

## Introducing tomsup: Theory of Mind Simulations Using Python

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## Abstract

Theory of Mind (ToM) is considered crucial for understanding social-cognitive abilities and impairments. However, verbal theories of the mechanisms underlying ToM are often criticized as under-specified and mutually incompatible. This leads to measures of ToM being unreliable, to the extent that even canonical experimental tasks do not require representation of others' mental states. There have been attempts at making computational models of ToM, but these are not easily available for broad research application. In order to help meet these challenges, we here introduce the Python package `tomsup`: Theory of Mind Simulations Using Python. The package provides a computational eco-system for investigating and comparing computational models of hypothesized ToM mechanisms and for using them as experimental stimuli. The package notably includes an easy-to-use implementation of the variational recursive Bayesian  $k$ -ToM model developed by Devaine et al., 2014b and of simpler non-recursive decision models, for comparison. We provide a series of tutorials on how to: i) simulate agents relying on the  $k$ -ToM model and on a range of simpler types of mechanisms; ii) employ those agents to generate online experimental stimuli; iii) analyze the data generated in such experimental setup and iv) specify new custom ToM and heuristic cognitive models.

*Keywords:* Theory of Mind, variational Bayesian inference, Game Theory, Agent-Based Simulation, Computational Modeling

## Introducing tomsup: Theory of Mind Simulations Using Python

### 1. Introduction

Understanding what others believe and intend to do is crucial to navigate our everyday life. From figuring out how to pass each other in a narrow passage on a train to correctly identifying sarcastic comments in an email, we often have to infer each other's mental states in order to successfully interact. This ability is often called Theory of Mind (ToM) or simply mentalizing. Being able to measure how good we are at mentalizing has long been deemed crucial in order to assess social skills and impairments and more generally the ability to function in society (Bosacki & Wilde Astington, 1999; Watson et al., 1999). However, there are still substantial controversies surrounding **Theory of Mind (ToM)**: Which species display it? What role ToM deficits play in conditions such as autism? At what age infants develop it? (Bosacki & Wilde Astington, 1999; Deschrijver & Palmer, 2020; Kamps et al., 2020). At least part of the controversies are due to the fact that the notion of ToM is often under-specified and operationalized in heterogeneous ways, which makes comparison across studies difficult (Schaafsma et al., 2015). In order to advance more formal and systematic approaches to the definition and measure of ToM abilities, we introduce **Theory of Mind Simulation using Python (tomsup)**, a Python package. **tomsup** is currently focused on k-ToM models (Devaine et al., 2014b) and simpler alternatives. More generally tomsup makes it easy to simulate agents with different ToM abilities in a variety of game-theoretical settings. This allows for testing formal **ToM** models in well-defined interactive contexts, and simplifies their implementation in experimental contexts. The **tomsup** package can be used to (1) explore the implications of formal ToM models, (2) develop empirical predictions and experimental paradigms, and (3) provide dynamical stimuli in experimental paradigms for testing ToM abilities. In the following, we briefly introduce ToM and the current discussion on the construct, as well as the computational approaches developed to formalize ToM; before delving into the details of the models implemented in **tomsup** and the usage of the package. **ToM** is a psychological theoretical construct describing the ability to correctly infer others' mental states. The notion was made popular by (Premack & Woodruff, 1978) in their assessment of social-cognitive abilities in primates, and it quickly led to the development of

the iconic false-belief tasks, assessing **ToM** in young children (Dennett, 1978; Wimmer & Perner, 1983). This type of task usually consists of short stories where one of the characters develops a false belief (e.g. the character believes the cookie is hidden in the jar, while it's actually hidden in the drawer). While the participants know that the belief is false (e.g. they know the cookie is hidden in the drawer), they have to separate their own knowledge from what the character knows in order to correctly solve the task. **ToM** was thus initially conceived as the ability to correctly infer and represent other people's mental content independently of whether it mirrored one's own or not. This conception quickly led to the development of a large variety of operationalizations and experimental tasks: from inferring emotions in pictures of eyes and recorded speech, to inferring intentionality in videos of abstract forms moving around (e.g. Apperly, 2012, Quesque and Rossetti, 2020). **ToM** has thus become a cornerstone in the assessment of social abilities, especially in developmental disorders (Baron-Cohen, 2000; Baron-Cohen et al., 1985) and more recently in a wider range of mental disorders (Apperly, 2012; Berecz et al., 2016; Brüne, 2005). The notion of **ToM** and its operationalizations have, however, been widely criticised (Apperly, 2012; Boucher, 2012; Conway et al., 2019; Deschrijver & Palmer, 2020; Quesque & Rossetti, 2020; Schaafsma et al., 2015). First, it has been argued that **ToM** is too vague a construct. In other words, **ToM** is described only verbally, which leaves room for multiple interpretations and operationalizations of the concept. While this can be an advantage for early theory development and for maintaining multiple approaches, it can also lead to chronic and perhaps pathological under-specification. Indeed, it has been pointed out that different approaches and experimental paradigms, all purportedly assessing **ToM**, are conceptually incompatible, and often measure different cognitive processes than **ToM**. For instance, inferring emotions from faces, eyes or speech involves recognition of facial expressions, prosody, and the ability to linguistically express nuanced emotions. Analogously, inferring intentionality from the movement of abstract shapes requires kinematic discrimination and again linguistic abilities. See Bloom and German (2000), or Quesque and Rossetti (2020) for a more in depth discussion of the heterogeneous implementations of **ToM**. Second, it has been argued that the conceptual construct of **ToM** is not ecologically valid. The vast majority of the experimental tasks involve

third person stances with little at stake: participants watch a video or hear a story and make an inference with no further consequences. These settings do not capture how people actually interact with each other, which may be a crucial component of how mentalizing unfolds. For instance, the increased emotional engagement, the need to react in real time and to anticipate the other's reactions - which form a crucial part of social interactions - may challenge the mentalizing system in a completely different way than the vast majority of experimental tasks (Dale et al., 2013; De Bruin et al., 2012; Tuyen et al., 2012).

A lack of more precise mechanistic accounts with exact predictions prevents a clear understanding of how **ToM** abilities vary between individuals, how they apply to different contexts and how they relate to behaviors outside the lab. Indeed, recent research on mental disorders such as autism and schizophrenia shows that current operationalizations of **ToM** are not particularly effective at discriminating between patients and controls, or between different disorders; nor are they particularly informative as to actual levels of social functioning (De Bruin et al., 2012; Morrison et al., 2020; Pinkham et al., 2020; Sasson et al., 2020).

One venue to address the under-specification of the **ToM** construct is to develop computational models of **ToM** as a complementary strategy to current conceptual and experimental investigations. Having to develop a computational model of a cognitive ability forces researchers to specify their assumptions and make precise descriptions of the mechanisms at work, which in turn can lead to revisions of one's assumptions and ideas even before seeing any data (Devezer et al., 2019; Guest & Martin, 2020; Smaldino, 2020; van Rooij & Baggio, 2020). Computational models can then be compared using simulations or assessing their fit to behavioral data in order to critically revise them. Besides avoiding the issues of under-specification that is seen in much **ToM** research, theory-driven computational models of cognitive processes have been shown to provide more reliable estimates of individual differences, compared to standard statistical practices (such as ANOVAs), see Haines et al. (2020). Better estimates of individual differences are crucial for assessing whether **ToM** is involved in social impairments. Finally, recent work on computational models of **ToM** has included more interactive conceptions of **ToM**, which might help improve the ecological validity of the construct (Rusch et al., 2020).

Notably, computational models related to **ToM** can be grouped into three categories that explicitly model others' mind to an increasing extent (Rusch et al., 2020). The first category consists of reinforcement learning based models, which are increasingly applied to social contexts. In these contexts an agent can observe the behavior of others and include that information in their own inferential and decision processes. These models are very effective at producing adaptive behaviour, but have no explicit modelling of others' mental states (Vélez & Gweon, 2020). The second category consists of observational models (e.g. Baker et al. (2011)), which explicitly attempt to reconstruct others' beliefs based on a generative model of their mental states. However, observational models are limited in that others are represented as having mental states, but not as having a mentalizing system of their own. In other words, observational models cannot perform recursive **ToM** in which the agent infers not only what the other knows, but also what the other has likely inferred about the agent's own knowledge (and potentially further levels: what the other knows that the agent knows that the other knows, etc). The third category of models consists of recursive **ToM** models. These models take their roots in game theoretical settings where the actions of an agent are rewarded according to the action of the other agent. Therefore it is crucial for each agent not only to predict what the other is likely to do, but also the other's predictions as to the agent's own future behavior. Recursive **ToM** is, however, infamous for the computational load it imposes on agents: it is argued that humans can deal with three levels of recursion at the most, since representing many levels is a hard task, especially during an ongoing interaction where response speed is crucial (Camerer et al., 2004; Devaine et al., 2014a).

Several approaches have been suggested to tackle these issues. For example, Hampton et al. (2008) developed an 'influence' model, which uses Taylor decompositions to recursively estimate how much its actions affect the opponent's choice; while Yoshida et al. (2008) relied on optimal control theory to implement a Bayesian recursive **ToM** model. Inspired by these developments, Devaine et al. (2014a), Devaine et al. (2017), developed an approximate variational Bayesian approach, which forms the foundation for the work done in this paper. Variational Bayesian approaches provide fast to compute approximations of Bayesian inference by turning integration problems (hard to solve) into optimization ones (easier and

faster to solve), thus providing realistic mechanisms through which complex Bayesian inferences could be implemented in cognitive systems. Recursive **ToM** models based on variational Bayes have been argued to be the most promising models so far: they are models of cognitive processes able to process information in real time; they are able to better anticipate and countermand other models' behaviors in game theoretical settings, plausibly thanks to the Bayesian use of prior information; and they provide useful predictions for testing human mentalizing abilities in interactive contexts (Rusch et al., 2020). Notably, Devaine et al. (2014a) explicitly compared these models with the influence models by Hampton et al. (2008) - the closest non-Bayesian equivalent - and found consistently equal or better performance. Indeed, recursive **ToM** models have been successfully - albeit exploratively - deployed to better understand human and non-human primate **ToM** abilities in nuanced simulation and experimental setups. Conjoined simulation and experimental studies have explored how many levels of recursion (the agent representing another agent representing the former, etc.) would be meaningful given the specifications of the model (Devaine et al., 2014a, 2014b). The studies show that the models have a hard time effectively reconstructing the recursion of **ToM** beyond three levels (I infer that you infer that I infer that you infer). In other words, attempting to infer additional levels of **ToM** results in representations so uncertain that it is impossible to discriminate them from models only including 3 levels. The models do better at identifying how many levels of **ToM** should be employed when in competitive settings, compared to cooperative ones. This turned out to be largely in accord with empirical human data (Devaine et al., 2014a, 2014b). Crucially, the models were able to effectively assess differences in **ToM** abilities in clinical populations characterized by social impairments (D'Arc et al., 2018), and across primate species (Devaine et al., 2017), which bodes well for investigating further applications.

Accordingly, we chose to focus on these variational recursive **ToM** models in the **tomsup** package. They provide the currently most promising formal and precise description of how agents might represent other agents' minds, thus answering the critique of an under-specified **ToM** construct. They have also been developed to model **ToM** in interactive situations, thus at least partially answering the critique to the lack of ecological validity of the **ToM** construct.

Finally, they have shown promise in being realistic models of actual cognitive processes, in developing testable predictions and in assessing individual and group-level differences in social skills (D’Arc et al., 2018; Devaine et al., 2014a, 2014b). The **tomsup** Python package aims to build on these promising models by implementing them in a general open-source framework for agent-based simulations and game theoretic experiments relating to **ToM**. The game theoretic setting is formally well-defined and allows for testing empirical hypotheses in an interactive context, under simple assumptions. Game Theory has shown usefulness in the analysis of a variety of real-world phenomena, such as climate change (DeCanio & Fremstad, 2013), coordination (Devaine et al., 2014a) and other behavioural contexts (Camerer, 2010). Indeed, the **tomsup** package provides not only a formal implementation of variational recursive **ToM** in interactive contexts, but it also provides the tools to understand the consequences of this model. The model is implemented in the context of game theoretical settings of repeated decision making, such as the matching pennies game (see section 2. **The tomsup Package** for details). The package includes alternative mechanisms to **ToM** (that is, to the explicit representation of others’ state of mind), such as heuristic strategies (keep choosing the same if you win, change choice otherwise) and reinforcement learning. The package enables the user to set up simulated interactions between agents relying on these different computational models (different cognitive mechanisms). Thus, the user can simulate data in diverse contexts to explore the implications of the **ToM** model, and identify interesting cases to be tested empirically.

It should be noted that an implementation of variational recursive **ToM** models is available in the variational Bayesian analysis tool, VBA toolbox for MATLAB (Daunizeau et al., 2014; Devaine et al., 2017). The VBA toolbox and tomsup are complementary in many ways and tomsup does not aim at replacing the full functionalities of VBA (which is a more general variational inference software). The k-ToM implementations provided by tomsup and VBA display exact behavioral consistency, i.e. they produce the same inferences and behaviors; and tomsup is currently computationally more efficient (see Appendix C for a series of tests). Crucially, tomsup is not only open-source, but free to use; while VBA - relying on the MATLAB programming language - requires a MATLAB license to run. Therefore, the tomsup

package for Python facilitates a wider access to the models across research communities, as well as an easier integration in a variety of open source tools (e.g. online experiments) that rely on more widespread free languages such as R and Python. Not least, `tomsup` is developed specifically with usability in mind, in order to facilitate further development and use at a more accessible technical level. Furthermore, `tomsup` is centered around research on **ToM** rather than variational inference in general. This provides a focus on application of models in varying simulated and experimental settings, supporting model interpretability and transparency, and allowing implementation of - and comparison with - new proposed **ToM** models. On the other hand, VBA provides a broader suite of tools, including model fitting and comparison to analyze empirical data. So the package choice should be based on the research questions being asked.

In the following paragraphs we will first introduce the basic setup of the `tomsup` package, then proceed to explain the specific computational model of **ToM** that is included in `tomsup` (based on Devaine et al. (2014a)), and the computational models of plausible alternative cognitive mechanisms, such as reinforcement learning and heuristic methods. We then explain how to use `tomsup` for simulating tournaments or for making simulated agents interact with participants, followed by an experimental use case and a validation of the recursive k-ToM model. Finally, we present a case simulation study where ToM agents of different sophistication levels compete with each other and agents with a variety of simpler strategies. We also show how to use `tomsup` to let participants play against ToM agents in an experimental context.

## 2. The `tomsup` Package

`tomsup` is a Python package implementing recursive **ToM** models within a larger agent-based modeling framework in a game theoretic context. Within `tomsup` the user can easily explore the implications of computational models of **ToM** by implementing them in agents competing in different formalized interactions (games) - e.g. the prisoner's dilemma, the stag-hunt and the matching pennies games - and assessing their performance in different conditions. Agents can be endowed with different cognitive mechanisms and strategies and compete in diverse

environments to better understand the resulting behaviors and their relative advantages and disadvantages. Crucially, **tomsup** can also be used to dynamically generate stimuli for experimental setups, that is, having agents with different cognitive mechanisms interact in real time with human participants. This enables the user to assess how participants could adapt to different strategies, and which of the implemented mechanisms best match the participants' behaviour. Further, the agents can be used to infer which levels of recursion and other parameters participants might be using.

**tomsup** implements a variety of economic games. Each game implemented involves repeated 2-agent interactions in which the agents synchronously choose between two possible choices, and the payoff they get for their choice depends on what the other agent has chosen. Indeed, the framework generalizes to any 2-player scenario that can be operationalized as a 2-by-2 payoff matrix. In a game theoretic context, a payoff matrix is a mathematical abstraction of real-life situations attempting to represent the possible outcomes of the situation in terms of the choices taken and rewards or punishments that follow. Let us consider the example of the competitive matching pennies game, a prime case for the application of **ToM** (Devaine et al., 2017). The matching pennies game represents a situation where one agent (or participant) has to hide a coin in one hand, and the other agent has to guess which hand. The situation, in which if the second agent guesses correctly, the first loses (see Table 1), is a schematic representation of all situations in which a person's loss is directly proportional to another person's gain. Crucially, this game can only be consistently won by predicting on a turn by turn basis the opponent's choice (and therefore **ToM** becomes relevant).

In order to enact decisions in these games, the agents rely on computational implementations of decision processes (or cognitive mechanisms). **tomsup** includes a variety of such models (or game theoretic agents). The key model is the **ToM** model at different levels of recursion (explained in section 2.1. **The  $k$ -ToM Model**). However, simpler models have been implemented as plausible alternative mechanisms to fully fledged **ToM** models. Some are heuristic strategies, that is, simple rules. The simplest is the Random Bias agent, which simply makes a random choice with a given probability (e.g. 60 percent probability of choosing the right hand in the matching pennies game). Tit-For-Tat follows the choice employed by the

**Table 1**

*The competitive matching pennies game. Each cell denotes the reward for player 1 and player 2 for example if player 1 has put the penny in the right hand, and player 2 chooses the right hand, player 1 would lose 1 point (-1) and player 2 would gain 1 (+1).*

Matching Pennies		Player 2	
		Right	Left
Player 1	Right	-1, 1	1, -1
	Left	1, -1	-1, 1

other agent in the previous interaction. Win-Stay Lose-Shift bases its decisions on the previous round: if the agent won, it keeps choosing the same, while if it lost, it changes its decision (Axelrod & Hamilton, 1981). Other models implement more complex strategies, for instance using statistical learning strategies to infer statistical regularities in the environment as opposed to explicit modeling of other’s mental states. We implemented a reinforcement learning model (a Q-Learning agent, as proposed by Watkins and Dayan (1992)). Such agents update their expectations of rewards for each choice on a turn by turn basis, according to the previous rewards achieved.

In **tomsup**, the agents’ interactions and environments can be structured in many ways. In the simplest case, two agents interact repeatedly with each other. However, multiple agents can be involved, e.g. in round robin tournaments where each of multiple agents competes against each of the others. Further, more realistic scenarios with multiple agents can be played out on networks where who competes against whom is determined by the network structure (e.g. to simulate the effects of agents only competing with their local neighbors). The effects of diverse “environments” for the interactions has been previously used for instance to examine neighborhood segregation (Schelling, 1978) and cooperation (Axelrod & Hamilton, 1981).

After having introduced the general structure of the interactions, we now focus on the recursive **ToM** implementation.

## 2.1. The $k$ -ToM Model

In this section we first cover a conceptual overview of the recursive **ToM** model developed throughout Devaine et al. (2014b), Devaine et al. (2014a), and Devaine et al. (2017). We then focus on the mathematical implementation of such recursive ToM agent (from now on  $k$ -**ToM** agents, where  $k$  is an index of how many levels of recursion/sophistication are implemented). Note that where our equations differ from the original articles, this is simply due to our decision to be more transparent as to the approximations implemented, which are the same for both VBA and tomsup. All **ToM** agents attempt to predict the probability of their opponents' possible choices. This enables the agents to calculate the expected reward for each of their own possible choices, so to choose the one with the highest expected reward (for more details see section **The Decision Process**). A key element of **ToM** agents is the way in which they represent their opponents in order to predict their choices. **0-ToM** agents ( $k = 0$ , i.e., no recursion) conceive of their opponents as biased agents, that is, with a given probability for each choice.  $k$ -**ToM** agents with a  $k$  above zero, on the other hand, treat their opponents as **ToM** agents, that is, as agents with internal representations. In other words, these  $k$ -ToM agents actually simulate their opponents' learning process, to be able to infer the opponent's beliefs about themselves.  $k$  indicates how many layers of recursion the agent can represent, that is, at which level it stops representing the opponent as representing the agent as representing the opponent, etc. 1-ToM represents the opponent as a 0-ToM agent; 2-ToM can represent the other agent as a 1-ToM or a 0-ToM agent; and so on. Crucially, a  $k$ -ToM agent must also infer the level  $k$  of its opponent from the options  $[0; k - 1]$ , which is done in a reinforcement learning-like fashion as described in section  **$k$ -ToM's Learning Process**. The **ToM** model consists of a learning process where it infers the opponent's internal parameters and estimates the probability of the opponent choosing either option (see the sections **0-ToM's Learning Process** and  **$k$ -ToM's Learning Process**); and a decision process where the expected choice of the opponent is used to probabilistically define the agent's choice (see the section **The Decision Process**). All  $k$ -ToM agents are characterized by four parameters collectively referred to as  $\theta$ . The behavioural temperature  $\beta \in ]0; \infty[$  indicates how noisy the decision process is, that is, how strongly determined the decision is by the predicted choices of the

opponent. The volatility  $\sigma \in ]0; \infty[$  indicates how much the agent thinks that the opponent might shift their parameters over time (and accordingly controls updating and confidence in the agent's parameters). The bias  $b \in ]-\infty; \infty[$  indicates a preference towards a certain choice independent of all other parameters (e.g. right handed participants might prefer to choose the option on the right). Finally, the dilution  $d \in [0; 1]$  indicates the degree to which beliefs about the opponent's sophistication level are forgotten over time (thus giving more or less weight to more recent interactions), which can be interpreted as an assumed base rate of change in the opponent's sophistication level. Note that if simpler models are necessary and/or warranted, both the dilution  $d$  and the bias  $b$  are optional parameters in **tomsup**, that is, they can be set to have no role in the agent's and/or opponent's learning and decision processes.

### ***The Decision Process***

Given the inferred representation of the opponent (see **section 0-ToM's Learning Process** and **k-ToM's Learning Process** for details on the inference), on each trial the agent produces an estimate of the probability that the opponent will choose 1  $p_t^{op}$ . The expected payoff of choosing 1 relative to 0  $\Delta V_t$  is then calculated on each trial  $t$  by weighting the relative payoff of each choice given the probability of the opponent's choice of 1 according to the following equation:

$$\Delta V_t' = p_t^{op}(U(1, 1) - U(0, 1)) + (1 - p_t^{op})(U(1, 0) - U(0, 0)) \quad (1)$$

The notation  $U(c^{self}, c^{op})$  denotes the payoff (utility) function, which returns the reward  $R$  given a payoff matrix and the (hypothetical) choices of  $k$ -ToM agent  $c^{self}$  and the opponent  $c^{op}$ .

After this, the optional bias parameter  $b$  can be added to bias the expected utility towards a specific choice:

$$\Delta V_t = \Delta V_t' + b \quad (2)$$

Where  $\Delta V_t'$  is the value of  $\Delta V_t$  before the optional update. The bias parameter  $b$  is useful to model agents or estimate opponents which might present an increased tendency to choose one

option over the other (everything else being equal), for instance opponents implementing a Random Bias strategy, or right handed participants tending to favor the option on the right more than their objective utility function would predict.

Then  $k$ -ToM's own decision probability  $P(c_t^{self} = 1)$  is calculated by inserting the expected utilities  $\Delta V_t$  in a softmax decision rule for two options, as shown below:

$$P(c_t^{self} = 1) = \frac{1}{1 + \exp(-\frac{\Delta V_t}{\beta})} \quad (3)$$

Where  $P(c_t^{self} = 1)$  is  $k$ -ToM's probability of choosing 1 on the current trial  $t$ .  $\beta$  denotes 0-ToM's behavioural temperature parameter, where higher values lead to more random behaviour.

### ***0-ToM's Learning Process***

Figure 1 graphically represents the 0-ToM model. 0-ToM assumes that the opponent uses a Random Bias strategy. In other words, the learning process for 0-ToM agents consists in using the previous trial to estimate the bias of the opponent ( $p_t^{op}$ ) towards a given choice. The mean  $\mu$  and variance  $\Sigma$  of the bias are estimated and then combined into a point estimate to simplify the decision process (see section **The Decision Process**).

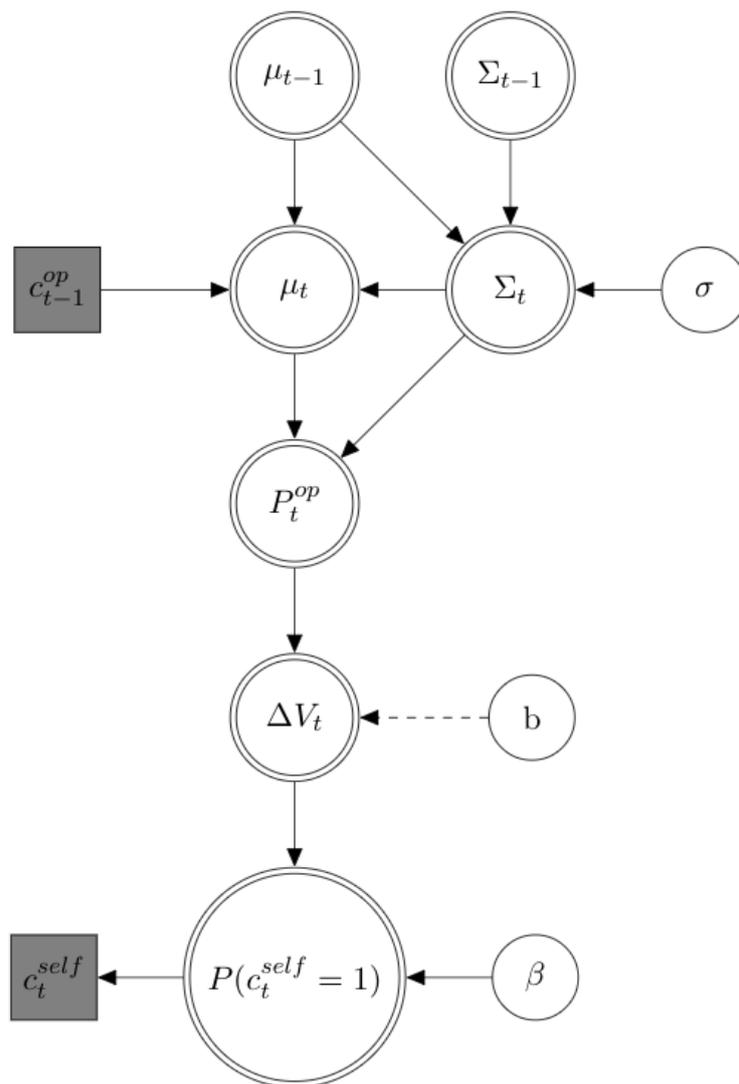
The rest of this section details the mathematical implementation of the learning process. First, the variance  $\Sigma$  of the estimate of the opponent's bias is updated using the following equation:

$$\Sigma_t \approx \frac{1}{\frac{1}{\Sigma_{t-1} + \sigma} + s(\mu_{t-1})(1 - s(\mu_{t-1}))} \quad (4)$$

Where  $\Sigma_t$  denotes the variance or uncertainty of 0-ToM's estimate of the opponent's bias at trial  $t$ , and  $\mu_{t-1}$  is the mean in log-odds of the estimate from the previous trial.  $s$  is the sigmoid function used to convert the mean parameter estimate into a probability between 0 and 1.  $\sigma$  denotes the 0-ToM agent's volatility parameter, which is an assumption on how much the opponent's parameters vary across time, defining a lower bound for how certain the 0-ToM agent can be of their opponent's bias estimate.

**Figure 1**

A graphical model of the 0-ToM model's learning and decision processes, which is repeated on each trial. Variables that are observed (data) are shaded. Unobserved deterministic variables are represented with a double border. Discrete variables are represented as squares, while continuous ones as circles. Note that the optional update of  $\Delta V$  has been omitted for simplicity.



The updated  $\Sigma$  is then used when updating the mean  $\mu$  estimate of the opponent's bias, as shown below:

$$\mu_t \approx \mu_{t-1} + \Sigma_t (c_{t-1}^{op} - s(\mu_{t-1})) \quad (5)$$

Here  $c_{t-1}^{op}$  denotes the opponent's choice at the previous trial  $t - 1$ . The  $\mu$  estimate is updated based on the difference between the opponent's actual choice  $c_{t-1}^{op}$  and the estimated choice probability  $s(\mu_{t-1})$  on the last trial (i.e. the prediction error), weighted by the uncertainty  $\Sigma$  (the more uncertain the current estimate, the more the error in the estimate from the previous trial will change it). [Equation 4](#) and [Equation 5](#) together form the equivalent of a Kalman filter and are used to approximate opponent's choice probability.

In order to calculate a point estimate of the opponent's bias, that is, the probability that the opponent will choose 1 in this trial, the mean  $\mu$  and variance  $\Sigma$  of the estimate are combined according to the following equation:

$$p_t^{op} \approx s \left( \frac{\mu_t}{\sqrt{1 + (\Sigma_t + \sigma)3/\pi^2}} \right) \quad (6)$$

$p_t^{op}$  is the estimated probability of the opponent choosing 1.  $\mu$  and  $\Sigma$  are the mean and the variance for the opponent's bias.  $\sigma$  is the volatility parameter (estimated tendency of the opponent to change its bias over time). The equation implies that the higher the uncertainty in the estimate, the more the estimated probability of the opponent choosing 1 is pulled towards chance level and away from the mean  $\mu$ . To avoid identifiability issues Daunizeau et al.

(2014) approximate the equation to:

$$p_t^{op} \approx s \left( \frac{\mu_t}{\sqrt{1 + 0.36 \cdot \Sigma_t}} \right) \quad (7)$$

The `tomsup` package implements both variants with the approximation as a default.  $p_t^{op}$  is then used in the decision process as described in section [The Decision Process](#).

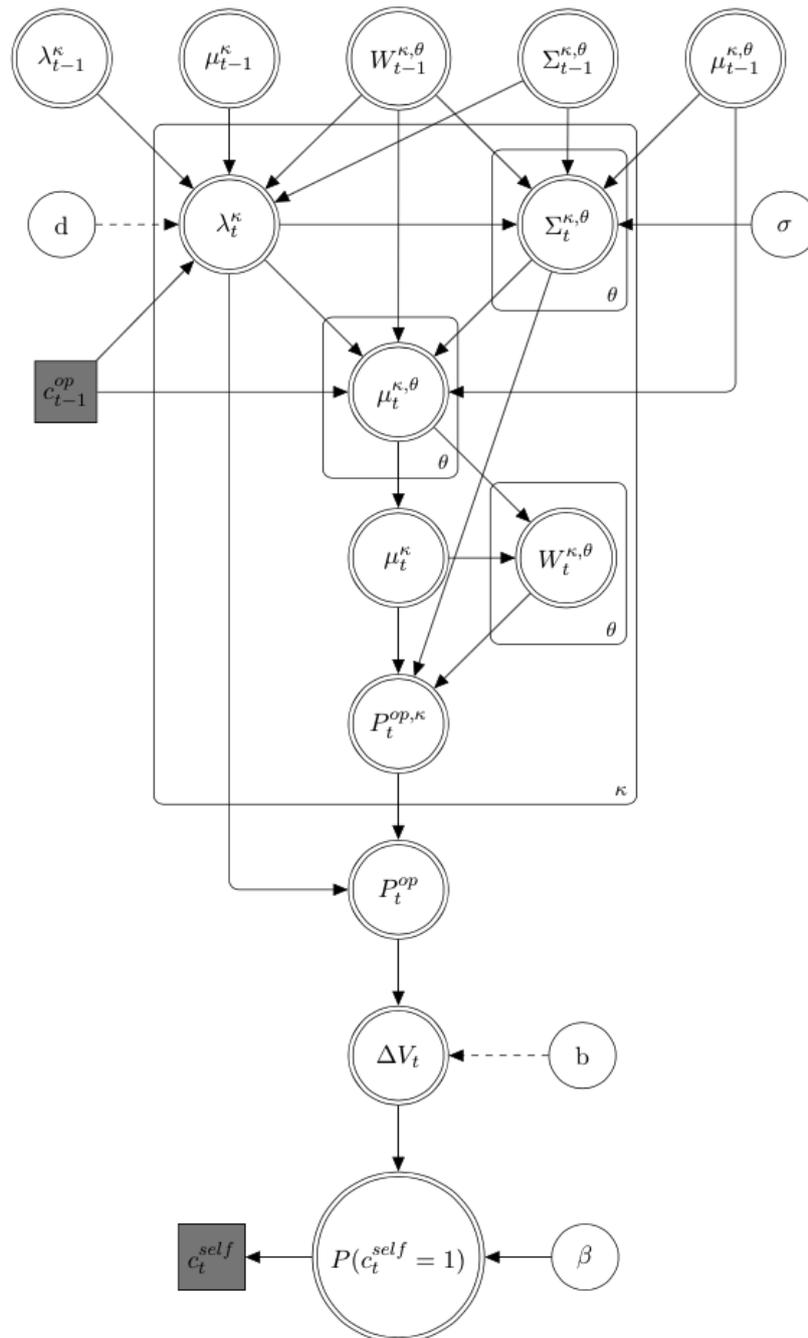
### ***k-ToM's Learning Process***

When agents implement a more sophisticated representation of the opponent, that is, when  $k > 0$ , the learning process becomes more complex, as shown in [Figure 2](#).  $k$ -ToM agents with

$k > 0$  simulate the opponent's learning and decision processes in order to estimate its probability of choosing 1,  $p^{op}$ . The opponent is represented as a  $k$ -ToM agent of a lower sophistication level  $\kappa < k$ . The agent, thus, has to estimate on a trial by trial basis the probability  $\lambda^\kappa$  of the opponent having each of the possible levels  $\kappa$ , besides their model parameters  $\theta$ . Given that the model is recursive (representing the opponent representing the agent representing...), estimates of the opponent's parameters also involve estimating the opponent's estimation of the agent's own parameters. This is done using a non-linear variational Bayes Laplace approximation, yielding a mean estimate  $\mu^\theta$  and variance  $\Sigma^\theta$  for each of the opponent's parameters included in  $\theta$  (the behavioural temperature  $\beta$  and the volatility  $\sigma$ , and optionally the bias  $b$  and the dilution  $d$ ). Using these estimates, the  $k$ -ToM agent simulates the opponent's beliefs about its own choice probability, and consequently infers the opponent's choice probability  $\mu$  of choosing 1. During this process, the gradient  $W^\theta$  is defined as the change in estimated choice probability of the opponent  $p^{op,\kappa}$  due to the change in parameter estimates  $\mu^{\kappa,\theta}$ , separately for each parameter in  $\theta$ . The gradient  $W^\theta$  later determines how much parameter estimates  $\mu^{\kappa,\theta}$  are updated so that less important parameters are updated less; and weighs  $\Sigma^{\kappa,\theta}$  so that uncertainties about more important parameters have greater effect when forming beliefs about the opponent's choice probability  $p^{op,\kappa}$ . Parameters and choice probability are estimated for each of the opponent's possible levels of sophistication  $\kappa < k$ . The final estimated probability for the opponent to choose 1  $p^{op}$  is the weighted average of the probabilities of choosing 1 for each possible opponent level  $p^{op,\kappa}$ , where the weight is defined by the probability  $\lambda^\kappa$  of the opponent using that level of recursion. This final estimate is then used in the agent's decision process in the same way as for the simpler 0-ToM agent, described in [The Decision Process](#).

**Figure 2**

A graphical model of the  $k$ -ToM model's learning and decision processes, which is repeated on each trial. Variables that are observed (data) are shaded. Unobserved deterministic variables are represented with a double border. Discrete variables are represented as squares, while continuous ones as circles. Note that the optional updates of  $\Delta V$  and  $\lambda^\kappa$  have been omitted for simplicity.



Here follows the mathematical implementation. First the agent estimates the probability  $\lambda^\kappa$  that the opponent has each of the possible sophistication levels  $\kappa$ . The dilution parameter  $d$ , if used, increases the uncertainty of  $\lambda^\kappa$  estimates from the previous trial ('forgetting' them) to facilitate inferring changing opponent's parameters. This is shown in the following equation:

$$\lambda_{t-1}^\kappa = (1-d) \cdot \lambda'_{t-1}^\kappa + \frac{d}{k} \quad (8)$$

Where  $\lambda'_{t-1}^\kappa$  is the value of  $\lambda_{t-1}^\kappa$  before the optional update.  $d$  is the dilution parameter (on a 0 – 1 probability scale, as transformed by the sigmoid function), and  $k$  is  $k$ -ToM's sophistication level, incidentally also equal to the amount of possible levels of recursion in the opponent.

Probability estimates for each recursion level are updated by comparing the expected behavior under each possible opponent level to the observed behavior:

$$\lambda_t^\kappa \approx \left( \frac{\lambda_{t-1}^\kappa P_{t-1}^{op,\kappa}}{\sum_{\kappa' < k} \lambda_{t-1}^{\kappa'} P_{t-1}^{op,\kappa'}} \right)^{c_{t-1}^{op}} \left( \frac{\lambda_{t-1}^\kappa (1 - P_{t-1}^{op,\kappa})}{\sum_{\kappa' < k} \lambda_{t-1}^{\kappa'} (1 - P_{t-1}^{op,\kappa'})} \right)^{1 - c_{t-1}^{op}} \quad (9)$$

Here  $\lambda_{t-1}^\kappa$  denotes the estimated probability  $\lambda$  at trial  $t$  of its opponent having a sophistication level of  $\kappa$ .  $p_{t-1}^{op,\kappa}$  denotes the estimated probability for the opponent to choose 1 for each possible opponent level  $\kappa$  on the previous trial  $t - 1$ . Note that  $\Sigma$  is here used as a summation sign, and not to denote parameter estimate uncertainties. This is done to keep the notation consistent with the notation used by Devaine et al. (2017).

Following Daunizeau et al. (2014),  $P_{t-1}^{op,\kappa}$  is approximated using the following equation:

$$P_{t-1}^{op,\kappa} \approx s \left( \frac{\mu_{t-1}^\kappa - 0.319 \cdot (\Sigma_{t-1}^\kappa)^{0.781}}{\sqrt{1 + 0.205 \cdot (\Sigma_{t-1}^\kappa)^{0.870}}} \right) \quad (10)$$

Here  $\mu_{t-1}^\kappa$  is the (log-odds) probability for the opponent to choose 1 at trial  $t$ , predicted from the previous trial  $t - 1$ , for each possible opponent level  $\kappa$ .  $\Sigma_{t-1}^\kappa$  is the agent's uncertainty about that choice probability estimate, which is an average of the uncertainties about the agent's parameter estimates weighted by their influence on estimates of behavior:

$$\Sigma_{t-1}^\kappa \approx \sum_{\theta} \Sigma_{t-1}^{\kappa,\theta} \left( W_{t-1}^{\kappa,\theta} \right)^2 \quad (11)$$

Here  $\Sigma_{t-1}^{\kappa,\theta}$  denotes the agent's uncertainty as estimated in the previous trial  $t - 1$  for each parameter  $\theta$  and each possible recursion level  $\kappa$ .  $W_{t-1}^{\kappa,\theta}$  is the gradient of the effect of parameter estimates on choice probability estimates. Note that this equation only is valid under a mean-field approximation among parameters.

The k-ToM agent now updates its estimates for each of the opponent's parameter values  $\theta$  (the behavioural temperature  $\beta$  and the volatility  $\sigma$ , and optionally the bias  $b$  and the dilution  $d$ ).

First the uncertainty of the parameter estimates is calculated:

$$\Sigma_t^{\kappa,\theta} \approx \frac{1}{\frac{1}{\Sigma_{t-1}^{\kappa,\theta} + \sigma} + s(\mu_{t-1}^\kappa)(1 - s(\mu_{t-1}^\kappa))\lambda_t^\kappa \left(W_{t-1}^{\kappa,\theta}\right)^2} \quad (12)$$

Here  $\mu_{t-1}^\kappa$  is the agent's estimate the opponent's probability of choosing 1, for each possible opponent level  $\kappa$ .<sup>1</sup>

The mean estimates of each parameter in  $\theta$  the are now updated:

$$\mu_t^{\kappa,\theta} \approx \mu_{t-1}^{\kappa,\theta} + W_{t-1}^{\kappa,\theta} \Sigma_t^{\kappa,\theta} \lambda_t^\kappa (c_{t-1}^{op} - s(\mu_{t-1}^\kappa)) \quad (13)$$

$\mu^{\kappa,\theta}$  is updated according to the difference between the observed behaviour  $c_{t-1}^{op}$  and the choice probability  $s(\mu_{t-1}^\kappa)$  (i.e. a prediction error), weighted by the gradient of the effect of parameter estimates on choice probability estimates  $W^{\kappa,\theta}$ , the probability of the opponent having the given sophistication level  $\lambda^{\kappa,\theta}$ , and the uncertainty of the estimate  $\Sigma^{\kappa,\theta}$ .

The agent now calculates the mean expected probability  $\mu^\kappa$  for the opponent's choice, given each possible level of recursion  $\kappa$ , by simulating the opponent's learning process. This includes storing and updating the beliefs of the simulated opponents of the different levels  $\kappa$ . The agent then numerically estimates the gradient  $W$  of the effect of parameter estimates  $\mu^\theta$  on choice probability estimates  $\mu$  fr each possible opponent level  $\kappa$ :

$$W_t^{\kappa,\theta} \approx \frac{d\mu_t^\kappa}{d\mu_t^{\kappa,\theta}} \quad (14)$$

<sup>1</sup> Note that, as in the VBA package for MATLAB (Daunizeau et al., 2014), the volatility  $\sigma$  is set to 0 when estimating the opponent's behavioural temperature  $\beta$ , as it simplifies the computation.

The approximation is done by a local linearization, finding the difference made to choice probability estimates  $\mu$  by a small increment in each parameter estimates  $\mu^\theta$ . This is done for each parameter included in  $\theta$  (the behavioural temperature  $\beta$  and the volatility  $\sigma$ , and optionally the bias  $b$  and the dilution  $d$ ).  $W^\theta$  is necessary for ensuring that parameter estimates are updated and weighed appropriately because they have a non-linear relation to the observed behavior of the opponent.

Next, the agent estimates the opponent's probability of choosing 1 (using an approximation to avoid unidentifiability issues, as in Equation 7) for each of the possible levels  $\kappa$ , taking uncertainty into account:

$$P_t^{op,\kappa} \approx s \left( \frac{\mu_t^\kappa}{\sqrt{1 + 0.36 \cdot \Sigma_t^\kappa}} \right) \quad (15)$$

Where  $\mu_t^\kappa$  is the mean estimate of the opponent's probability of choosing 1 on trial  $t$ , and  $\Sigma_t^\kappa$  is a composite of the variances of the parameter estimations  $\Sigma^\theta$ , as calculated in Equation 11, and using gradients from the current trial  $W_t^{\kappa,\theta}$ .

The choice probability estimates of the opponent  $P_t^{op,\kappa}$  for each possible opponent level  $\kappa$  are now aggregated by a probability weighted average into a single choice probability estimate:

$$P_t^{op} = \sum_{\kappa} \lambda_t^\kappa P_t^{op,\kappa} \quad (16)$$

The opponent's probability of choosing 1 is now estimated and can be used in the decision process to produce the agent's own choice, as seen in section [The Decision Process](#).

## 2.2. Getting Started with tomsup

One of the advantages of computational models of cognitive processes is that the implications of the model can be worked out by simulating the model's behavior in a variety of situations. [tomsup](#) in particular allows for testing the k-ToM model as it plays a wide set of game-theoretical situations (e.g. Matching Pennies or Prisoner's Dilemma), in interaction with a variety of different agents (e.g. other k-ToM or less sophisticated agents), within different possible settings (e.g. repeated interactions with the same opponent, or round robin tournaments). In order to better understand the setup of the [tomsup](#) package, we start with the

case of two simple agents interacting, followed by a simple example using  $k$ -ToM agents. Lastly, we will show how to run a simulation using multiple agents as well as how to plot the evolving beliefs of a  $k$ -ToM agent. The appendix contains tutorials for more complex uses of `tomsup`, like specifying  $k$ -ToM's starting beliefs, creating custom agents, and using `tomsup` agents for experimental stimuli.

In the simple scenario two agents are playing the Matching Pennies game against each other. One agent hides a penny in one hand: choosing 0 could indicate hiding it in the left hand, while choosing 1 indicates the right. The other agent has to guess where the penny is. If the second agent guesses correctly (chooses the same number as the first agent), it wins and the first agent loses. In other words, the second agent must match their decision while the first agent tries to avoid it. In this example, one of the agents implements the Random Bias strategy (e.g. has a 60 percent probability of choosing right over left), while the other implements a classic Q-learning strategy (a model free reinforcement learning mechanism updating the expected reward of choosing a specific option on a trial by trial basis).

The user first has to install the `tomsup` package developed using python 3.6 (Van Rossum & Drake, 2009). The package can be downloaded and installed using pip:

```
pip3 install tomsup
```

The latest and less tested developmental build can also be installed directly from the github repository.

```
git clone https://github.com/KennethEnevoldsen/tomsup.git
cd tomsup
pip3 install -e .
```

Both approaches will also install the required dependencies. Now `tomsup` can be imported into Python following the lines;

```
import tomsup as ts
```

We will also set a arbitrary seed to ensure reproducibility;

```
import random
import numpy as np
```

```

random.seed(1995) # The year of birth of the first author
np.random.seed(1995)
# NumPy and Python uses two different random seeds.

```

First we need to set up the Matching Pennies game. As different games are defined by different payoff matrices, we set up the game by creating the appropriate payoff matrix using the `PayoffMatrix` class.

```

# initiate the competitive matching pennies game
penny = ts.PayoffMatrix(name='penny_competitive')

#print the payoff matrix
print(penny)

```

```
<Class PayoffMatrix, Name = penny_competitive>
```

The payoff matrix of agent 0

```

      | Choice agent 1
      |   | 0 | 1 |
      | ----- |
Choice | 0 | -1 | 1 |
agent 0| 1 | 1 | -1 |

```

The payoff matrix of agent 1

```

      | Choice agent 1
      |   | 0 | 1 |
      | ----- |
Choice | 0 | 1 | -1 |
agent 0| 1 | -1 | 1 |

```

The Matching Pennies game is a zero sum game, meaning that for one agent to get a reward, the opponent has to lose. Agents have thus to predict their opponents' behavior, which is ideal for investigating **ToM**. Note that to explore other payoff matrices included in the package, or to learn how to specify a custom payoff matrix, the user can type the `help(ts.PayoffMatrix)` command.

Then we create the first of the two competing agents:

```
# define the random bias agent, which chooses 1 70 percent of the time,
                                and call the agent "jung"
jung = ts.RB(bias=0.7)

# Examine Agent
print(f"jung is a class of type: {type(jung)}")
if isinstance(jung, ts.Agent):
    print(f"but jung is also an instance of the parent class ts.Agent")

# let us have Jung make a choice
choice = jung.compete()

print(f"jung chose {choice} and his probability for choosing 1 was {
                                jung.get_bias()}.")
```

```
jung is a class of type: <class 'tomsup.agent.RB'>
but jung is also an instance of the parent class ts.Agent
jung chose 1, and its probability for choosing 1 was 0.7.
```

Note that it is possible to create one or more agents simultaneously using the convenient `create_agents()` and passing any starting parameters to it in the form of a dictionary.

```
# create a reinforcement learning agent
skinner = ts.create_agents(agents='QL', start_params={'save_history':
                                True})
```

The full list of strategies currently implementable with `tomsup` can be found with the function `valid_agents()`. It is also possible to create custom agents, as per the tutorial found in Appendix B.

Now that both agents are created, we have them play against each other.

```
# have the agents compete for 30 rounds
results = ts.compete(jung, skinner, p_matrix=penny, n_rounds=30)

# examine results
```

```
print(results.head()) #inspect the first 5 rows of the dataframe
```

	round	choice_agent0	choice_agent1	payoff_agent0	payoff_agent1
0	0	1	1	1	-1
1	1	1	0	-1	1
2	2	1	0	-1	1
3	3	1	0	-1	1
4	4	0	0	1	-1

The data frame stores the choice of each agent as well as their resulting payoff. Simply summing the payoff columns would determine the winner.

### *Using $k$ -ToM agents*

In the following we present a simple simulation study of two  $k$ -ToM agents playing the penny game against each other.

We will start off by creating a 0-ToM agent with default priors and `save_history=True` to examine the workings of it. The setting `save_history` is disabled by default to save on memory use, which is especially relevant for ToM agents with high sophistication levels.

It is important to note that, for computational ease and for comparability with the implementation in the VBA toolbox (Daunizeau et al., 2014; Devaine et al., 2017), parameter values are inputted and saved on transformed scales. The behavioural temperature  $\beta$  and the volatility  $\sigma$  are both log-transformed, and the dilution  $d$  is in log-odds. Use `help(ts.TOM)` for an overview.

```
# Creating a simple 1-ToM with default parameters
tom_1 = ts.TOM(level=1, dilution=None, save_history=True)

# print the parameters
tom_1.print_parameters()
```

```
volatility (log scale):      -2
b_temp (log odds):         -1
bias:                       0
```

Note that k-ToM agents as default begin with agnostic starting beliefs. These can be seen in detail and specified as desired, as shown in Appendix A.

To increase the agent's tendency to choose 1 we could simply increase its bias. Similarly, if we want the agent to behave in a more deterministic fashion we can decrease the behavioural temperature. When the parameter values are set, we can play the agent against an opponent using the `.compete()` method, where `agent` denotes the agent in the payoff matrix (0 or 1) and the `op_choice` denote the choice of the opponent during the previous round.

```
tom_2 = ts.TOM(level = 2, volatility= -2, b_temp= -2, # more
              deterministic
              bias = 0, dilution = None, save_history = True)
choice = tom_2.compete(p_matrix=penny, agent=0, op_choice=None)
print("tom_2 chose:", choice)
```

```
tom_2 chose: 1
```

The user is recommended to have the 1-ToM and the 2-ToM agents compete by using the `ts.compete()` as it was done in the previous section. However, to make the process more transparent for the user, we here instead show the process in a simple *for* loop:

```
tom_2.reset() # reset before start

prev_choice_1tom = None
prev_choice_2tom = None
for trial in range(1, 4):
    # note that op_choice is choice on previous turn
    # and that agent is the agent you respond to in the payoff matrix
    choice_1 = tom_1.compete(p_matrix=penny, agent=0,
                            op_choice=prev_choice_1tom)
    choice_2 = tom_2.compete(p_matrix=penny, agent=1,
                            op_choice=prev_choice_2tom)

    # update previous choice
    prev_choice_1tom = choice_1
```

```

prev_choice_2tom = choice_2

print(f"Round {trial}", f" 1-ToM chose {choice_1}", f" 2-ToM
      chose {choice_2}", sep="\n")

```

Round 1

1-ToM chose 1

2-ToM chose 1

Round 2

1-ToM chose 1

2-ToM chose 0

Round 3

1-ToM chose 1

2-ToM chose 1

Incidentally, using a *for* loop like this is also the easiest way of implementing tomsup agents as experimental stimuli. A tutorial for doing this with the software PsychoPy (Peirce et al., 2019) can be found in Appendix C.

**tomsup** also has convenient functions for exploring and visualizing the internal states of ToM agents:

```

tom_2.print_internal(keys=["p_k", "p_op"], # print these two states
                    level=[0, 1])       # for the agent
                                         simulated
                                         opponents 0-
                                         ToM and 1-ToM

```

opponent\_states

| 0-ToM

| | opponent\_states

| | own\_states

| 1-ToM

| | opponent\_states



```

# Specify the environment
# round_robin e.g. each agent will play against all other agents
group.set_env(env='round_robin')

# Finally, we make the group compete 20 simulations of 30 rounds
results = group.compete(p_matrix=penny, n_rounds=30,
                        n_sim=20, save_history=True)

```

Currently the pair, ('RB', 'QL'), is competing for 20 simulations, each containing 30 rounds.

Running simulation 1 out of 20

Running simulation 2 out of 20

[...]

Running simulation 20 out of 20

Simulation complete

Following the simulation, a data frame can be extracted as before, with additional columns reporting simulation number, competing agent pair (agent0 and agent1) and if `save_history=True` it will also add two columns denoting the internal states of each agent, e.g. estimates and expectations at each trial.

```

res = group.get_results()
print(res.head(1)) # print the first row

```

```

    n_sim round choice_agent0 choice_agent1 payoff_agent0 payoff_agent1 \
0    0    0    0            0            1            -1

    history_agent0      history_agent1 agent0 \
0 {'choice': 0}      {'choice': 0, 'expected_value0': 0.5, ...}

    agent0      agent1
0    RB        QL

```

The package also provides convenient functions for plotting the agent's choices and performance. The following code plots results in [Figure 3](#) and [4](#).

```

# plot a heatmap of the rewards for all agents in the tournament
group.plot_heatmap()

# plot the choices of the 1-ToM agent when competing against the WSLs
agent
group.plot_choice(agent0="WSLS", agent1="1-TOM", agent=1)
# plot the choices of the 1-ToM agent when competing against the WSLs
agent
group.plot_choice(agent0="RB", agent1="1-TOM", agent=1)

# plot the score of the 1-ToM agent when competing against the WSLs
agent
group.plot_score(agent0="WSLS", agent1="1-TOM", agent=1)
# plot the score of the 2-ToM agent when competing against the WSLs
agent
group.plot_score(agent0="WSLS", agent1="2-TOM", agent=1)

```

**Figure 3**

A heatmap displaying the average score across simulations for each competing pair the score denotes the score of the agent (x-axis) when playing against to the opponent (y-axis). The score in the parenthesis denotes the 95% confidence interval.

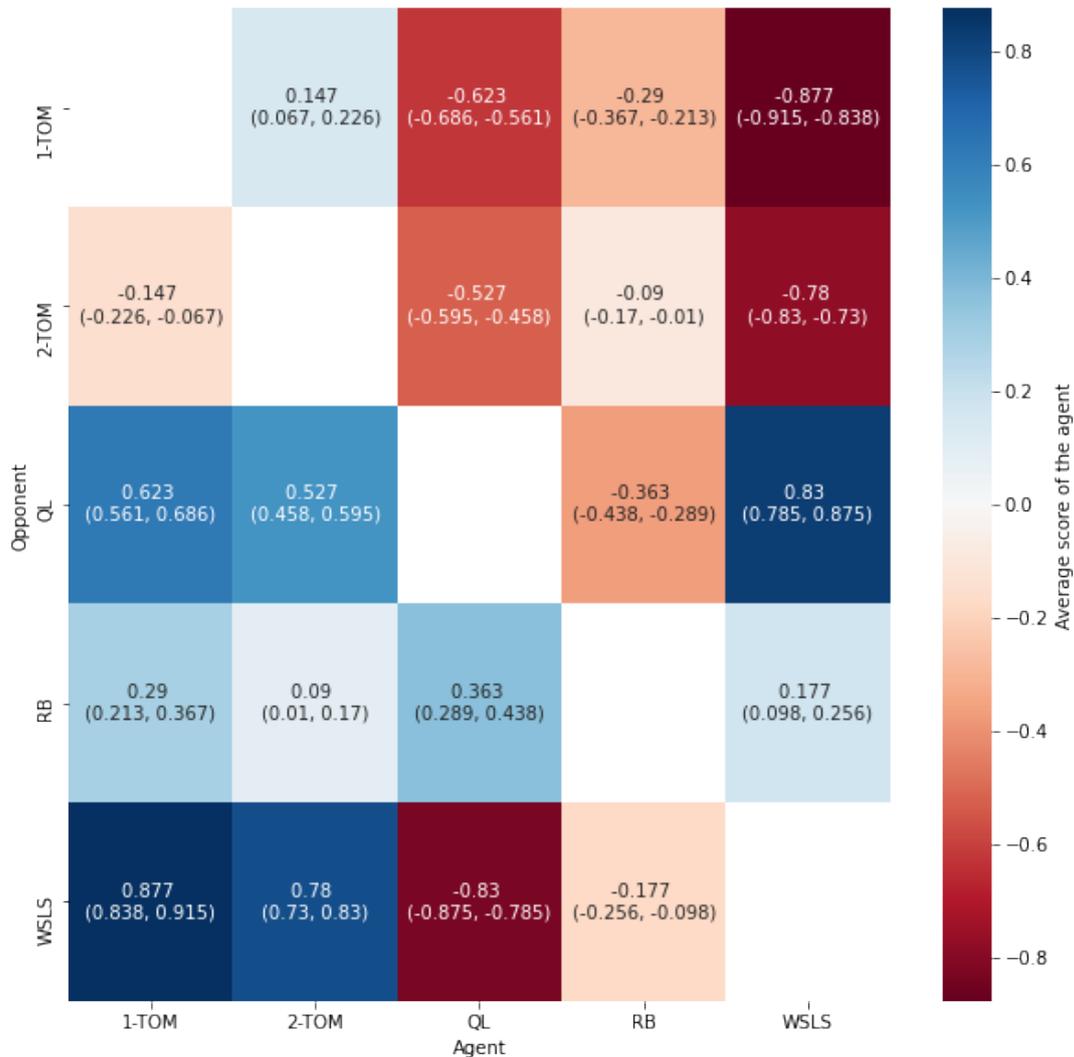
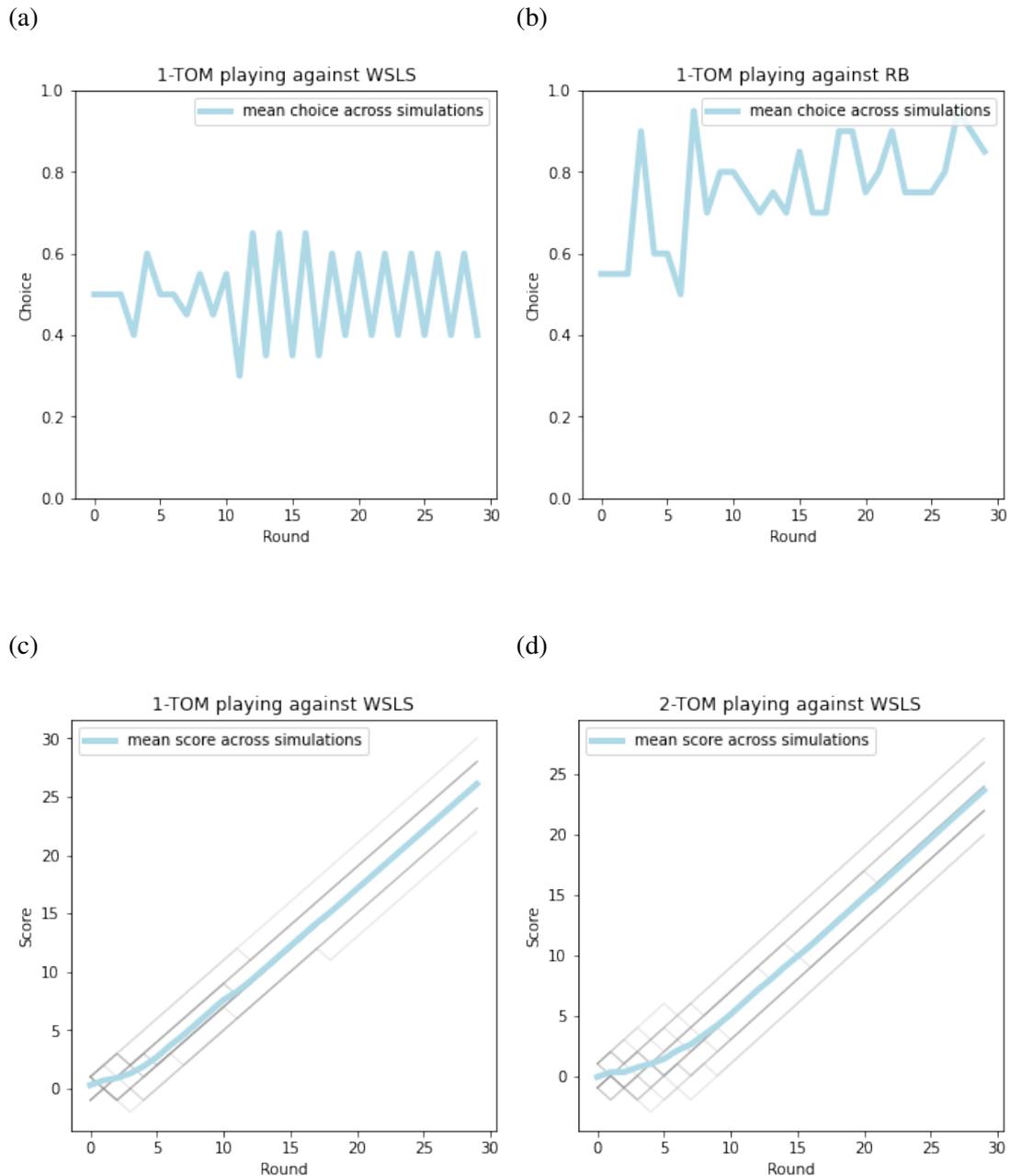


Figure 3 shows that k-ToM models compare favorably against simpler agents such as the QL. Furthermore, both 1-ToM and 2-ToM perform especially well against the WSLS agent. This is because the WSLS essentially implements heuristically a deterministic and high learning rate bias estimation strategy which can be approximated well as a low-volatility, low-temperature, high-dilution 0-ToM model. Similarly, a QL can be approximated using a low-temperature and low-volatility 0-ToM. We see that all agents are able to win against the RB agent, although with different levels of efficiency. Finally, we see that 1-ToM and 2-ToM are

behaviourally similar in this task, and against most of the opponents, except when playing against each other, in which case 2-ToM slightly outperforms 1-ToM. Although note that we expect the 1-ToM model playing against the 2-ToM to become increasingly more difficult to predict: unable to capture the 2-ToM dynamics, the 1-ToM likely grows more uncertain and therefore behaviourally more random. In general, 2-ToM is less efficient in predicting the simpler opponents than 1-ToM, also presumably because the more complex model requires more observations in order to become certain of its opponent's behavioural patterns (i.e., they require more data to infer relatively precise parameter value distributions, having more parameters to fit). These patterns could be further investigated in different ways, but one would be to look at average choice and reward patterns over time, as exemplified in [Figure 4c](#) and [4d](#). Here we see clearly that both 1-ToM and 2-ToM become almost perfectly able to predict the behaviour of the WSLS agent, with a slightly longer learning time for the 2-ToM agent. In [Figure 4a](#) and [4b](#) we also see how different opponents elicit different behavioural patterns in a 1-ToM agent: when such agent plays against an RB agent, it exhibits a bias estimation behaviour, while it enters an oscillating choice pattern against the WSLS. This is but a taste of how to visualize the simulations run through tomsup. One might investigate how these behavioural patterns vary depending on the game theoretic context, or investigate such things as how well agent's choices can be predicted from their or their opponents' earlier actions, across contexts, or summarize the behaviour in ways that rely less on averaging across simulations, which might hide important differences and nuances. The plotting functions included in tomsup are ultimately meant as convenient tools for initial investigation, while visualizations and analyses more appropriate for a specific research question can easily be made with the information contained in the results data frame produced by tomsup.

**Figure 4**

Choice and score plotted over rounds. The blue/bold lines indicate the mean score or choice across simulations, with each simulation represented by a grey/thin line.



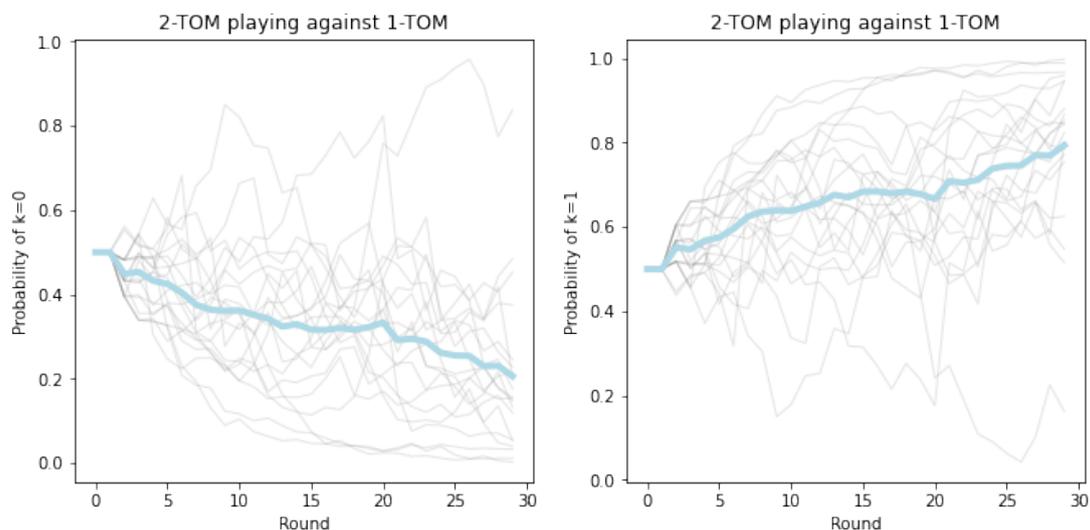
We note that besides the more generic plots applicable to all simulated agents, tomsup contains dedicated plotting shortcuts for  $k$ -ToM's internal states. This includes the estimate of the opponent's sophistication level, as seen in [Figure 5](#), where it can be seen that the 2-ToM

agent on average correctly estimates its 1-ToM opponent's sophistication level of 1.<sup>2</sup>

```
# plot 2-ToM estimate of its opponent sophistication level
group.plot_p_k(agent0="1-TOM", agent1="2-TOM", agent=1, level=0)
group.plot_p_k(agent0="1-TOM", agent1="2-TOM", agent=1, level=1)
```

**Figure 5**

The 2-ToM agent's estimations for its opponent having a sophistication level of 0 (left) or 1 (right). In almost all simulations, the 2-ToM agent correctly estimates its opponent's level to be 1. The blue/bold lines indicate the mean score across simulations, with each simulation represented by a grey/thin line.



It is also easy to plot  $k$ -ToM's estimates of its opponent's model parameters. As an example, the following code plots 2-ToM's estimate of a 1-ToM opponent's volatility and bias (shown in Figure 6). In this example, the 2-ToM agent approaches a correct estimate of the opponent's (default) volatility of -2 (log scale) as well as correctly estimates its opponent as having no inherent bias.

```
# plot 2-ToM estimate of its opponent's volatility while believing the
                                opponent to be level 1.
```

<sup>2</sup> Note that 2-ToM is slightly biased away from concluding an opponent to be at level 0. This is probably because the higher-level 1-ToM model have more parameters and is more flexible, and therefore is easier to fit to the data. Future versions of the algorithm could include penalties for increased amounts of parameters.

```

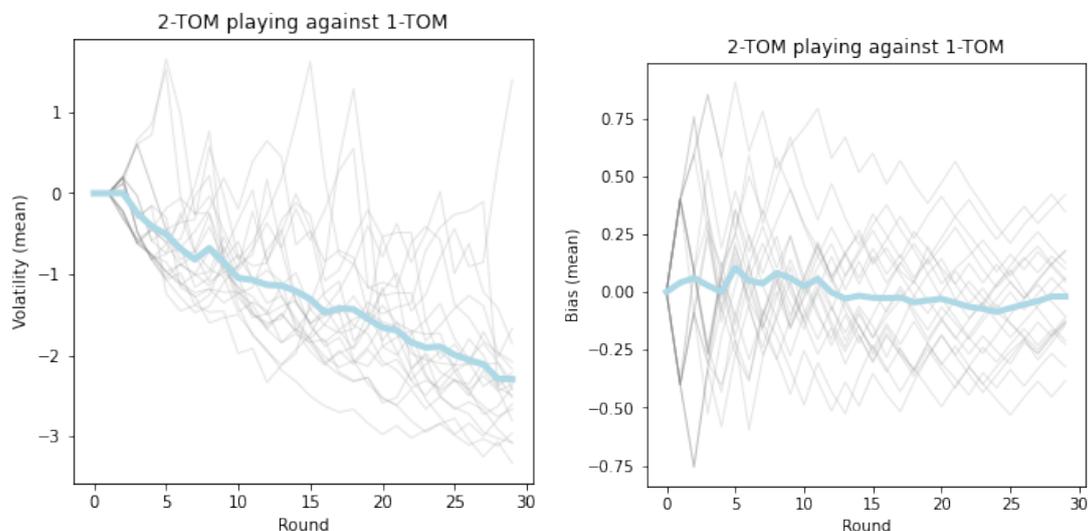
group.plot_tom_op_estimate(agent0="1-TOM", agent1="2-TOM", agent=1,
                           estimate="volatility", level=1,
                           plot="mean")

# plot 2-ToM estimate of its opponent's bias while believing the
                           opponent to be level 1.
group.plot_tom_op_estimate(agent0="1-TOM", agent1="2-TOM", agent=1,
                           estimate="bias", level=1, plot="
                           mean")

```

**Figure 6**

*2-ToM's estimate of 1-ToM's volatility (left) and bias(right). With time, the 2-ToM agent approaches a correct estimate of its opponent having a volatility of -2, and on average correctly estimates its opponent to have a bias of 0. The blue/bold lines indicate the mean score across simulations, with each simulation represented by a grey/thin line.*



Use `help(ts.AgentGroup.plot_tom_op_estimate)` for information on how to plot the other estimated parameters or  $k$ -ToM's uncertainty in these parameters. The full list of changing variables of an agent for any given trial can be found in the history column in the results data frame, which contains all of  $k$ -ToM's internal states, including choice probability, gradient and parameter estimates and uncertainties for itself and all its recursively simulated possible opponents.

Note that tomsup is being further developed, so updated documentation, examples and tutorials can be found on the Github repository for the tomsup package.<sup>3</sup>

### 2.3. Online Parameter Recovery

We assessed the ability of  $k$ -ToM models to correctly infer the properties of their opponents, e.g. their level of recursion ( $k$ ), bias, temperature and volatility. We ran a simulation experiment, where a 3-ToM model with default starting parameters (consistent with the VBA package to allow for comparison) competed against 1500  $k$ -ToM agents with a wide range of different starting parameters: the full combinatorial grid of 3 sophistication levels  $k \in \{0, 1, 2\}$ , 10 values of volatility  $\Sigma \in (-3, -1)$ , 10 values of bias,  $b \in (-1, 1)$ , and 5 values of behavioural temperature  $\beta \in (-1.5, -0.5)$ . The agents were set to play the competitive matching pennies game over 100 rounds.

We see in Figure 7 that sophistication and bias were reasonably estimated within 10-30 rounds, similarly we see that 3-ToM obtains a reasonable differentiation in sophistication level after few rounds, improving consistently, even faster if the opponent is a 0-ToM. Temperature and volatility were harder to estimate, showing continuous improvement over 100 trials, although not the exact estimate. We see that the model is able to deal with incorrect initial conditions. The relatively poor estimation of volatility is due to a more basic non-identifiability of the parameters: differences in behavior can be equally explainable by adjusting the estimated opponent's volatility or the estimated opponent's estimate of the agent's own volatility. Parameter recovery patterns are similar when 3-ToM makes estimates against ToM agents of all three sophistication levels. Recursive parameters such as the opponents estimation of the agent's sophistication level or bias could similarly be extracted, but we have chosen to focus primarily on the parameters which would typically be of interest. In addition to providing information about how well ToM agents can infer parameters about each other, it also serves as a preliminary parameter recovery test for when using an on-line 3-ToM agent to infer sophistication levels of participants based on experimentally observed behaviour, as we do in the next section. Note that a thorough parameter estimation based on

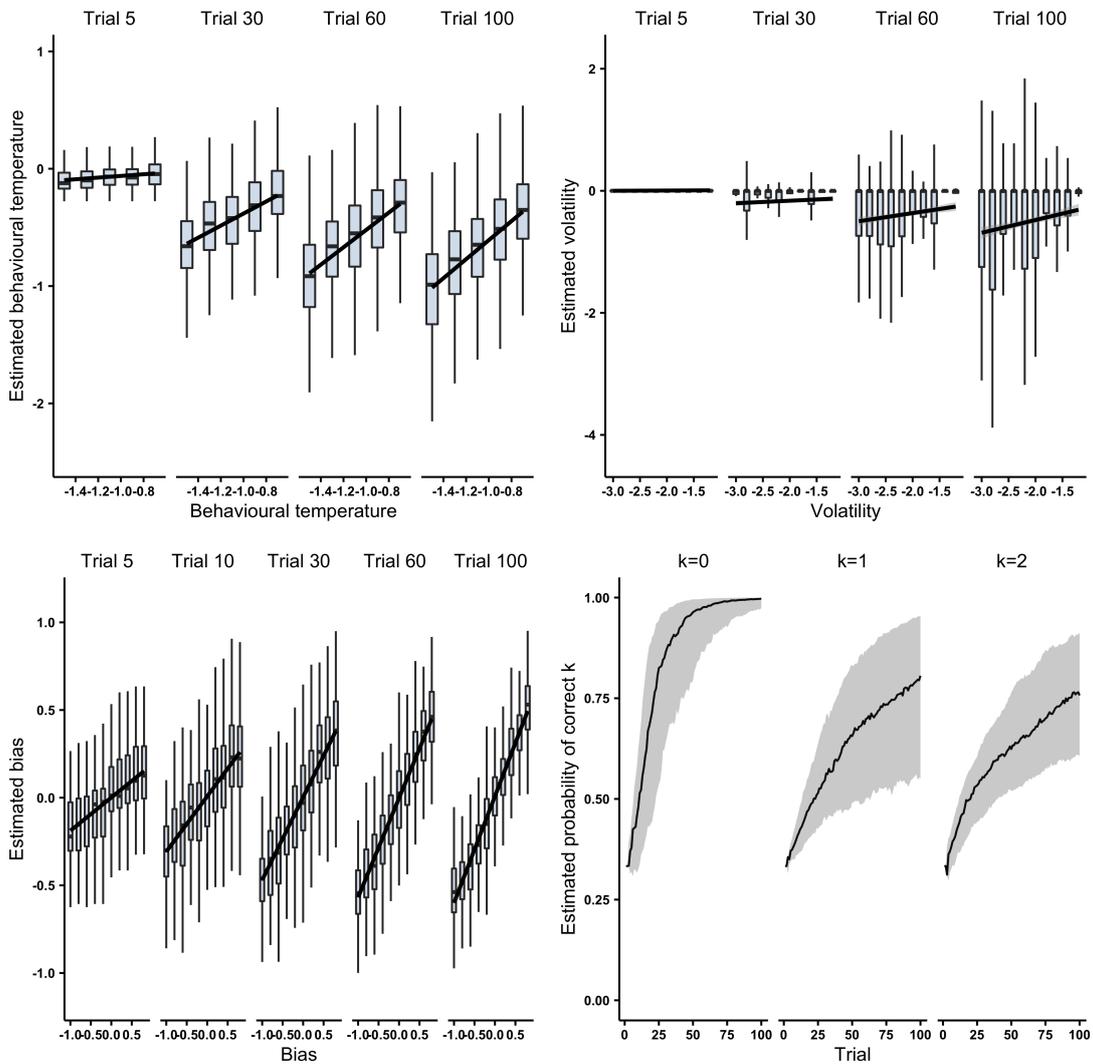
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<sup>3</sup> <https://github.com/KennethEnevoldsen/tomsup/tree/master/tutorials>

the  $k$ -ToM model, and also a full parameter and model recovery study, would benefit from being done using variational or sampling based Bayesian model inversion, approaches which - among other possible advantages - would allow using all observations for estimating parameters on trials before the last, avoiding sub-optimal inference.

### Figure 7

*A 3-ToM's estimation of the internal states when playing against 0-ToM, 1-ToM or 2-ToM agent with a range of different starting parameters as specified by a grid. The fit denotes a linear regression for estimations except for the sophistication level which is the median. The shading denoting the 25% lower and 75% upper quantile interval.*



## 2.4. An experimental use case

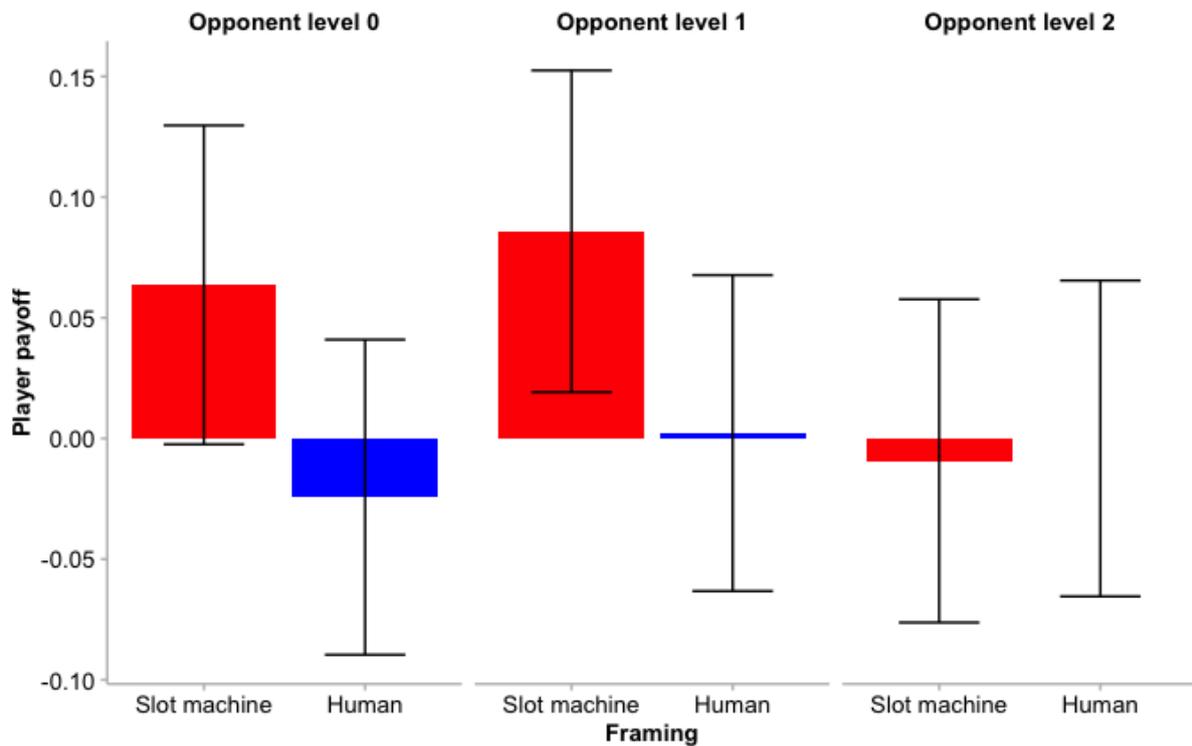
To illustrate how tomsup can be concretely used in an experimental setting, we provide an example - loosely inspired by (D'Arc et al., 2018) - of how to design a study involving human participants and how to analyze the resulting data.

We set up to test the impact of framing the interaction with artificial agents as social (playing against another participant) or non-social (pulling the levers of a slot machine). Within each of these conditions, the participants had to play against agents at different levels of complexity (0-, 1- and 2-ToM). The participants played 20 trials against each agent in each condition, for a total of 120 trials. We implemented the paradigm by interfacing tomsup with PsychoPy, for details on the code implementation see Appendix C and the github repository of the project <https://github.com/KennethEnevoldsen/tomsup/>. 47 undergraduate students at Aarhus University (26 women and 21 men, mean age 20.3) participated in the study, which was approved by the local University Ethical Board and Data Protection Agency. In order to assess the impact of the experimental manipulations (framing and complexity of the agents) we showcase two complementary approaches: a more traditional analysis of differences in performance as a consequence of the conditions, and a more explicit cognitive modeling analysis of the strategies used by the participants as a function of the experimental conditions. A first analysis relying on this experimental setup is to identify whether the experimental conditions do indeed make a difference for average performance.

Figure 8 shows that players in general perform better when the opponent is framed as a slot machine as compared to being framed as another human player. This difference disappears, however, when the opponent is sufficiently complex, at which point players win as often as they lose. Given the high uncertainty around the mean estimates, we complement them with a plot displaying changes over time and a statistical analysis.

**Figure 8**

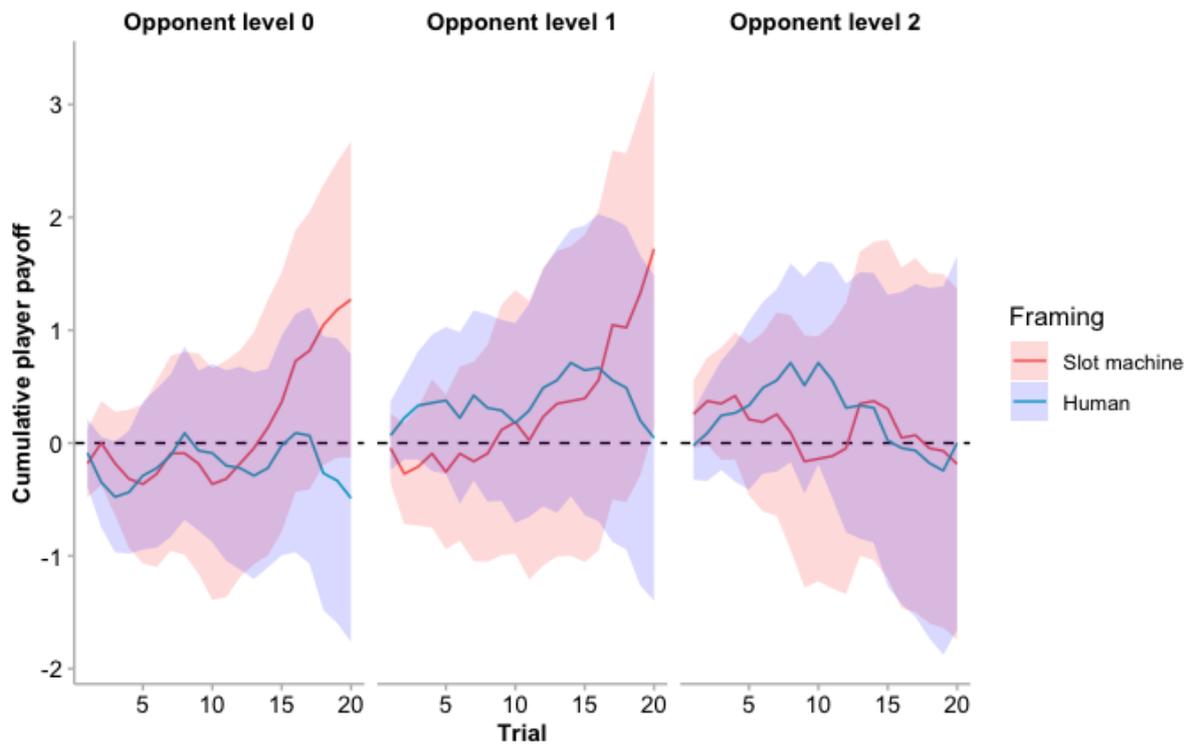
*Average payoffs across different sophistication levels of the simulated opponent, and whether the opponent was presented as a slot machine or a human. Error bars display the 95% confidence interval*



If we look at how performance develops over time within each experimental condition, figure 9 shows that the difference is due to the late trials. Participants learn only gradually to play effectively against the agents, and only against those framed as slot machines. This suggests that experimental setups should use more than 20 trials to allow learning to take place.

**Figure 9**

*Cumulative payoffs over trial time for different opponent sophistication levels, and whether the opponent was presented as a slot machine or a human. Shaded area shows the 95% confidence interval*



These observations are confirmed by a statistical analysis of the performance data (see <https://github.com/KennethEnevoldsen/tomsup/>, for full code of the implementation). We first build a Bayesian multilevel logistic regression, conditioning payoff on framing and opposing agent and all coefficients fully varying by participant. Performance in the non-social framing is indeed higher than the social framing: 3 percent increase in performance (95% CIs: 0 0.05, Evidence Ratio: 24.97, credibility: 96%<sup>4</sup>). Consistently with previous findings by d’Arc et al (2020), we also find that in the non-social condition performance decays as a function of complexity, while it stays constant in the social condition (interaction: -0.05, 95% CIs: -0.11 0.02, ER: 8.35; credibility: 89%; non-social: -0.04, 95% CIs: -0.08 0.01, ER: 8.26, credibility:

<sup>4</sup> The evidence ratio is a Bayes factor between the hypothesis and its alternative computed via the Savage-Dickey density ratio method. Credibility refers to the percentage of samples (from the estimated distributions) compatible with the hypothesis.

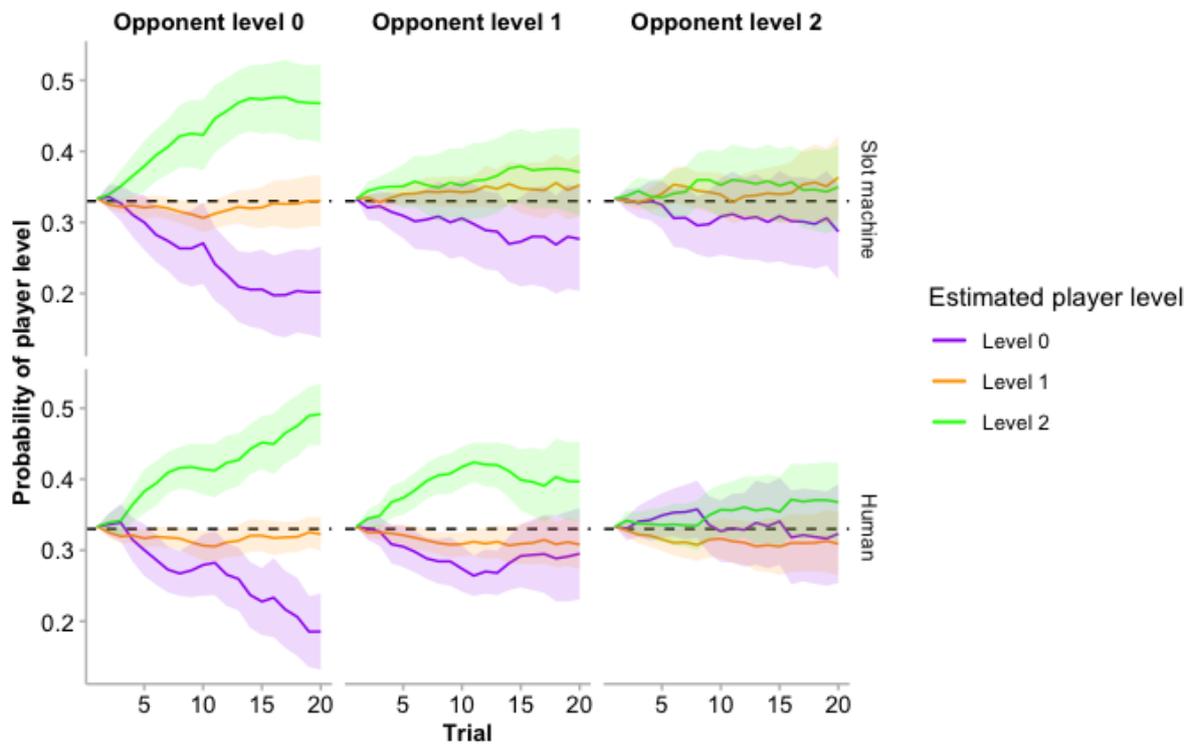
89%; social: 0.01, 95% CIs -0.03 0.06, ER: 2.16, credibility: 68%). Analogously, we can see that performance increases over trials for participants in the non-social condition playing against 0-ToM (increase of 16 percent, 95% CIs: 0.07 0.25, ER 221, credibility = 100%) and 1-ToM (increase of 15 percent, 95% CIs: 0.07 0.22, ER 665, credibility 100%), but not in any other condition.

A second complementary analysis of the data can set a high level k-ToM agent to observe the participants' behavior and accordingly infer their level of sophistication in the different conditions. Here we use a 3-ToM agent.

Figure 10 shows that - at least as estimated by the model - participants tend to use a more sophisticated level of recursion (2-ToM) when playing against the simplest agent (0-ToM), across framing. However, participants also use a 2-ToM strategy when playing against a 1-ToM agent in the social framing. This latter pattern is not reflected in the performance analysis and constitutes one of the advantages of theory-driven cognitive modeling of the data, more concerned with mechanisms than with raw performance.

**Figure 10**

An observing 3-ToM's inferences on the sophistication level of human players, depending on the framing and the sophistication level of the opponent. Shaded area shows the 95% confidence interval



Importantly, it should be noted that these analyses are primarily intended as a demonstration of functionality and not a substantial experimental investigation.

### 3. Discussion

The current (1.0.0) version of `tomsup` provides a wide range of tools to better understand via simulations and experiments a recursive computational model of ToM ((Devaine et al., 2017)), as well as simpler non-recursive and heuristic models. Agents with a variety of computational strategies can be set against each other in a variety of game-theoretical situations, within diverse interactional and tournament settings. This allows for a deeper understanding of the implications of the different models and parameter values on performance and how they vary across contexts. For instance, one could assess how many levels of recursion can actually be inferred in these scenarios and in which conditions that would make a difference for

performance, and compare that with empirical data. Thoroughly investigating in which games and against which opponents models behave differently or similarly to each other allows for assessments of model discriminability and facilitates experimental designs that best allows for inferring whether participants employ Theory of Mind. As a second crucial contribution, besides providing a tutorial on how to run these simulation studies, we also provide one on how to integrate computational agents and settings in experimental setups in real-time. This allows the assessment of human performance in similar settings as the simulations above, for easier comparison. We provide examples of data analysis and visualization for both simulation and experimental studies, as well as the code to implement them, to facilitate intuitive use of the package. This can for example be used to assess effects of framing on the use of recursive ToM, as well as whether these minimal 2-choice scenarios are indeed challenging enough or rich enough in terms of information and possible strategies for participants to actually engage in recursive thinking. Finally, we showcase how the package can be used to validate the models via parameter recovery, assessing whether the agents are able to infer the correct parameters. These functionalities can also be used to analyze empirical data, de facto fitting k-tom models to the data and inferring parameter values.

While the package already provides great value for investigating Theory of Mind and (at least one of) its computational implementations, there are several limitations and needs for future development. In particular, in the following we discuss: 1) the need to develop and implement additional and more nuanced models of theory of mind; 2) the need to develop more realistic and perhaps challenging interactional settings,; and 3) the need to complement the current setup with easy tools to fit and compare models on participants' data.

*Additional models of theory of mind.* tomsup is currently focused on variational recursive ToM computational models, as detailed in Devaine et al ((Devaine et al., 2017)). We aimed at providing an intuitive and yet rigorous introduction to these highly promising models, and how to compare them with less sophisticated models. However, as Rusch et al., 2020 nicely illustrate there is a wealth of alternative models that could also be implemented (e.g., the influence model by Hampton et al. (2008)), and of additional dimensions that could enrich our current k-ToM models. The most important limitation is that the model is dominantly reactive.

k-ToM models plan their choice to maximize their immediate chances of payoff, but lack long term adaptive behavior. In other words, k-ToM models do not strategically plan their choices to e.g. optimize their estimation of the opponents' strategies, nor to optimize future payoff (e.g. willingly deceiving the opponent). Lacking this, *k*-ToM agents cannot successfully play games like the Prisoner's Dilemma. *k*-ToM agents will tend to choose the dominant strategy of defecting, which has the strongest incentive at any specific trial no matter what the opponent will do, despite being sub-optimal in the long run. To overcome this limitation, the model would have to simulate a number of trials ahead given both possible current decisions. Similar strategies are increasingly discussed for computational models in other cognitive domains (e.g. active inference models (Friston et al., 2017)). A second dimension for future developments is the range of strategies that a k-ToM agent assumes are possible for an opponent. Current k-ToM agents assume that their opponent can only be either a Random Bias (0-ToM) or a k-ToM agent. However, other strategies are documented for human behaviors in game-theoretical settings, for instance, heuristics such as Tit-For-Tat (following the opponent's previous choice) or Win-Stay-Lose-Shift (keeping the same choice til it loses), (Nowak & Sigmund, 1993). A more nuanced model of Theory of Mind could implement the possibility to represent minds (or at least strategies) different from one's own. Finally, the specific mathematical structure of *k*-ToM could be changed: it would be possible to include a prospect-theory based utility transformation of rewards, to use a choice kernel to account for tendencies to persevere, systematically explore, or to account for more "emotional" patterns, for instance jealousy, by explicitly considering the opponent's performance and including tendencies to punish opponents that have high scores (Kahneman & Tversky, 2013; Ligneul, 2019; Steingroever et al., 2013).

*Additional interactional settings.* In terms of interactional settings, an obvious development would be to allow the creation of more complex structures, including letting agents interact in a network structure, or making the environmental structure change as a function of interactions. This could be done by integrating `tomsup` with packages like NetworkX for Python (Hagberg et al., 2008). More radically, one needs to consider the nature of the interactions and their settings. `tomsup` implements one-step binary choice games. However, it

has been repeatedly argued (Doshi et al., 2014; Hula et al., 2018; Rusch et al., 2020) that advanced ToM is maximally useful, and therefore more likely to be employed in richer settings, with several steps and interactions involved in any given choice, multiple agents and changing environmental conditions. Therefore, more complex decision scenarios might be necessary to better explore the realistic use of ToM. This would of course require generalizing  $k$ -ToM models to these situations where the payoff matrix i.e. the preferences of itself and the opponent are unknown and must be estimated, or to tasks with more than two options.

*Model fitting and comparison for participant data.* The package offers the possibility to integrate  $k$ -ToM agents in experimental setups, testing actual participants. This allows the user to better evaluate how participants adapt to agents, implementing different kinds of cognitive strategies and Theory of Mind mechanisms. Participant's performance against ToM agents can be used as an indirect measure of their ToM abilities, and the  $k$ -ToM agents' inferences about those players might also be explored. However, these procedures only give very indirect access to information about participants' mental processes, and cannot be comparatively extended to more naturalistic settings where participants interact with other participants. This calls for fitting computational models of ToM directly to empirical data. Accordingly, we show in the use cases how to use the agents' inferences to estimate participants' parameters. However, more flexible computational cognitive modeling could be implemented, for example, in PyMC3 (Salvatier et al., 2016), STAN (Stan Development Team, 2018), as in the HBayesDM package (Ahn et al., 2017), or JAGS (Plummer, 2004), as in (Lee & Wagenmakers, 2014).

Implementing the  $k$ -ToM model in such a language would provide better diagnostic tools for assessing the model quality (Yao et al., 2018), as well as posterior estimation of the participants' parameters fully including uncertainty. Posterior distributions of parameter estimates would afford more reliable modeling of how ToM measures could co-vary with other behavioural, demographic or even neurological measures (Haines et al., 2020). This would also allow for empirically motivating distributions of  $k$ -ToM's parameters and priors that can be used in subsequent simulations. Finally, this implementation would provide better tools for model comparison, testing the current ToM model against other possible

implementations, in order to make mechanistic inference about **ToM** in humans and other creatures capable of playing simple game theoretic games.

## **Conclusion**

The **tomsup** package provides an accessible computational tool to simulate Theory of Mind based agents in game theoretic contexts. This allows for making simulation studies, investigating the implications of the models included in **tomsup** or custom self-specified models, and also makes it possible to make participants interact with simulated agents in experimental contexts. A tutorial on how to use **tomsup** and an example of a simulation study has been shown in this paper, along with an in-depth explanation of the computational k-ToM model implemented in the package. This hopefully allows researchers to ask questions about the mechanisms behind Theory of Mind in more robust ways based on exactly defined computational models, contributing to shared research on Theory of Mind, an important theoretical concept that is otherwise difficult to investigate empirically.

## **Declarations**

### **Acknowledgments**

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### **Conflict of Interest**

The authors have no conflicts of interest to declare that are relevant to the content of this article.

### **Open Practices Statement**

The materials used for and referenced in this article such as example script and package tutorial is available at <https://github.com/KennethEnevoldsen/tomsup>. Since the package is

liable to be updated, a time-stamped, immutable version of the package as it was when the example simulations in this paper were made can be found on [https://github.com/KennethEnevoldsen/tomsup/tree/Immutable\\_Publication\\_Branch](https://github.com/KennethEnevoldsen/tomsup/tree/Immutable_Publication_Branch). The data used for example analysis, which was made using that script, is stored on a Open Science Framework repository <https://osf.io/p36bd/>.

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## Glossary

**ToM** Theory of Mind.

**tomsup** Theory of Mind Simulation using Python.

## Appendix A: Setting Starting Beliefs in the k-ToM Model

The simulated ToM agents in the tomsup package use agnostic beliefs about their opponent's level probabilities  $\lambda$  and choice probabilities  $\mu$ , while parameter estimation means  $\mu^\theta$  are set to 1. All variances  $\Sigma^\theta$  and  $\Sigma$  for parameter and choice probability estimation, respectively, are also set to 1. Gradients for all parameters are 0 on the first trial, which means that no parameter estimates and variances are updated during the first trial. The tomsup package uses the same parameter values as the ones used in the VBA toolbox (Daunizeau et al., 2014) to enable comparison, but other settings are possible.

One possibility is to set initial states directly in `ts.TOM()` using the priors. However, identifying the the correct input format might be prone to error, we therefore recommend to initialize the model with the defaults and then change the internal states to match the desired belief:

```
tom_1 = ts.TOM(level=1)
init_states = tom_1.get_internal_states()
init_states
```

```
{'opponent_states': {0: {'opponent_states': {}},
  'own_states': {'p_op_mean0': 0,
  'p_op_var0': 0,
  'p_self': nan,
  'p_op': nan}}},
'own_states': {'p_k': array([1.]),
  'p_op_mean': array([0]),
  'param_mean': array([[0., 0., 0.]]),
  'param_var': array([[0., 0., 0.]]),
  'gradient': array([[0., 0., 1.]]),
  'p_self': nan,
  'p_op': nan}}
```

For instance, one might wish to change the initial assumption of the opponent's sophistication level, especially when the agent plays against human participants. This is defined in the variable `priors["own_states"]["p_k"]` which - by default - is set to `[0.5, 0.5]`, i.e. agnostic about whether the opponent will use one level of or no recursion. Humans - we might assume - are more likely to use 1 level of recursion as opposed to 0 (no mentalizing of the agent). We can therefore change the agent's expectations as follows:

```
init_states["own_states"]["p_k"] = [0.3, 0.7]
tom_1.set_internal_states(init_states)

# print the changed states
```

```
tom_1.print_internal()
```

```
opponent_states
```

```
| 0-ToM
| | opponent_states
| | own_states
| | | p_op_mean0 (log odds):      0
| | | p_op_var0 (log scale):     0
| | | p_self (probability):      nan
| | | p_op (probability):        nan
```

```
own_states
```

```
| p_k (probability):             [0.3, 0.7]
| p_op_mean (log odds):          [0]
| param_mean:                    [[0.0, 0.0, 0.0]]
| param_var (log scale):         [[0.0, 0.0, 0.0]]
| gradient:                       [[0.0, 0.0, 0.999999997998081]]
| p_self (probability):          nan
| p_op (probability):            nan
```

for an explanation of the internal states besides the ones detailed here we recommend you examine the `help(tom_1.print_internal)`.

## Appendix B: Creating a Custom Agent

The following includes a simple introduction on how to add and implement a new agent. The tutorial is also available and continually updated at the following link:

[https://github.com/KennethEnevoldsen/tomsup/blob/master/tutorials/Creating\\_an\\_agent.ipynb](https://github.com/KennethEnevoldsen/tomsup/blob/master/tutorials/Creating_an_agent.ipynb)

This brief tutorial shows how to create a custom agent with a simple example.

First tomsup is imported:

```
In [7]: import tomsup as ts
```

We first take a look at the current win-stay, lose-switch (WSLS) agent:

```
In [8]: sigmund = ts.WSLS() # create agent

# inspect sigmund
print(f"sigmund is an class of type: {type(sigmund)}")
if isinstance(sigmund, ts.Agent):
    print(f"but sigmund is also of has the parent class ts.Agent")
```

```
sigmund is an class of type: <class 'tomsup.agent.WSLS'>
but sigmund is also of has the parent class ts.Agent
```

As we can see sigmund is a WSLS agent with the parent class tsAgent. This is beneficial because the WSLS inherits some of the attributes of the parent class, such as the ability to save play history and the ability to reset the agents. To see more of the inherited methods see help(ts.WSLS).

Now let's try to create our own agent, one step at a time (if you are comfortable with classes in python simply jump to 'The final reversed WSLS):

```
In [9]: import numpy as np

# make sure that the parent class is ts.Agent
class ReversedWSLS(ts.Agent):
    """
    ReversedWSLS: Win-switch, lose-stay.

    This agent is a reversed win-stay, lose-switch agent, which ...
    """
    # add a docstring which explains the agent
    pass # we will later replace this pass with something else

freud = ReversedWSLS()
print(f"is freud an Agent? {isinstance(freud, ts.Agent)}")
```

```
is freud an Agent? True
```

Let's add an initialization of the agent. These are things which should be created prior to the agent competing.

```
In [10]: class ReversedWSLS(ts.Agent):
    """
    ReversedWSLS: Win-switch, lose-stay.

    This agent is a reversed win-stay, lose-switch agent, which ...
    """
    def __init__(self, first_move, **kwargs): #initialize the agent
        self.strategy = "ReversedWSLS" # set the strategy name

        # set internal parameters
        self.first_move = first_move

        # pass additional argument to the ts.Agent class
        # (could e.g. include 'save_history = True')
        super().__init__(**kwargs)
        # save any starting parameters used when the agent is reset
        self._start_params = {'first_move': first_move, **kwargs}

    freud = ReversedWSLS(first_move = 1)
    print(f"what is freud's first move? {freud.first_move}")
    print(f"what is freud's an starting parameters? {freud.get_start_params()}")
    print(f"what is freud's strategy? {freud.get_strategy()}")
```

```
what is freud's first move? 1
what is freud's an starting parameters? {'first_move': 1}
what is freud's strategy? ReversedWSLS
```

In the above you successfully created freud as an agent and set its first move to be 1. We also see that functions such as the `get_start_params()` from the `ts.Agent` are inherited by the new agent.

**Note** that we have set `**kwargs`, this simply means that the function accepts additional arguments, e.g. `save_history = True`. These arguments are then passed to the `super().__init__()`, which initializes the parent class (in this case the `ts.Agent` class) as well as the `_start_params` that are the starting parameters. The starting parameters are used when resetting the agent, which is relevant e.g. when setting up a tournament.

All agents naturally need a compete function. Let us add one to the agent

```

In [11]: class ReversedWLS(ts.Agent):
    """
    ReversedWLS: Win-switch, lose-stay.

    This agent is a reversed win-stay, lose-switch agent, which ...
    """
    def __init__(self, first_move, **kwargs): #initialize the agent
        self.strategy = "ReversedWLS" # set the strategy name

        # set internal parameters
        self.first_move = first_move

        # pass additional argument the ts.Agent class
        # (could e.g. include 'save_history = True')
        super().__init__(**kwargs)
        # save any starting parameters used when the agent is reset
        self._start_params = {'first_move': first_move, **kwargs}

    def compete(self, p_matrix, op_choice = None, agent = 0):
        """
        win-switch, lose-stay strategy, with the first move being set
        when the class is initialized (__init__())

        p_matrix is a PayoffMatrix
        op_choice is either 1 or 0
        agent is either 0 or 1 and indicates the perspective of the
        agent in the game (whether it is player 1 or 2)
        """
        # if a choice haven't been made: Choose the predefined first move
        if self.choice is None:
            # fetch from self
            self.choice = self.first_move
        else: # if a choice have been made:
            # calculate payoff from last round
            payoff = p_matrix.payoff(self.choice, op_choice, agent)
            # if the agent won then switch
            if payoff == 1:
                # save the choice in self (for next round)
                # also save any other internal states which you might
                # want the agent to keep for next round in self
                self.choice = 1-self.choice
            # save action and (if any) internal states in history
            # note that _add_to_history() is intended for later data
            # analysis, and not for use within the agent
            self._add_to_history(choice = self.choice)
        return self.choice # return choice which is either 1 or 0

freud = ReversedWLS(first_move = 1) #create the agent

# fetch payoff matrix for the pennygame
penny = ts.PayoffMatrix(name = "penny_competitive")
print("This is the payoffmatrix for the game (seen from freud's perspective)
      penny()[0, :, :], sep = "\n")

# have freud compete
choice = freud.compete(penny)
print(f"what is freud's choice the first round? {choice}")
choice = freud.compete(penny, op_choice = 1)
print(f"what is freud's choice the second round if his opponent chose 1? {c

```

This is the payoffmatrix for the game (seen from freud's perspective):

```
[[ -1  1]
 [ 1 -1]]
```

what is freud's choice the first round? 1

what is freud's choice the second round if his opponent chose 1? 1

In the above script we add freud's compete function, which on the first round choses the option specified in his starting parameters, and for future moves it uses the win-switch, lose-stay strategy. It then returns either a 0 or 1 depending on whether is chooses the right or left hand in the penny game. It is important that the agent only returns a 0 or 1 in its compete function, otherwise the agent will not function in the context of the package.

**Note** the `self._add_to_history(choice = self.choice)` , which indicates which variables that should be added to the agent's history, assuming `save_history` is set to `True` . In this case we would like to save the agent's own choice.

Finally when you have the `__init__()` and the `compete()` working you can add any additional functions you might want your agent to have. For example will we see that we have added the `get_first_move()` , which is a helper function to extract the first move of the agent.

This gives us the following finalized version of the win-switch, lose-stay agent.

```
In [12]: import numpy as np
```

```
class ReversedWLSL(ts.Agent):
    """
    ReversedWLSL: Win-switch, lose-stay.

    This agent is a reversed win-stay, lose-switch agent, which ...

    Examples:
    >>> freud = ReversedWLSL(first_move = 1)
    >>> freud.compete(op_choice = None, p_matrix = penny)
    1
    """
    def __init__(self, first_move, **kwargs):
        self.strategy = "ReversedWLSL"

        # set internal parameters
        self.first_move = first_move

        # pass additional argument the ts.Agent class
        # (could e.g. include 'save_history = True')
        super().__init__(**kwargs)
        # save any starting parameters used when the agent is reset
        self._start_params = {'first_move': first_move, **kwargs}

    def compete(self, p_matrix, op_choice = None):
        # if a choice haven't been made: Choose the redefined first move
        if self.choice is None:
            self.choice = self.first_move #fetch from self
        else: # if a choice have been made:
            # calculate payoff of last round
            payoff = p_matrix.payoff(self.choice, op_choice, 0)
            if payoff == 1: # if the agent won then switch
                # save the choice in self (for next round)
                # also save any other internal states which you might
                # want the agent to keep for next round in self
                self.choice = 1-self.choice
            # save action and (if any) internal states in history
            # note that _add_to_history() is intended for later data
            # analysis, and not for use within the agent
            self._add_to_history(choice = self.choice)
            return self.choice # return choice

# define any additional function you wish the class should have
def get_first_move(self):
    return self.first_move
```

## **Appendix C: Using tomsup for Experimental Stimuli**

The following is a simple example of how to use tomsup agents as experimental stimuli, using the software PsychoPy. The tutorial and the files required for running the experiment are available and continually updated on the following link:

[https://github.com/KennethEnevoldsen/tomsup/blob/master/tutorials/psychopy\\_experiment](https://github.com/KennethEnevoldsen/tomsup/blob/master/tutorials/psychopy_experiment)

```
1 # ----- Setup -----
2 # import packages
3 from psychopy import core, gui, event, visual
4 import os
5 import pandas as pd
6 import tomsup as ts
7
8 # Set path to location of the file
9 abspath = os.path.abspath(__file__)
10 dname = os.path.dirname(abspath)
11 os.chdir(dname)
12
13 # ----- Getting participant information -----
14
15
16 # Create data folder if it doesn't exist
17 if not os.path.exists("data"):
18     os.mkdir("data")
19
20 # Get out names of data in the datafolder
21 l = os.listdir("data")
22
23 # If there is data already present
24 if l:
25     # Find the max ID and set it 1 higher
26     ID = max([int(i.split("_")[-1].split(".")[0]) for i in l])
27     ID = ID + 1
28 else:
29     # Otherwise start at 1
30     ID = 1
31
32
33 # Pop-up asking for participant info
34 popup = gui.Dlg(title="Matching Pennies")
35 popup.addField("ID: ", ID)
36 popup.addField("Age: ", 21)
37 popup.addField("Gender", choices=["Male", "Female", "Other"])
38 popup.addField("Number of trials", 2)
39 popup.addField("Game type", choices=["penny_competitive", "penny_cooperative"])
40 popup.addField(
41     "Opponent Strategy",
42     choices=["RB", "WLS", "TFT", "QL", "0-TOM", "1-TOM", "2-TOM", "3-TOM", "4-
43 TOM"],
44 )
45 popup.addField("Opponent parameters", "{}")
46 popup.addField("Save opponent internal states", choices=["False", "True"])
47 popup.show()
48
49 if popup.OK:
50     ID = popup.data[0]
51     age = popup.data[1]
52     gender = popup.data[2]
53     n_trials = popup.data[3]
54     game_type = popup.data[4]
55     opponent_strategy = popup.data[5]
56     opponent_params_str = popup.data[6]
57     save_history_str = popup.data[7]
58 elif popup.Cancel:
59     core.quit()
60
```

```
61 if save_history_str == "True":
62     save_history = True
63 else:
64     save_history = False
65
66 exec(f"opponent_params = {opponent_params_str}")
67
68 # ----- create agent and payoff matrix -----
69 opponent_params["save_history"] = save_history
70 tom = ts.create_agents(agents=opponent_strategy, start_params=opponent_params)
71 penny = ts.PayoffMatrix(name=game_type)
72
73 # ----- Defining Variables and function -----
74 introtext = f"""Dear participant
75
76 Thank you for playing against tomsup!
77 Here we will make you play against simulated agents in simple decision-making games.
78
79 We ask you for some basic demographic information. Apart from that, only performance
80 in the game is collected.
81 If you at any time wish to do so, you are free to stop the experiment and ask for
82 any generated data to be deleted.
83 If you have read the above and wish to proceed, press ENTER."""
84
85 rulestext_pennycompetitive = f"""
86 You will now play a game of competitive matching pennies.
87
88 You will see the two hands of your opponent, one on the left, the other on the
89 right.
90 One of the hands hides a penny. Your goal is to figure out which of the two hands
91 contain the penny.
92 If you guess the correct hand, you get a point and your opponent loses a point.
93 If you guess incorrectly, you lose a point and your opponent gains a point.
94 The game will last for {n_trials} trials.
95
96 By pressing the "right arrow" on your keyboard, you guess "right".
97 By pressing the "left arrow" on your keyboard, you guess "left".
98 After guessing, press ENTER to continue.
99 To quit the game, press ESCAPE.
100 When you have read and understood the above, press ENTER to continue."""
101
102 rulestext_pennycooperattive = f"""
103 You will now play a game of cooperative matching pennies.
104
105 You will see the two hands of your opponent, one on the left, the other on the
106 right.
107 One of the hands hides a penny. Your goal is to figure out which of the two hands
108 contain the penny.
109 If you guess the correct hand, you and your opponent both get get a point.
110 If you guess incorrectly, you and your opponent both lose a point.
111 The game will last for {n_trials} trials.
112
113 By pressing the "right arrow" on your keyboard, you guess "right".
114 By pressing the "left arrow" on your keyboard, you guess "left".
115 After guessing, press ENTER to continue.
116 To quit the game, press ESCAPE.
117 When you have read and understood the above, press ENTER to continue."""
118
119 # Set rulestext to fit the specified game
120 if game_type == "penny_competitive":
121     rulestext = rulestext_pennycompetitive
122 elif game_type == "penny_cooperattive":
```

```

117     rulestext = rulestext_pennycooperative
118
119 # Show_text for normal text
120 def show_text(txt):
121     msg = visual.TextStim(win, text=txt, height=0.05)
122     msg.draw()
123     win.flip()
124     k = event.waitKeys(
125         keyList=["return", "escape"]
126     ) # press enter to move on or escape to quit
127     if k[0] in ["escape"]:
128         core.quit()
129
130
131 # setting window and reading images
132 win = visual.Window(fullscr=False)
133 stopwatch = core.Clock()
134 RH_closed = "images/RH_closed.png"
135 LH_closed = "images/LH_closed.png"
136 LH_open = "images/LH_open.png"
137 RH_open = "images/RH_open.png"
138 LH_coin = "images/LH_coin.png"
139 RH_coin = "images/RH_coin.png"
140
141 # ----- Preparing dataframe for CSV -----
142 trial_list = []
143 for trial in range(n_trials):
144     trial_list += [
145         {
146             "ID": ID,
147             "age": age,
148             "gender": gender,
149             "opponent_strategy": opponent_strategy,
150             "trialnr": trial,
151             "Response_participant": "",
152             "Response_tom": "",
153             "payoff_participant": "",
154             "payoff_tom": "",
155             "RT": "",
156         }
157     ]
158
159 # ----- Running the experiment -----
160
161 # run intro
162 show_text(introtxt)
163 show_text(rulestext)
164 op_choice = None # setting opponent choice to none for the first round
165 current_score_part = 0
166 current_score_tom = 0
167
168 img_pos1 = [-0.50, 0.0]
169 img_pos2 = [0.50, 0.0]
170 img_size = [0.9, 0.9]
171
172 wait_time = 2
173
174 for trial in trial_list:
175     picture1 = visual.ImageStim(
176         win, image=RH_closed, pos=img_pos1, units="norm", size=img_size
177     )

```

```

178     picture2 = visual.ImageStim(
179         win, image=LH_closed, pos=img_pos2, units="norm", size=img_size
180     )
181     picture1.draw()
182     picture2.draw()
183     stopwatch.reset()
184     win.flip()
185
186     k = event.waitKeys(keyList=["escape", "left", "right"])
187     if k[0] == "escape":
188         core.quit()
189     if k[0] == "left":
190         resp_part = 0
191         trial["RT"] = stopwatch.getTime()
192         picture1 = visual.ImageStim(
193             win, image=RH_open, pos=img_pos1, units="norm", size=img_size
194         )
195         picture2 = visual.ImageStim(
196             win, image=LH_coin, pos=img_pos2, units="norm", size=img_size
197         )
198         picture1.draw()
199         picture2.draw()
200         win.flip()
201         event.waitKeys()
202     elif k[0] == "right":
203         resp_part = 1
204         trial["RT"] = stopwatch.getTime()
205         picture1 = visual.ImageStim(
206             win, image=RH_coin, pos=img_pos1, units="norm", size=img_size
207         )
208         picture2 = visual.ImageStim(
209             win, image=LH_open, pos=img_pos2, units="norm", size=img_size
210         )
211         picture1.draw()
212         picture2.draw()
213         win.flip()
214         event.waitKeys()
215
216     # get ToM response
217     resp_tom = tom.compete(p_matrix=penny, op_choice=resp_part, agent=1)
218
219     # get payoff
220     payoff_part = penny.payoff(
221         choice_agent0=resp_part, choice_agent1=resp_tom, agent=0
222     ) # agent0 is seeker e.g. the participant
223     payoff_tom = penny.payoff(choice_agent0=resp_part, choice_agent1=resp_tom,
agent=1)
224
225     # Give response text
226     rl_tom = "left" if resp_tom == 0 else "right"
227     current_score_part += payoff_part
228     current_score_tom += payoff_tom
229     show_text(
230         f"You chose {k[0]} and the penny was in the {rl_tom} hand. This gives you
{payoff_part} points while your opponent gets {payoff_part} points.\n\n"
231         + f"Your current score is: {current_score_part} \n Your opponent's current
score is: {current_score_tom}. \nPress ENTER to continue."
232     )
233
234     # Save data
235     trial["Response_participant"] = resp_part
236     trial["Response_tom"] = resp_tom

```

```
237     trial["payoff_participant"] = payoff_part
238     trial["payoff_tom"] = payoff_tom
239     if save_history:
240         trial["tom_internal_states"] = tom.get_internal_states()
241
242     # write data (writes at each trial, so that even if the program crashes there
should be an issue)
243     pd.DataFrame(trial_list).to_csv("data/ID_" + str(ID) + ".csv")
244
245 # write data
246 pd.DataFrame(trial_list).to_csv("data/ID_" + str(ID) + ".csv")
247
248 show_text(
249     """
250 This concludes the game!
251 Thank you playing!
252
253 Press ENTER to quit.
254 """
255 )
256
257 event.waitKeys()
258
259 # Close psychopy
260 core.quit()
```

## Appendix D: Comparison with the VBA Implementation

We here briefly compare the behaviour and speed of tomsup with that of the *k-ToM* implementation in the VBA package for MATLAB. Code for reproducing the comparison can be found at

[https://github.com/KennethEnevoldsen/tomsup/papers/introducing\\_tomsup/comparison](https://github.com/KennethEnevoldsen/tomsup/papers/introducing_tomsup/comparison). This includes a behavioral test, which validates that tomsup *k-ToM* agents produce the same behaviour as the VBA implementation. To test this a random-bias agent competed a *k-ToM* with  $k \in \{0, 1, 2\}$  with the same starting parameters. Both agents were run as implemented, but with their choices forced to a random sample of 10 trials, which were kept the same across the tomsup and VBA implementation. At each round the internal states was found to only differ after the 16th decimal place.

The folder also includes a speed test, which compares the efficiency of the two implementations. In the speed test, a Random Bias agent was made to play against various kinds of opponents for 8 simulations of 60 trials. This was repeated 20 times. In [Table 2](#), the mean time across the 20 tests and the standard deviation is shown. It can be seen that the tomsup implementation is consistently faster, even without parallelization.

**Table 2**

*Implementation speed*

agent	VBA	tomsup	tomsup 4 cores
RB	0.33 (0.03)	0.003 (0.0002)	0.04 (0.15)
0-ToM	0.53 (0.03)	0.008 (0.0002)	0.05 (0.17)
1-ToM	0.80 (0.07)	0.04 (0.0005)	0.05 (0.15)
2-ToM	1.70 (0.10)	0.22 (0.002)	0.10 (0.16)
3-ToM	4.42 (0.15)	1.07 (0.004)	0.33 (0.15)
4-ToM	13.08 (1.31)	5.37 (0.03)	1.53 (0.15)
5-ToM	39.05 (0.69)	27.01 (0.05)	7.30 (0.14)