1 **Eye contact marks the rise and fall of shared attention in**
2 **conversation**

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Abstract

Conversation is the platform where minds meet —the venue where information is shared, ideas co-created, cultural norms shaped, and social bonds forged. Its frequency and ease belie its complexity. Every conversation weaves a unique shared narrative from the contributions of independent minds, requiring partners to flexibly move into and out of alignment as needed for conversation to both cohere and evolve. How two minds achieve this coordination is poorly understood. Here we test whether *eye contact*, a common feature of conversation, predicts this coordination by measuring dyadic pupillary synchrony (a corollary of shared attention) during natural conversation. We find that eye contact is positively correlated with synchrony as well as ratings of engagement by conversation partners. However, rather than *elicit* synchrony, eye contact commences as synchrony peaks and predicts its immediate and subsequent decline until eye contact breaks. This relationship suggests that eye contact signals when shared attention is high. Further, we speculate that eye contact may play a corrective role in disrupting shared attention (reducing synchrony) as needed to facilitate independent contributions to conversation.

Significance Statement

Conversation is the platform where minds meet to create and exchange ideas, hone norms and forge bonds. But how do minds coordinate with each other to build a shared narrative from independent contributions? Here we show that when two people converse, their pupils periodically synchronize, marking moments of shared attention. As synchrony peaks, eye contact occurs and synchrony declines, only to recover as eye contact breaks. These findings suggest that eye contact may be a key mechanism for enabling the coordination of shared and independent modes of thought, allowing conversation to both cohere and evolve.

Main Text

Introduction

Good conversations proceed effortlessly, as if conversation partners share a single mind. This effortlessness obscures the complexities involved. Conversation partners must weave shared understanding from alternating independent contributions (Frith & Frith, 2010; Heldner & Edlund, 2010; Levinson & Torreira, 2015; Stolk et al., 2016) in an act of spontaneous, dynamic co-creation. Given too few independent insights, conversation stagnates. When there is insufficient common ground, people talk past each other. Even deciding when conversation should end is a feat of social coordination (Mastroianni, et al., 2021). Engaging conversation must continuously negotiate the delicate balance between creating shared understanding while allowing the conversation to move forward and evolve. How do two minds negotiate this balance?

There are several reasons to believe that eye contact may play an instrumental role. Eye contact—when two people look at each other’s eyes—occurs ubiquitously in conversation, often at the ends of turns when partners pass the conversational baton (Mortensen, 2011; Hedge, et al., 1978; Hirvenkari et al., 2013; Kendon, 1967). It is possible that the known effects of eye contact on arousal (Binetti et al., 2016; Jarick & Bencic, 2019; Mazur et al., 1980) and attention (Abney, et al., 2020; Conty et al., 2006; Senju & Hasegawa, 2005) may nudge partners at critical moments in the conversation that facilitate this exchange. The ubiquity yet brevity of eye contact in natural conversation, averaging 1.9s, (Kendon, 1967), also suggests that these attentional nudges are not scattered randomly but occur at precise times to optimize the attention of both parties. Here we tested whether eye contact has a particular relationship with shared attention during natural conversation.

To quantify the dynamic wax and wane of shared attention during natural conversation, we employed dyadic high temporal resolution pupillometry. Under light constancy, pupil diameter

75 fluctuates coincident with neuronal activity in the locus coeruleus (Aston-Jones, et al., 1994;
76 Rajkowski, 1993), which is associated with attention (Alnaes, et al., 2014; Gilzenrat, et al., 2010;
77 Joshi et al., 2016). Further, an attention-inducing stimulus evokes an associated pupillary response
78 (i.e. a dilation and return to baseline -- Hoeks & Levelt, 1993). Monitoring these pupil responses
79 over time provides a continuous index of attention (Kang et al., 2014; Kang & Banaji, 2020; Van
80 den Brink, et al., 2016; Smallwood, et al., 2011; Wierda, et al., 2012). When people attend to the
81 same dynamic stimulus, their pupillary timeseries can be compared as a measure of *shared*
82 *attention*, with similar pupillary timeseries indexing similar timeseries of attention. Unlike joint
83 attention, shared attention does not require eye contact or gestures followed by attention to a third
84 object. Rather, shared attention can refer to any situation in which individuals are attending to the
85 same stimulus and can occur with or without eye contact (Shteynberg, 2015). While pupil mimicry
86 has been a documented outcome of looking at another's eyes (Prochazkova, et al., 2018; van
87 Breen, et al., 2018), shared attention can also exist in the absence of any visual stimulus (e.g., two
88 people listening to the same music – Kang et al., 2015).

89
90 We use the term “pupillary synchrony” to refer to how closely two conversation partners’ pupillary
91 timeseries co-vary over time (Kang & Wheatley, 2017). Previous studies have also used this
92 definition of synchrony to measure co-variation of other physiological and/or behavioral responses
93 (e.g., brain blood oxygenation levels– Hasson & Frith, 2016; neuroelectrical activity– Leong, et al.,
94 2017, Dikker, et al., 2017, Kinreich, et al., 2017; heart-rate variability – Konvalinka, et al., 2011).
95 Here we used pupillary synchrony between conversation partners to capture temporal variation in
96 shared attention over the course of a natural conversation.

97
98 We are not the first to examine inter-subject synchrony and eye contact. Leong and colleagues
99 (2017) showed that infant-adult dyads exhibit more brain-to-brain synchrony in EEG while making
100 direct gaze than when interacting with their gaze averted. Similarly, students in real classrooms

showed more neural synchrony in EEG while learning with classmates with whom they had previously made eye contact (Dikker et al., 2017). Kinreich et al. (2017) used EEG to measure synchrony while dyads planned a fun day to spend together and found that moments of eye contact were correlated with neural synchrony. Additionally, research with both fNIRS and hyperscanning fMRI paradigms have also found neural synchrony during eye contact in a wide variety of scenarios and across multiple brain regions (Hirsch et al., 2017; Kelley, et al., 2020; Koike et al., 2016; 2019; Noah et al., 2020), leading to the prevailing inference that eye contact enhances synchrony (Hirsch et al., 2017). Yet exactly *how* eye contact and synchrony are linked is not understood. Does eye contact *precede* synchrony, as enhancement would suggest, or *follow* it? Here we probe how eye contact is linked to pupillary synchrony by investigating the temporal relationship between the two.

It is also unclear when synchrony in conversation is desirable. The majority of the synchrony literature relies on tasks in which shared attention equates to better performance. For example, when individuals hear the same story or watch the same movie, the degree to which they have similar responses positively predicts their comprehension of (Silbert et al., 2014; Stephens et al., 2010) and shared memory for (Chen et al., 2017) the shared stimulus. But conversation involves more than perceiving a shared stimulus: it requires fluidly shifting between shared and independent modes of thought, from comprehension of what is being said to formulating one's next contribution (Bögels et al., 2015). These independent contributions allow a conversation to move and evolve. We therefore not only test the relationship between eye contact and pupillary synchrony, but also how both relate to success in conversation as measured by ratings of engagement (see Redcay & Schilbach, 2019; Schilbach et al., 2013) made by the partners themselves.

In the present study, dyads engaged in unstructured conversation while their eyes were tracked. Afterward, they separately re-watched their conversations while continuously rating how engaged they remembered feeling. We show that eye contact is correlated with dyadic pupillary synchrony

during these unstructured conversations, consistent with the research summarized above. Further, we find that eye contact has a distinct temporal relationship with pupillary synchrony consistent with (though not proof of) a coordinative role. Conversation partners make eye-contact as pupillary synchrony peaks, which may provide a communicative signal that shared attention is high. Pupillary synchrony then immediately and sharply decreases until eye contact stops. This temporal relationship suggests that eye contact (or its correlates) may actually *reduce* shared attention, perhaps to allow for individual contributions that enable a conversation to evolve. Consistent with this inference, we find that the amount of eye contact—not synchrony— predicts self-reported engagement by conversation partners. Rather than maximizing shared attention, a good conversation may require shifts into and out of a shared attentional state, with these shifts accompanied by eye contact.

Results

Dyads are more synchronous during eye contact

A generalized linear mixed effects analysis was performed in R using the lme4 package (Bates et al., 2014) to investigate the relationship between dyads' pupillary synchrony and eye contact during their conversations. Pupillary synchrony was computed in one-second windows using Dynamic Time Warping (DTW), a well-established algorithm that allows and accounts for small offsets in time between otherwise similar signals (see methods for a full description of this algorithm). For this analysis, DTW scores were log-transformed to correct for homoscedasticity, and dyads' time series of eye contact were down-sampled to 1hz. The model included a fixed effect for pupillary synchrony, random intercepts for dyads, and assumed a binomial distribution in order to determine whether dyads were more synchronous during moments of eye contact vs. no eye contact.

There was a significant effect of pupillary synchrony on eye contact (log odds = 0.066, odds ratio = 1.07, CI = 1.03-1.11, $p < 0.001$), demonstrating that dyads were more synchronous during eye

contact than in the absence of eye contact. To more robustly test the probability that our effect of pupillary synchrony on eye contact was not due to chance, we compared our true effect estimate to 1) a null distribution created by shuffling where moments of eye contact occurred within a dyad's time series, in order to determine whether our true estimate was the result of random variation in eye contact, and 2) a null distribution created by shuffling intact eye contact time series *between* subjects, in order to see whether our true estimate was the result of common variation in eye contact across all conversations. Our true effect was significantly positively skewed from both of these distributions (see figure 1), suggesting that not only are pupillary synchrony and eye contact significantly related to one another, but they are also related to one another in ways that are unique to the structure of individual dyadic conversations.

Figure 1. Results of a permutation test comparing the true log odds ($\beta = 0.066$) of the association between eye contact and pupillary synchrony to 1) 5000 permutations shuffling dyads eye contact and pupillary synchrony time series and 2) 5000 permutations shuffling eye contact time series

within each dyad. The true relationship between pupillary synchrony and eye contact fell significantly outside these two distributions.

Eye contact follows (rather than elicits) synchrony

Multi-level Vector Auto-regression

We investigated the directionality of the relationship between eye contact, pupil size, and pupillary synchrony using a Multi-level Vector Auto-regression analysis (mlVAR; see methods for full description). mlVAR outputs temporal, contemporaneous, and between-subjects networks to represent the directed and undirected relationships between variables of interest. Because mlVAR was designed for continuous time series, we parsed each binary time series of eye contact, comprised of samples where eye contact either was or was not being made, into one-second epochs and took the average of the eye contact in these epochs, resulting in a proportion of eye contact made per one-second window.

We found a significant between-subjects relationship for eye contact and pupillary synchrony (partial $r = 0.25$, $p = 0.01$), suggesting that dyads who made significantly more eye contact overall also showed higher levels of pupillary synchrony over their entire conversations. We also found contemporaneous network relationships between pupil size and eye contact (partial $r = 0.02$, $p = 0.001$) and pupil size and synchrony (partial $r = 0.23$, $p < 0.001$), suggesting that in moments when an individual's pupils dilate, they both make more eye contact and are more synchronous with their conversation partner. Finally, we found temporal network relationships suggesting that both pupil size (partial $r = 0.04$, $p < 0.001$) and synchrony (partial $r = 0.03$, $p < 0.001$) in one moment lead to eye contact in the next (all results shown in figure 2). While these R values are small, this analysis rules out eye contact as a causal mechanism for *creating* pupillary synchrony. Instead, this temporal network result suggests that **rather than being a strategy used to increase pupillary**

synchrony during conversation, eye contact may be a behavioral marker of alignment already occurring.

Figure 2. Gaussian graphical networks depicting the relationships between pupillary synchrony, pupil size, and eye contact. All edges depict significant relationships at $\alpha = 0.05$. Edge thickness represents the size of each relationship, and specific edge weights are overlaid on top of each edge. Green edges represent positive relationships, and red edges represent negative relationships. **A)** Temporal network illustrating the relationship between pupillary synchrony, pupil size, and eye contact at one lag. Pupillary synchrony and pupil size temporally precede eye contact. **B)** Contemporaneous network illustrating the simultaneous relationships between pupillary synchrony, pupil size, and eye contact. Pupil size is positively related to both eye contact and to pupillary synchrony. **C)** Between subjects network illustrating the per-subject average relationship between pupillary synchrony, eye contact, and pupil size. Subjects who shared more eye contact during their conversations were more synchronous.

Event-Related Analysis

To further examine the temporal relationship between eye contact and pupillary synchrony, we investigated how pupillary synchrony fluctuates, on average, around a single instance of eye

contact (see methods for full description of this analysis). For example, a dyad could synchronize prior to the instance of eye contact and remain highly aligned for the duration, suggesting that dyadic pupillary synchrony is *maintained* during eye contact. Conversely, a dyad could synchronize prior to the onset of eye contact, but begin to move out of alignment during the instance, which would suggest that pupillary synchrony is *broken* during moments of eye contact.

We found that pupillary synchrony begins to increase prior to the start of eye contact, peaks at the onset of eye contact, and *decreases* immediately thereafter (figure 3).

Figure 3. Time series of pupillary synchrony in the four seconds leading up to and following the **A)** onset of eye contact with standard error and **B)** offset of eye contact with standard error (mean duration of eye contact = 1.07s, SD = 1.14). Peak and trough pupillary synchrony occur at the onset and offset of eye contact, respectively. **C)** Illustrative cartoon of how a single instance of eye contact coincides with pupillary synchrony. Prior to eye contact, pupillary synchrony increases until it peaks at eye contact onset (real data from panel A). As eye contact is maintained, synchrony declines until its trough when eye contact is broken (real data from panel B).

We statistically tested this effect by computing a linear model predicting the average pupillary synchrony curve per dyad and specifying planned contrasts for how synchrony might vary over time (e.g., linear, quadratic, cubic shapes). This model was significant ($f(8,414) = 3.84$, $R^2 = 0.05$, $p < 0.001$), and results confirmed that a quadratic contrast was the best fit for dyadic pupillary synchrony ($\beta = -0.65$, CI = $-0.93 - -0.37$, $p < 0.001$). These effects also proved to be robust to naturalistic data issues (e.g., irregular spacing and duration of eye contact; see supplementary information).

We also performed the same event-related analysis of pupillary synchrony surrounding the offset of eye contact—that is, when dyads broke eye contact. Here we found the opposite effect such that pupillary synchrony *decreased* leading up to the offset, reached its lowest point at the offset, then began to increase following the offset. We statistically tested this effect as well by computing a linear model predicting our average pupillary synchrony curve per dyad and again specifying planned contrasts for how pupillary synchrony might vary over time. This model was also significant ($f(8,414) = 3.08$, $R^2 = 0.04$, $p = 0.002$), and a quadratic contrast was also a significant fit for dyads' pupillary synchrony curves surrounding the offset of eye contact ($\beta = 0.53$, CI = $0.25 - 0.81$, $p < 0.001$). These results suggest that although eye contact is positively associated with pupillary synchrony in general, it does not causally *increase* pupillary synchrony. Rather, eye contact occurs

as pupillary synchrony peaks and persists over its decline until pupillary synchrony reaches a nadir. Pupillary synchrony only begins to increase again once the moment of eye contact ends.

To further test whether this parabolic contour was peculiar to moments surrounding eye contact, we compared true eye contact onsets and offsets to a randomly sampled, equal number of moments in the conversation where eye contact was not made, creating “pseudo onsets” and “pseudo offsets.” We calculated dyadic pupillary synchrony curves for the four seconds prior and four seconds following these randomly chosen conversation points, repeating the process 1000 times for each dyad in order to compare the distribution of pupillary synchrony at pseudo onsets and offsets to pupillary synchrony at true eye contact onsets and offsets. For both onsets and offsets, the true pupillary synchrony value fell outside the null distribution (see figure 4). Thus, eye contact onsets and offsets are associated with more pupillary synchrony ($Z = 0.46$, $p < 0.001$) and less pupillary synchrony ($Z = -0.43$, $p < 0.001$), respectively, than what could be expected from natural fluctuations of pupillary synchrony during conversation.

Figure 4. Results of two permutation tests comparing the **A)** onset and **B)** offset of eye contact to 1000, randomly chosen, nine-second moments in each conversation, per dyad. The distributions depicted above were created by taking the pupillary synchrony value at the “onset” and “offset”

point (position 5) of each randomly chosen moment in the conversation. The true pupillary synchrony values for the onset and offset of eye contact are represented by the red horizontal lines in each figure. Pupillary synchrony at the onset of eye contact is significantly higher than would be expected by chance, and pupillary synchrony at the offset of eye contact is significantly lower than would be expected by chance.

Eye contact is associated with pupillary synchrony within and between conversation turns

Past research has shown that eye contact often occurs as the conversation shifts from one partner to the other (Kendon, 1967; Rutter et al., 1978). We therefore examined whether the effect of eye contact on pupillary synchrony was similarly constrained to moments when partners passed the conversational baton. We separated instances of eye-contact by whether they occurred within or between conversational turns. An instance of eye contact was determined to have occurred between turns if its onset began a maximum of one second prior to the start of the turn or ended a maximum of one second following the end of the turn (see methods for full turn-taking quantification).

Consistent with the prior literature, we found that within each conversation, dyads made more eye contact between conversational turns ($M = 63.74$, $SD = 27.76$) than during conversational turns ($M = 33.36$, $SD = 14.91$; $t(46) = 7.82$, $p < 0.001$). Across all dyads, eye contact occurred between turns 64.8% of the time, and within a turn 35.2% of the time.

To test whether pupillary synchrony associated with eye contact differed by when that eye contact occurred, we computed two separate pupillary synchrony timeseries: one for eye contact between conversation turns, and one for eye contact within conversation turns (see figure 5 for a visualization of these two timeseries). We then computed paired two-sided t-tests at each of the

nine points along the associated pupillary synchrony timeseries. None of these t-tests were significant ($t(46)$ ranged from -1.8 - 1.52, p 's 0.07 to 0.94). Together, these findings suggest that while people do tend to make eye contact as they trade turns in conversation, the relationship between eye contact and pupillary synchrony is not confined to these moments.

Figure 5. Visualization of pupillary synchrony at the onset of eye contact occurring within a conversation turn (standard error plotted in green) and between conversation turns (standard error plotted in blue). These two pupillary synchrony curves did not significantly differ from one another.

Greater eye contact, greater engagement

Given the nature of the relationship we found between pupillary synchrony and eye contact, we examined how eye contact and pupillary synchrony related to how engaged partners were by the conversation itself. Mean engagement was quantified as an average of the two continuous self-reported engagement ratings that each conversation partner made while re-watching a video of their conversation (see methods). A linear mixed effects analysis of the relationship between eye

contact, dyads' pupillary synchrony, and their continuous mean engagement was performed in R using the lme4 package (Bates et al., 2014). For this analysis, pupillary synchrony was again computed in one-second windows using DTW and log-transformed to correct for homoscedasticity, and dyads' time series of eye contact and mean engagement were down-sampled to 1hz. The model included fixed effects and an interaction term for pupillary synchrony and eye contact and random intercepts for dyads in order to see how the interplay of eye contact and pupillary synchrony related to dyads' self-reported engagement.

There was a significant main effect of eye contact on mean engagement ($t(28160) = 2.83$, $\beta = 0.028$, $CI = 0.01 - 0.05$, $p = 0.006$), such that dyads were more engaged during eye contact than in the absence of eye contact. This result was also robust to within-subjects ($p = 0.002$) and between-subjects ($p = 0.02$) permutation tests, suggesting that not only are eye contact and engagement significantly related to one another, but they are also related to one another in ways that are unique to the individual structure of a dyad's conversation.

There was also a significant relationship between pupillary synchrony and mean engagement ($t(28170) = 1.965$, $\beta = 0.012$, $CI = 0 - 0.02$, $p = 0.05$), suggesting that more pupillary synchrony also predicted more dyadic engagement. While this result was robust to a within-subjects permutation test ($p = 0.02$), we found this result was largely driven by the fact that all dyads tended to become both more synchronous and more engaged over the course of their conversations. Therefore, this effect did not survive a between-subjects permutation test ($p = 0.56$).

Finally, we found a marginal interaction effect between eye contact and pupillary synchrony on engagement ($t(28150) = -1.83$, $\beta = -0.017$, $CI = -0.04 - 0$, $p = 0.08$). This interaction suggests that eye contact may act as a moderator for the effects of pupillary synchrony on engagement such that when dyads were *not* making eye contact, pupillary synchrony and engagement were positively

related, but when dyads *were* making eye contact, pupillary synchrony and engagement were negatively related. This result was robust to a within-subjects permutation test ($p = 0.04$), but not to a between-subjects permutation test ($p = 0.12$; see supplementary information for a more detailed description of permutation tests and visualizations of all permutation distributions).

Discussion

Here, we demonstrate that eye contact marks moments of shared attention, as measured by dyadic pupillary synchrony, in natural conversation. Further, this link is temporally directed: eye contact does not precede pupillary synchrony but rather commences as pupillary synchrony peaks and persists through its decline. This temporal relationship suggests that the onset of eye contact may not only be *coincident* with high shared attention (peak pupillary synchrony) but may also provide a signal of the same for conversation partners. The immediate decline in pupillary synchrony, which persists until eye contact breaks, suggests that eye contact may play a role in disrupting shared attention, perhaps to facilitate independent (non-shared) contributions. Finally, we found that dyads reported being more engaged by their conversations when they were making eye contact compared to when they were not. Together these findings support the idea that eye contact, or its neural corollaries, may facilitate social interaction by signaling moments of high attentional coupling and then aiding attentional decoupling as needed to maintain engagement.

The prevailing theory in the literature is that synchrony, referring to a wide variety of coupled behaviors, is a precursor to successful communication, shared understanding, and many other pro-social benefits (Hasson & Frith, 2016; Launay et al., 2016; Mogan et al., 2017; Wheatley et al., 2012). Synchrony is, indeed, a robust signal of shared understanding and has been shown to causally increase feelings of rapport (Hove & Risen, 2009; Ramseyer & Tschacher, 2011; Wiltermuth & Heath, 2009). These effects are strong and compelling, even showing that brain-to-brain synchrony while watching videos predicts friendship (Parkinson et al., 2018) and underscoring

the need to study interacting humans rather than passive stimuli (Hari, et al., 2015). However, it is not clear that more interpersonal synchrony is always better, regardless of the task.

Our findings here are in line with a growing body of work suggesting that moving out of synchrony may also be an important feature of social interaction. The need to occasionally break synchrony may be especially true when individual exploration and creativity is required, as with acts of co-creation. More securely attached infants and adults tend to show *less* synchrony during a mirror game when interacting with their mothers and study partners, respectively, suggesting that more security in relationships might allow for more independent exploration (Beebe & Steele, 2013; Feniger-Schaal et al., 2016). In a joint construction task, where dyads were instructed to build a model car, researchers found that synchrony was associated with more constrained construction strategies, and those dyads who worked more asynchronously (i.e., had less synchronous hand movements while building) created superior model cars (Wallot et al., 2016). A study investigating synchrony in a body movement task found that, while dyads who moved more synchronously experienced more positive affect overall, they also had more trouble with self-regulation of that affect (Galbusera et al., 2019). Finally, a study investigating interpersonal coordination during conversation found that dyads *decoupled* their head movements during conversation in a way that tracked with their status as a speaker or listener (Hale et al., 2020). Synchrony and asynchrony appear to provide differential benefits and work together to create successful interaction.

These findings are consistent with recent papers demonstrating the tendency for dyads to move continuously in and out of synchrony with one another. For example, even when participants were instructed to synchronize their behavior in an improvisational joint mirroring task, researchers found that interaction quality was maximized when participants sacrificed synchrony at times in favor of more novel and challenging mirroring interactions (Ravreby, et al., 2021), and a model that accounted for periodically entering and exiting synchrony provided the best fit for their joint motion

(Dahan, et al., 2016). Building on this finding, Mayo & Gordon (2020) proposed a new model of interpersonal synchrony in which the tendencies to synchronize as well as act independently both exist during social interaction, and that the ability to move flexibly between these two states is the marker of a truly adaptive social system. Their model incorporates ideas from complex dynamical systems and treats the tendency to move flexibly in and out of synchrony as a system that is meta-stable. They provide evidence for their model by demonstrating that individuals who have less severe social symptoms of Autism Spectrum Disorders (ASD) tend to move in and out of eye contact with their conversation partner in a more meta-stable way. Our findings mirror and extend these findings to natural social interaction, suggesting that eye contact may index coordination between synchrony and asynchrony and thus shared and independent thought. In this way, while heightened pupillary synchrony may indicate conversational convergence, eye contact may help coordinate this convergence with independent contributions from each conversation partner. Although we found no differences in how eye contact related to pupillary synchrony during conversation turns versus between conversation turns, it is possible that other coordinative mechanisms of conversation could predict pupillary synchrony (e.g. topic shifts —Egbert, 1997; turn taking —Mortensen, 2011; and even blinking —Hömke, et al., 2017). In particular, Turn Construction Units (TCUs; Clayman, 2013; Sacks, et al., 1978) —segments of conversation within turns that communicate complete thoughts — could also predict moments of shared attention.

Our study used unconstrained, naturalistic conversation to measure how dyads couple and decouple attention while interacting. We found that eye contact, a ubiquitous and universal feature of conversation, predicts shifts in pupillary synchrony, a measure of shared attention. Specifically, our results suggest that eye contact marks breakpoints in pupillary synchrony, perhaps facilitating movement into and out of attentional alignment and, in so doing, facilitating engaging conversation. These findings raise many questions for further research—both for typical and atypical neurological populations—about how attentional states are modulated during interaction with downstream consequences on how minds engage with each other.

412

413 **Materials and Methods**

414 **Materials**

415 *Participants*

416 186 subjects comprising 93 dyads (mean age: 19.38; 120 females) participated in the study.
417 Subjects were recruited from Dartmouth College, provided written informed consent approved by
418 the Committee for the Protection of Human Subjects Institutional Review Board at Dartmouth
419 College, and were compensated either monetarily or with extra course credit for participation.
420 Informed consent was obtained prior to the start of the study. After the study had concluded,
421 subjects indicated consent to the release of the videos obtained during their conversations for use
422 in scientific presentations or publications. All subjects had normal or corrected-to-normal vision.

423

424 *Eye-Tracking Data Collection*

425 Pupil dilation data was collected continuously while dyads engaged in conversation. Pupil diameter
426 was recorded from both eyes at 60Hz using SensoMotoric Instruments (SMI) wearable eye-tracking
427 glasses (SensoMotoric Instruments, Teltow, Berlin) or at 200hz using Pupil Labs wearable eye-
428 tracking glasses (Pupil Labs, Berlin, Germany). Dyads were seated across from one another at a
429 distance of approximately 3 feet in a luminance-controlled testing room. Lux values were recorded
430 at multiple points in the room to ensure that luminance in the room did not exceed the 150 lux
431 necessary to elicit a luminance-induced pupil dilation (Maqsood, 2017). We aligned the dyads' eye-
432 tracking recordings in time using audio obtained from each pair of eye-tracking glasses and
433 FaceSync software (Cheong et al., 2019), which computes the offset in time between two audio
434 signals by correlating one with the other at each moment within a given window. It then returns the
435 offset as the time point that produces the highest correlation between the two signals.

436

Ratings of Engagement

After their conversation, participants watched a video recording of their conversation and continuously reported how engaged they were at each moment by moving a slider bar (PsychoPy software, Peirce, 2007). Participants' continuous engagement ratings were recorded at 10hz.

Eye Contact Annotations

We annotated moments of eye contact during the conversation using ELAN annotation software (Brugman et al., 2004). Annotations were obtained by watching videos recorded from a camera on the eye-tracking glasses located at each participant's nasion. These videos thus captured the eyes of the participant's conversation *partner*. Moments of individual eye contact were defined as moments when the partner in the video looked straight at the participant's camera (the video's point of view). Two independent annotations from two independent raters were obtained for each participant, and only moments in which the two annotations agreed were accepted as individual eye contact annotations for that participant. Each participant's individual eye contact annotations were then compared with the eye contact annotations of their conversation partner to find moments of *mutual* eye contact (when both participants were looking at each other's eyes at the same time). Henceforth, mutual eye contact will be referred to simply as "eye contact," consistent with the lay use of the term. An illustration of this process can be found in figure 6.

Figure 6. Annotating instances of eye contact. *Individual eye contact* for each conversation partner, denoted by the green and blue squares, was determined by comparing moments of individual eye contact marked by each independent annotator, and accepting all moments where the annotations agreed eye contact was being made. *Mutual eye contact*, denoted by the gray bars, was calculated by comparing eye contact across conversation partners and recording moments where both partners were looking at each other's eyes.

Because of the way these moments of eye contact were obtained, there is a possibility that some moments of *face* gaze were mistakenly recorded as moments of *eye* gaze, by both independent raters. Previous research has demonstrated that looking at the face area still produces the perception of eye contact (Rogers et al., 2019), suggesting that if some such moments were included, they would likely achieve the same dyadic consequence as eye contact given the equivalent perception by the recipient.

Procedure

Conversation Phase

Dyads were seated across from one another and videotaped and eye-tracked while they engaged in a ten-minute, unstructured conversation. Before their conversations, dyads received the following instructions:

In this portion of the study, you will be having a conversation. Your eyes will be tracked during this conversation, and the conversation will also be video and audio recorded. Treat this conversation however you normally treat conversations in your daily life. You can talk about anything you would like. I know the glasses look a little funny, but try to ignore them during your conversation. Also, because the glasses don't work as well when you move around, try to remain still, though you

482 *can still gesture and nod your head if that feels natural during your conversation.*

483 *Continue talking until I come back, around 10 minutes from now.*

484

485 ***Engagement Rating Phase***

486 After each conversation, a video of the conversation was saved and used to obtain participants'
487 continuous ratings of how engaged they were during the conversation. Participants were seated at
488 computers in separate rooms and instructed to watch the video of the conversation they just had,
489 while moving a computer mouse-operated slider bar to indicate how engaged they were in the
490 conversation from moment to moment. Participants received the following instructions:

491

492 *You will be watching the video-taped conversation that you just had. As you watch,*
493 *think back to how you were feeling during that conversation. Your task is to report*
494 *how engaging you felt the conversation was. You will make these ratings by*
495 *moving the computer mouse. These ratings will not be shared with your study*
496 *partner. Please do your best to accurately report how engaged you felt at each*
497 *point in time.*

498

499 ***Questionnaire Phase***

500 Participants remained seated at the computer in separate rooms and filled out a battery of
501 questions pertaining to their feelings about the conversation and their conversation partner.
502 Participants additionally completed the AQ and IRI as a measure of individual differences in
503 interpersonal skills.

504

Data Analysis

Preprocessing and Quality Control

Raw pupil dilation time series were preprocessed for further analysis by first performing linear interpolation over eye-blinks and other dropout in signal, removing any subject who required more than 25% of their data interpolated (per Kang & Wheatley, 2017). To remove spikes, the data was then median filtered (5th order) and low-pass filtered at 10Hz and finally detrended to reduce drift. Preprocessed data were then visually inspected for any remaining spikes, and a final interpolation was performed over these spikes if necessary. Data collected using Pupil Labs eye tracking glasses were down-sampled to 60hz to match the sampling rate of data collected using SMI eye tracking glasses.

Because of the naturalistic nature of the experiment, it proved difficult to collect dyads where *both* partners were below the conservative 25% interpolation threshold. Movement during the conversations and individual variation in eye tracking quality, in addition to the normal dropout due to eye blinks, caused significant data loss for many participants. For two participants, eye tracking video data was corrupt, which meant that no eye contact annotations for these participants were able to be obtained. From the original dataset, 94 subjects comprising 47 dyads (mean age: 19.78; 53 females) were included for further analysis.

Computing pupillary synchrony: Dynamic Time Warping

Dynamic Time Warping (DTW) is an algorithm used to compare signals that may be offset in time (Berndt & Clifford, 1994). We used DTW to measure synchrony between dyads' pupils during conversation, following the method used by Kang & Wheatley (2015, 2017). The DTW algorithm divides two signals into a user-defined number of segments, each representing some window of time. Then, DTW calculates the cosine similarity of these segments (though other distance metrics,

such as Euclidean Distance, can also be used). DTW then evaluates the cosine similarity values associated with comparing each time point within the segments. The time series pair that yields the smallest cosine similarity value is deemed to be the time at which the content of the two signals best align, and both signals are adjusted according to that cosine similarity comparison. Each adjustment incurs a penalty, or a “cost” of realignment. The sum of these penalties yields an overall cost value, which represents the overall effort involved in warping one signal onto the other. Higher cost values indicate greater dissimilarity between two patterns, thus “synchrony” as defined here, refers to the inverse DTW cost.

Because of the way DTW is calculated, the best fit of signal 1 onto signal 2 can be found in a time-varying way, allowing for small offsets in time to be measured and understood as synchrony. We chose a 1 second sampling window for calculating DTW to allow for such offsets, while also capturing a larger number of eye contact instances than the window used by previous literature using DTW to calculate pupillary synchrony (3 seconds; Kang & Wheatley, 2017).

Multi-level Vector Auto-regression

To investigate the directionality of the relationship between eye contact, pupil size, and pupillary synchrony, we used the R package mlVAR (Epskamp et al., 2017), which is used for the analysis of multivariate time series using a network framework. This method allows for the estimation of temporal, contemporaneous, and between subjects networks of relationships between time series variables, where variables are “nodes” in the network, and the partial correlations between those variables are “edges.” The temporal network is estimated by calculating the regression coefficients obtained by predicting one variable at time t from another variable at time $t-1$. Once the temporal network has been estimated, the contemporaneous network is calculated by taking partial correlations of the residuals from the temporal network. Finally, the between subjects network is

calculated by taking partial correlations of mean-centered predictors and adding person-means as level 2 predictors.

Event-Related Analysis

To examine fluctuations of synchrony around single instances of eye contact, we performed an event-related analysis, treating each instance of eye contact as an event and computing averages of pupillary synchrony across subjects before and during these instances. First, we extracted all instances of eye contact lasting one second or longer from each dyad (M number of instances per conversation = 71.6, SD = 25.08). We restricted eye contact in this way because our pupillary synchrony time series were calculated at 1hz (see Dynamic Time Warping section of methods for detailed description of our calculation). Because of this sampling rate, for instances of eye contact lasting less than one second, the onset and offset of this eye contact both occur in the same pupillary synchrony window. Therefore, for these short instances of eye contact, the onset and offset pupillary synchrony values are the same. Since we were separately interested in pupillary synchrony at the onset and offset of eye contact, an instance of eye contact where the onset and offset occur in the same sampling window of pupillary synchrony could confound true pupillary synchrony levels, if pupillary synchrony at the onset of eye contact is different than pupillary synchrony at the offset of eye contact.

We then extracted pupillary synchrony in one-second epochs for the four seconds prior to the onset and offset of these eye contact instances, as well as the four seconds following the onset and offset of these eye contact instances. We chose to calculate pupillary synchrony in this window after plotting eye contact at a range of time series lengths and finding that no matter the length, this four-second fluctuation around the onset and offset of eye contact remained (see supplementary

information). We then z-scored each dyad's averaged pupillary synchrony during these events to more clearly look at the changes surrounding each instance of eye contact.

Extracting conversation turns

To investigate whether the observed fluctuations of synchrony around eye contact could be explained by turn-taking, another ubiquitous form of coordination during dyadic conversation, we extracted time points during dyads' conversations where speaking switched from one partner to the other. To do this, we first created transcripts of dyads' conversations using Scribie audio transcription services. These transcripts contained timestamps denoting when turn switches occurred. We used these timestamps to determine whether or not eye contact had occurred at a turn switch. Because eye contact did not often line up exactly with the onset of a turn switch in our data, and has historically been found to begin prior to the actual switch from one conversation partner to the other (Kendon, 1967), we allowed any instance of eye contact occurring within one second of a turn switch to be considered eye contact occurring between conversation turns.

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Data Availability

All pupillometry, eye contact, engagement data, and de-identified conversation transcript timestamps associated with this study have been made available at <https://github.com/sophiewohltjen/eyeContact-in-conversation>.

Code Availability

All custom scripts associated with this study have been made available at

<https://github.com/sophiewohltjen/eyeContact-in-conversation>.

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