7.25 \pm 0.46$, $A: M \pm SD = 4.86 \pm 0.49$). and negative pictures (set one: $V: SD = 2.13 \pm 0.61$, $A: M \pm SD = 6.13 \pm 0.66$; set two: $V: M \pm SD = 2.21 \pm 0.52$, $A: M \pm SD = 6.23 \pm 0.73$; set three: $V: M \pm SD = 2.23 \pm 0.66$, $A: M \pm SD = 6.12 \pm 0.82$; set four: $V: M \pm SD = 2.18 \pm 0.57$, $A: M \pm SD = 6.19 \pm 0.66$). All pictures were displayed in color. The mean luminosity was matched separately for negative and positive pictures using an adaption of the MATLAB 2019a SHINE toolbox (MathWorks Inc.) – SHINE_color (<https://osf.io/auzjy/>). Because some pictures looked unreal after the colors were adjusted, they were replaced. Mean of luminosity values did not differ between the picture sets ($F_8 = 0.04$, $p > .99$). Please note that the RGB values between picture sets of different valences differed significantly (all $p < .001$), but not between picture sets of same valences. To keep the mean luminosity constant during the whole experiment, the background was gray with the mean luminosity of the pictures.

3.1.5. Pupillometry

To record pupillary data, an SR Research Ltd. EyeLink 1000 Plus system was used (SR Research, Ottawa, Ontario, Canada). The recording and analysis of pupillary data followed the same procedure as described in Study 1 (see 2.1.5.). In Study 2, eight participants had to be excluded because of more than 50% missing trials in one condition.

3.1.6. Heart period

Electrocardiogram (ECG) was recorded from left and right forearms at 500 Hz sampling rate using Brain Vision Recorder (Brain Products Inc., Gilching, Germany). Recording and analysis of heart period data followed the same procedure as described in Study 1 (see 2.1.6.)

3.1.7. Psychometric measurements

After the ER experiment, participants also completed the Big Five Inventory (BFI-2) (Soto & John, 2017; German version: Danner et al., 2019), the Emotion Regulation Questionnaire (ERQ) (Gross & John, 2003; German version: Abler & Kessler, 2009), the Flexible Emotion Regulation Scale (FlexER) (Dörfel et al., 2019), the short form of the Need for Cognition Scale

(Cacioppo & Petty, 1982; German version: Bless et al., 1994), and the questionnaire for implicit theories of willpower in emotion control (Bernecker & Job, 2017).

3.1.8. Statistics

All statistical analyses were performed using *RStudio* (version 1.4.1103) (RStudio Team, 2020) and *R* (version 4.0.3) (R Core Team, 2020) for Windows. The level of significance was set to $\alpha = .05$. Statistical tests for all hypotheses targeted in this study were conducted as stated in our preregistration (<https://osf.io/9wjyp>). For behavioral data (subjective arousal and effort ratings) as well as for physiological data (pupillary data and heart period) ANOVAs were conducted. Because neutral pictures only were presented with the strategy active viewing, separate ANOVAs were computed for that strategy with the within-subject factor valence (neutral, negative, and positive). Further ANOVAs were implemented with the within-subject factors strategy (active viewing, suppression, and distancing) and valence (negative and positive). If assumption of sphericity was violated, Greenhouse-Geisser-corrected p -values and degrees of freedom were reported. For significant results, the proportion of explained variance η_p^2 served as effect size. If indicated by the data, estimated marginal means were computed as post hoc contrasts. Significance levels were Bonferroni corrected. For analyses regarding pupillometry, we conducted performance-based exclusions (e.g., Kinner et al., 2017; Urry et al., 2009) of participants using their physiological data of the condition active viewing-neutral. It is expected that people show relatively little physiological responses in this condition. That is why participants with physiological responses higher than 1.5 interquartile ranges above the third quartile of the group mean were excluded (Kinner et al., 2017). Zero participants met this criterion for the early pupillary response and two participants for the late pupillary response.

3.2. Results

3.2.1. Effect of emotion regulation on arousal

Subjective arousal ratings

In the second study, we computed an ANOVA for the active viewing condition to explore the impact of valence on subjective arousal ratings. We found a highly significant effect of valence ($F_{1.97,100.63} = 128.84$, $p < .001$, $\eta_p^2 = .716$), indicating that both positive and negative pictures were perceived as more arousing than neutral pictures (both $ps < .001$). Additionally, negative pictures were perceived as more arousing than positive pictures ($p < .001$; see supplementary material 6).

To explore changes in arousal ratings over strategies, a second ANOVA was computed. We found a significant main effect of valence ($F_{1.51} = 66.5$, $p < .001$, $\eta_p^2 = .566$). Negative pictures were rated as significantly more arousing than positive pictures. Additionally, we found a significant main effect of strategy ($F_{1.93,98.57} = 13.33$, $p < .001$, $\eta_p^2 = .207$). No interaction effect between strategy and valence was found ($p = .975$, $\eta_p^2 < .001$). Post-hoc test revealed a differential impact of ER strategies on emotional arousal. For both negative and positive pictures, distancing significantly reduced arousal (both $ps < .001$), whereas suppression did not reduce arousal (both $ps > .05$; see Supplementary Material 8 and Table 3).

Late pupillary response

To determine the modulation of the late pupillary response by strategy and valence, an ANOVA with the AUC_i of each condition was computed. We found no significant main effects of strategy or valence ($ps \geq .22$ and $\eta_p^2 \leq .035$). Additionally, no interaction effect was observed ($p > .05$ and $\eta_p^2 = .033$). This indicates that ER had no effect on the late pupillary response (see Table 2).

Table 2 $M \pm SD$ of self-reported arousal and effort ratings, early (Gradient) and late (AUC_i) pupillary response, and heart period in response to neutral, positive, and negative pictures.

	Subjective arousal	Subjective effort	Gradient (per s) phys. effort	AUC _i phys. arousal	Heart Period (in ms) phys. arousal
Active viewing Neutral	63.8 $\pm$ 68.1	107.0 $\pm$ 112.7	24.4 $\pm$ 20.5	10.83 $\pm$ 17.2	- 26.13 $\pm$ 110.3
Active viewing Negative	289.3 $\pm$ 79.1	260.4 $\pm$ 99.4	26.0 $\pm$ 40.1	10.00 $\pm$ 9.84	- 1.05 $\pm$ 72.4
Active Viewing Positive	197.6 $\pm$ 83.7	64.7 $\pm$ 61.1	29.3 $\pm$ 21.9	9.84 $\pm$ 15.73	- 9.48 $\pm$ 72.9
Suppression Negative	273.8 $\pm$ 85.9	222.5 $\pm$ 111.7	25.9 $\pm$ 26.9	9.04 $\pm$ 11.56	5.27 $\pm$ 69.1
Suppression Positive	184.0 $\pm$ 88.7	147.1 $\pm$ 96.0	24.8 $\pm$ 29.7	8.70 $\pm$ 12.47	- 2.22 $\pm$ 51.2
Distancing Negative	242.0 $\pm$ 79.2	253.8 $\pm$ 99.9	28.1 $\pm$ 24.3	6.04 $\pm$ 11.19	16.14 $\pm$ 42.5
Distancing Positive	149.2 $\pm$ 90.2	159.6 $\pm$ 105.9	24.8 $\pm$ 22.1	9.23 $\pm$ 11.93	- 7.65 $\pm$ 75.1

Note. For descriptive purpose, gradient was multiplied with 500 to show change of pupil size per second. Heart period is reported as relative change from baseline.

Heart period

Two ANOVAS were conducted to explore effects of valence and strategies on heart period. When considering the strategy active viewing only, we once again found a significant effect of valence ($F_{2,50} = 5.5$, $p = .005$, $\eta_p^2 = .067$). During active viewing of negative pictures, heart period significantly increased as indicated by longer IBIs compared to positive and neutral pictures ($p_{pos-neg} = .011$ and $p_{neu-neg} = .016$). The second ANOVA covered changes of heart period over the strategies active viewing, suppression, and distancing for positive and negative pictures. Here we found no effect of strategy ($F_{2,50} = 1.87$, $p = .167$, $\eta_p^2 = .035$), but again an effect of valence ($F_{1,51} = 11.49$, $p = .001$, $\eta_p^2 = .184$), indicating longer IBIs and therefore increased heart period for positive pictures ($p < .05$; see Table 2).

3.2.2. Emotion regulation and effort

Subjective effort

Similar to Study 1, we inspected the participants' choice for the last experimental block. The choice pattern was even more pronounced in Study 2. Of all $N = 52$ participants, 67.3% ($n = 35$) chose suppression and 32.7% ($n = 17$) chose distancing. This time, 63.4% chose their ER strategy because it was perceived as less effortful, while 23.1% chose it because it was perceived as more effective. Participants that rated suppression as less effortful chose suppression more often in the choice block (see Figure 7).

The decisions and respective reasons were again reflected in subjective effort ratings. Again, an ANOVA revealed a significant main effect of valence ($F_{1,51} = 75.14$, $p < .001$, $\eta_p^2 = .596$) indicating higher effort ratings for negative pictures for all strategies (active viewing, suppression, and distancing; all $ps < .001$). The main effect of strategy was significant ($F_{1,89,96.20} = 7.69$, $p = .001$, $\eta_p^2 = .131$; see Figure 6). Finally, we found a significant interaction effect of valence and strategy ($F_{1,77,90.07} = 25.08$, $p < .001$, $\eta_p^2 = .330$; see Figure 6). Post-hoc tests revealed significantly higher effort ratings for the strategies suppression and distancing compared to active viewing (both $ps < .001$), but no difference between suppression and distancing ($p > .05$). This only applied to positive pictures. For negative pictures, significantly higher effort ratings for active viewing ($p = .029$) compared to suppression were found.,

indicating a moderating role of valence on subjective effort, especially for the strategy active viewing.

Figure 4. Study 2. Subjective effort ratings of the strategies suppression and distancing for negative and positive pictures, visualized as boxplots. Each dot represents the effort rating of a single subject. Bold dots represent outliers.

Similarly to Study 1, differences in effort ratings were only found for negative pictures. We therefore again investigated exploratory effort ratings for negative pictures more detailed for both choice groups (Choice: suppression and choice: distancing). An ANOVA was computed with the within factor experimental block (suppression, distancing, and choice) and the between factor choice (replied suppression or reapplied distancing). The ANOVA showed neither a significant main effect of the between factor choice ($F_{1,50} = 0.28, p > .05, \eta_p^2 = .006$), nor a significant main effect of the within factor block ($F_{1.82,91.06} = 1.22, p > .05, \eta_p^2 = .024$). However, a significant interaction effect was found ($F_{1.82,91.06} = 4.70, p = .014, \eta_p^2 = .086$). Post-hoc tests revealed that effort in the distancing block was perceived as significantly higher than in the suppression and in the choice block (both $ps < .02$), but only from participants that chose to reapply suppression. Participants that reapplied distancing in the choice block perceived all blocks as equally effortful (all $ps > .05$; see Figure 7).

Figure 5. Study 2. Subjective effort ratings of the blocks suppression, distancing, and choice for negative pictures. The groups represent the choice of the participants in the last block: either to reapply suppression or to reapply distancing. Each dot represents the effort rating of a single subject. Bold dots represent outliers.

Early pupillary response

Figure 8 shows the trajectory of the pupillary response of all strategies and valences. It is clearly visible that the condition “active viewing-neutral” shows a significantly different trajectory. For possible reasons please see 5.3.

Comparable to Study 1, an ANOVA was computed to explore the change of the early pupillary response across strategies and valences. We neither found a significant main effect of valence ($F_{1,42} = 0.00$, $p = .976$, $\eta_p^2 < .01$), nor a significant main effect of strategy ($F_{1.72,74.34} = 0.35$, $p = .676$, $\eta_p^2 = .01$), nor a significant interaction effect ($F_{1.57,66.14} = 0.50$, $p = .564$, $\eta_p^2 = .01$).

Figure 6. Mean pupillary responses to neutral, positive and negative pictures in Study 2. **a)** Trajectory of all the conditions “active viewing-negative”, “suppression-negative”, “distancing-negative” and “active viewing-neutral” in arbitrary values. The gray area shows the time window of the early pupillary reaction. **b)** Trajectory of all the conditions “active viewing-positive”, “suppression-positive”, “distancing-positive” and “active viewing-neutral” in arbitrary values. The gray area shows the time window of the early pupillary reaction.

4. Exploratory analyses

The following exploratory analyses investigate associations between individual differences in arousal and effort measures (subjective ratings, choice behavior, pupillometry, and heart rate) and broad (Big Five) and narrow (habitual use of reappraisal and suppression, need for cognition, emotion regulation flexibility, implicit theories about emotion control) personality traits. We stated in the preregistrations for each study to analyze the associations separately for each sample. However, we decided to deviate from this approach. Sample sizes of $N = 110$ (Study 1) and $N = 52$ (Study 2) would be too small to calculate reliable correlations (Schönbrodt & Perugini, 2013). In the following we therefore used combined samples to be closer to the recommended sample size for correlations of $N = 250$ and thus to obtain more reliable results. The combined sample size now comprised $N = 162$ participants (112 females; age: 24.8 ± 5.9 years), $n = 95$ of whom chose suppression (64 females; age: 24.6 ± 6.2 years) and $n = 67$ of whom chose distancing (48 females, age: 24.9 ± 5.6 years) in the choice block of the experiments.

4.1. Statistics

As a prerequisite, normal distribution and equivalence of variance between Study 1 and Study 2 of all variables of interest (Supplementary Material 9, 10, and 11) were tested. Further, we computed equivalence tests (for two independent samples) to see if values of variables (subjective arousal and effort ratings and questionnaire data) were comparable in both studies. Because coloring of the pictures and thus the influence on physiological measures (pupillometry and heart period) is unknown, for these measures equivalence test for the baseline period was computed. Equivalence for all measures was assumed if the differences in means between studies had a small or zero effect size (for results see Supplementary Material 11).

4.1.1. Associations between measures of arousal, effort, and personality traits

Because equivalence tests could not confirm equivalence of all measures for both experiments (see Supplementary Material 12, 13, and 14), partial correlations were computed to control for differences between Study 1 and Study 2. Further, correlations were computed separately for associations between subjective (ratings) and physiological (late pupillary response and heart period) arousal measures and for associations between the subjective effort measure (ratings), the physiological effort measure (early pupillary response), and personality traits. Significance levels were Bonferroni corrected for multiple comparisons (for arousal measures: $\alpha = .05/6 = .008$ because of six conditions; for effort measures: $\alpha = .05/4 = .012$ because of four conditions; for associations with personality traits: $\alpha = .05/10 = .005$ because of ten personality measures). Please note that the sample size for correlations were somewhat smaller ($N = 145$), because only cases with complete data were included.

4.1.2. Characterization of choice groups

We wanted to examine how individuals differ according to their decision for distancing or suppression in the last block. Therefore, we explored whether the two groups differed in broad (Big Five) and narrow (habitual use of reappraisal and suppression, and need for cognition) personality traits. For normally distributed variables, independent samples t-tests (choice: suppression and choice: distancing) were computed. For variables that were not normally distributed, Mann-Whitney-U tests were conducted. Holm-correction (Holm, 1979) was used to correct for multiple comparisons.

Lastly, we explored whether the decision in the last ER block could be predicted by effort measures for positive and negative pictures or personality traits. Because there were many predictor variables and overfitting of the regression model was likely, we computed a full model and compared it with a stepwise logistic regression model. Therefore, we randomly divided the sample into a training set (80%) and a test set (20%).

4.2. Results

4.2.1. Associations between measures of arousal, effort, and personality traits

We found no associations between subjective arousal ratings and physiological arousal measures (late pupillary response: all $ps > .008$, heart period: all $ps > .008$). Heart period and late pupillary response were also unrelated (all $ps > .008$). We also found no significant associations between subjective effort ratings and physiological effort measures (early pupillary response: all $ps > .012$). Moreover, we found no significant associations between personality traits and subjective effort ratings (all $ps > .005$). In contrast, the association between heart period (physiological arousal) during distancing of negative pictures and lay beliefs was significant ($r = .25$, $p = .002$). and we found a positive correlation between Neuroticism and physiological effort (early pupillary response) for suppression of positive emotions ($r = .24$, $p = .004$).

4.2.2. Characterization of choice groups

There were no significant differences of the mean values of different personality traits between groups (see Table 4). This means, the participants choosing suppression did not differ in relevant personality traits from participants choosing distancing.

When predicting the decision of the participants with personality traits, subjective effort ratings, and physiological effort measure (early pupillary response), we found the following: The decision was significantly predicted by the effort rating for suppression of negative pictures ($p = .027$). Although, effort rating for distancing of negative pictures ($p = .068$), the physiological effort for distancing of negative pictures ($p = .062$), and ERQ reappraisal score ($p = .112$) were not significant, those variables are represented in the stepwise logistic regression (see Table 5).

Table 4. Comparison of personality traits between groups choice: suppression and choice: distancing.

Variable	Choice: Suppression		Choice: Distancing		$t(160)$	W	p_{cor}
	M	SD	M	SD			
ERQ-Suppression	3.46	1.23	3.54	1.04	-	2966.5	1.0
ERQ-Reappraisal	4.75	0.94	4.74	0.82	-0.05	-	1.0
BFI-Openness	3.80	0.60	3.80	0.63	-	3135	1.0
BFI- Conscientiousness	3.56	0.67	3.50	0.61	-	3429.5	1.0
BFI-Extraversion	3.44	0.68	3.33	0.60	0.99	-	1.0
BFI- Agreeableness	3.85	0.54	3.85	0.56	-	3038	1.0
BFI-Neuroticism	2.69	0.73	2.71	0.65	-	3049	1.0
Need for Cognition	14.4	13.9	16.5	12.8	-	2889	1.0
Flexible ER	3.08	0.33	3.13	0.24	-	3025	1.0
Implicit theories	3.31	0.53	3.23	0.48	-	3471	1.0

Note. Test to compare differences between groups was either independent samples t-test or Mann-Whitney-U test, depending on normal distribution of variables. P -Values were corrected with Holm-correction

Table 5. Results of step wise logistic regression for predicting the choice in the last regulation block.

	b (SE)	SD	z	p
Effort rating “suppression-negative”	0.005	0.002	2.26	.024 *
Effort rating “distancing-negative”	-0.005	0.002	-2.12	.034 *
Early pupillary response “suppression-negative”	-13.98	7.330	-1.91	.057
Early pupillary response “distancing-negative”	10.84	7.576	1.43	.152
ERQ reappraisal	- 0.39	0.219	- 1.42	.157

Note. * significant ($p < .05$); McFadden $R^2 = 0.1306$

A positive estimate for effort rating “suppression-negative” indicated that with higher self-reported effort after using suppression the participant was more likely to choose distancing for the last block. Vice versa, a negative estimate for effort rating “distancing-negative” indicated that the participant was more likely to choose suppression if self-reported effort after the distancing block was higher. However, the model fit was relatively low with an accuracy of 37.5%. Please note that the model fit and the obtained significant predictors were limited to the random selection of the training sample.

5. Discussion

The present research investigated the effort required by two ER strategies: suppression and distancing. Effort and arousal were studied comprehensively in two studies and from multiple perspectives – subjectively, and objectively via psychophysiological measures (pupillometry and heart period). In Study 1, emotional arousal while inspecting negative and positive pictures was successfully reduced when using suppression and distancing as indicated by subjective arousal ratings and partially by the physiological arousal. Subjectively, distancing could reduce arousal more effectively than suppression, which is in line with existing literature (Webb et al., 2012). Subjective effort differed between strategies and picture valence. Regulation of negative pictures was perceived as more effortful than regulation of positive pictures. On average, suppression was perceived as more effortful compared to distancing as indicated by subjective effort ratings. This subjective perception could not be confirmed by physiological measures (early pupillary reaction). Moreover, about two thirds chose to reapply suppression, mainly because it was perceived as less effortful to apply. In Study 2, these results could be replicated completely. Again, both strategies could reduce subjective arousal while distancing was more effective. Suppression was on average perceived as less effortful. This was again reflected in the fact that two-thirds chose to reapply suppression. Once more, the most frequently cited reason was once more effort. Results in subjective ratings were again not reflected in physiological measures (pupillometry and heart period). However, there were large individual differences in the perceived effort. These differences could not be explained by broad and narrow personality traits in an exploratory analysis.

5.1. Effort plays a crucial role in the selection of an ER strategy

In line with our hypotheses, most of the participants chose the strategy that was less effortful to them, but not the strategy that could more effectively regulate their emotional arousal. Against our expectations, for almost two thirds in both samples the less effortful alternative was suppression. Based on the process model of ER (Gross, 1998, 2014) suppression should be more effortful, because it takes place relatively late in the ER process. Individuals that use suppression have to alter their automatic emotional responding constantly. Supporting evidence was found by Richards and Gross (2000), describing poorer memory performance

after suppression compared to cognitive reappraisal. This poorer performance was interpreted as a result of higher cognitive demands and effort. In study two of Richards and Gross (2000), low- and high-emotion slide sets were used. When taking a closer look, the authors found overall poorer memory performance on high emotional picture sets indicating higher required effort of both strategies. This corresponds to later considerations by Sheppes and Gross (2011). Highly intense emotional information is not fully modified by a neutral interpretation. As specified in the extended process model of ER (Gross, 2015a, 2015b), during ER a cycling process is run through. A constant valuation is made. This means it is being assessed whether a neutral interpretation of the emotional information succeeded. If not, another action is implemented. Typically, if a strategy was not successful, a new strategy should be selected (Gross, 2015b). However, in our investigation this was not possible for the participants as they had to use a specific strategy in each block. Their only possibility was to continue to use the instructed strategy and try harder. This could have led to increased effort associated with distancing that was afterwards reported in subjective ratings. Moreover, the implementation of distancing was even more difficult because we used high-intense negative picture sets in order to reliably evoke peripheral physiological responses.

Difficulties in the implementation of reappraisal have at least been reported for patients with bipolar disorder (Gruber et al., 2012). Bipolar participants reported more effort in regulation attempts, but lower success than healthy controls. In line with the argumentation of Gruber et al. (2012), it is possible that participants in our study were not able to fully regulate their emotions by means of distancing, because intensity of our pictures was relatively high (Sheppes & Gross, 2011). Thus, they overestimated the effort for distancing, which resulted in higher effort ratings for this strategy compared to suppression. It is worth mentioning that effort itself is perceived as aversive (Inzlicht, Shenhav, & Olivola, 2018; Kurzban, 2016) and is known to evoke self-reported negative emotions like anxiety, frustration, stress, or fatigue (Inzlicht & Al-Khindi, 2012; Inzlicht et al., 2018; Saunders, Milyavskaya, & Inzlicht, 2015). Therefore, it is possible that first, subjective arousal ratings are confounded with aversive consequences of the spent effort. However, this is contradicted by the fact that the arousal ratings of distancing were lower, even though this strategy was perceived as more effortful.

5.2. Individual differences in personality traits do not explain individual differences in arousal and effort

We did not find any associations between individual differences in arousal, effort and personality traits. It has been reported before that personality traits did not predict individual differences in neural and behavioral correlates of ER (Scheffel et al., 2019). Interestingly, not even habitual use of reappraisal and suppression as narrow personality traits (Gross & John, 2003) were associated with the decision to reapply one of the strategies. A possible reason is the experimental setting. In everyday life, people can flexibly use a variety of ER strategies to achieve a goal in a given situation (Aldao et al., 2015; Kobylinska & Kusev, 2019). Even further, recent evidence suggests that it is useful to combine two ER strategies, especially for suppression (Thuillard & Dan-Glauser, 2020). However, flexible choice of strategies or a combination of ER strategies was not possible in our experimental setting. A defined ER strategy was specified ahead of each block and instructions on how to regulate have to be followed. A “strong” situation is created, minimizing individual differences (Mischel, 1968; Scheffel et al., 2019). However, this does not hold for considerations regarding the last choice block. A situation was created where participants could freely decide between two strategies. And indeed, there were individual differences between participants resulting in about one third of the participants choosing distancing. But again, the two choice groups did not differ in any of the broad or narrow personality traits and a prediction of the decision was only reasonable with the effort ratings of the previous blocks. However, a brief calculation did not reveal any plausible and meaningful associations between subjective effort and arousal ratings of the choice block and personality traits. Based on considerations from flexible ER (Aldao et al., 2015; Bonanno & Burton, 2013; Kobylinska & Kusev, 2019), ER strategies have to be useful for a given goal. In our context the external goal was only to use one of the two strategies. Although the internal goal of most people is to avoid negative emotions (English, Lee, John, &

Gross, 2017; Tamir, 2016), at the end of a rather long paradigm, the internal goal of most of the participants may have been to expend as little effort as possible. Participants may therefore have chosen a strategy that, on the one hand, regulates emotions reasonably well, but, on the other hand, requires as little effort as possible. For most participants that strategy was suppression.

Our research suggests that distancing is more effortful than suppression, although it is more effective in reducing subjective arousal. This provides important directions for future research. As effort is often experienced as aversive (Inzlicht et al., 2018), this could influence the choice of strategies in a given situation. A solution is offered by the cortical effort model (Neubauer & Fink, 2009) Well trained individuals are more capable to recruit the required neural circuits to perform a task. This should be considered for more effortful strategies like distancing, so that aversive effort does not interfere with regulatory goals. Specifically, training ER strategies that are perceived as more effortful but reduce subjective arousal more effectively might benefit ER by reducing perceived effort over time.

5.3. Subjective effort is not reflected in physiological effort

Against our expectations, analyses of physiological effort measurements (pupillometry and heart period) yielded no significant results. Not only were differences between ER strategies in subjective effort not reflected in physiological effort measures, but we also failed to replicate findings of Kinner et al. (2017). They showed that the early pupillary response reflected cognitive effort of ER. In our investigation, there were no significant differences between conditions. Further factors besides cognitive effort and emotional arousal influence pupillary responses: The pupil diameter is also sensitive to habituation and fatigue (Tryon, 1975). Therefore, our block design could have had an influence on the early pupillary response. An indication is the trajectory of the pupillary response to neutral pictures, which were always presented at the beginning of the experiment. Regulation conditions took place after the two active viewing blocks that served as baseline conditions. For example, Querino et al. (2015) showed that differences in pupil diameter between automatic and effortful parts of the Five Digit Task (FDT) diminish when considering only the second blocks of these parts. In other words, the pupillary response could not be sensitive for differences in effort in later parts of the experiment (for further evidence see Takeuchi, Puntous, Tuladhar, Yoshimoto, and Shirama (2011)). Additionally, subjective cognitive effort decreases over time (Yeo & Neal, 2008). Moreover, habituation effects on effort mobilization have been described for cardiovascular responses in context of affective stimuli (Silvestrini & Gendolla, 2011). A further influence on the pupillary response is memory load (Peysakhovich, Causse, Scannella, & Dehais, 2015). In our experiments, participants inspected instructions only at the beginning of each block. For all following pictures the strategies and possible implementation had to be kept in memory. Therefore, the influence of the memory load on the early pupillary response specifically in our study is unclear.

Regarding heart period, we found no effect of ER strategy. A possible reason for this could be that both emotional arousal (Bradley et al., 2008) and cognitive effort (Hajcak, McDonald, & Simons, 2003; Hoshikawa & Yamamoto, 1997; Inzlicht et al., 2018; Silvestrini, 2017) evoke activity in the sympathetic nervous system (SNS). Effects of arousal and effort on the SNS could overlap. Thus, the heart period does not change with successful ER, especially because heart period data can poorly resolve differential influences in time (as we calculated the mean IBI over picture presentation). Therefore, more temporally resolved methods, such as pupillometry, or subjective measures that do not seem to be mediated by the SNS are recommended for research.

6. Limitations

Several limitations should be taken into account when interpreting findings of the present study. Results regarding subjective arousal and effort ratings have to be considered with caution. Subjective ratings were recorded retrospectively at the end of each block. It is known that affect labeling can attenuate emotional experiences (Lieberman et al., 2007; Torre & Lieberman,

2018). Thus, we opted for our approach so that self-evaluative cognitive processes do not interfere with the experience of arousal during ER. However, memory processes could have an influence on subjective ratings in an unknown manner. The same could apply to retrospective effort ratings.

As already discussed, the block design with the fixed two active viewing blocks at the beginning could have influenced the trajectory of the pupillary response. In the study of Kinner et al. (2017) an event-related design was used. Additionally, the pupillary response in later blocks could be influenced by habituation and fatigue (Tryon, 1975). However, with the block design a situation was created where participants could make a decision before the last block, thereby influencing the procedure and creating a sense of novelty. Moreover, we compared trajectories during distancing and expressive suppression not only with active viewing, but also among each other. The influence of fatigue was mitigated by the random order of the blocks. Furthermore, the sample must be taken into account when interpreting the results. Our sample primarily consisted of psychology students, so variance restrictions in ER could be possible. Moreover, in the study of Kinner et al. (2017), the sample consisted only of women because they respond more strongly to emotional stimuli (Kinner et al., 2017; Lithari et al., 2010). We included women and men, which may have led to smaller effects.

As Sheppes, Scheibe, Suri, and Gross (2011) noted, the emotional intensity of stimuli has an influence on which strategy is preferably used. In the present study, the arousal values of the picture sets were comparably high. Therefore, future studies should investigate whether the choice of ER strategies changes when using picture sets with lower arousal values.

7. Conclusion

Against our hypotheses, distancing as the most effective form of reappraisal was not perceived as less effortful. Most participants felt less exhausted after applying suppression which resulted in the decision of about two thirds of the participants to reapply this strategy. However, differences in subjective effort were not reflected in the early pupillary response. Interestingly, individual differences in subjective effort ratings and physiological effort measures could not be explained by broad or narrow personality traits.

The present investigation adds evidence on how ER strategies differ in their required effort. More important, however, is the observation that the decision for or against an ER strategy was not primarily based on the effectiveness of an ER strategy, but by the perceived cognitive effort. A more detailed exploration of the effort of ER strategies therefore seems promising. Future research should include further ER strategies and focus on the influence of high and low arousal of the stimuli on the required effort.

8. References

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